



## ISSUE MEMORANDUM

<b>DATE</b>	May 21, 2021
<b>TO</b>	Members, California State Board of Optometry (CSBO)
<b>FROM</b>	Shara Murphy, Executive Officer
<b>SUBJECT</b>	Agenda Item #7 – Department of Consumer Affairs Update

Representatives from the California Department of Consumer Affairs will offer updates in the following areas:

- A. Executive Office
- B. Budget Office

**0763 - State Optometry Fund****(Dollars in Thousands) Analysis of Fund Condition  
With CY FM 9 Projections**

	<b>Actual 2019-20</b>	<b>CY 2020-21</b>	<b>BY 2021-22</b>	<b>BY+1 2022-23</b>
<b>BEGINNING BALANCE</b>	\$2,765	\$2,080	\$2,082	\$1,821
Prior Year Adjustment	-\$71	\$0	\$0	\$0
Adjusted Beginning Balance	\$2,694	\$2,080	\$2,082	\$1,821
<b>REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>				
Revenues				
4121200 - Delinquent fees	\$12	\$16	\$34	\$34
4127400 - Renewal fees	\$1,534	\$1,894	\$2,217	\$2,217
4129200 - Other regulatory fees	\$42	\$32	\$18	\$18
4129400 - Other regulatory licenses and permits	\$140	\$142	\$474	\$474
4140000 - Sales of documents	\$0	\$1	\$1	\$1
4163000 - Income from surplus money investments	\$54	\$13	\$33	\$33
4171400 - Escheat of unclaimed checks and warrants	\$4	\$1	\$1	\$1
4173500 - Settlements and Judgments - Other	\$0	\$1	\$0	\$0
Totals, Revenues	\$1,786	\$2,100	\$2,778	\$2,778
Transfer from Other Funds				
Merger with RDO Fund (0175)	\$0	\$0	\$0	\$1,270
Transfer to Other Funds				
Loan to General Fund (0001) per CS 3.92, Budget Act of 2020	\$0	-\$117	\$0	\$0
<b>TOTALS, REVENUES, TRANSFERS AND OTHER ADJUSTMENTS</b>	\$1,786	\$1,983	\$2,778	\$4,048
<b>TOTAL RESOURCES</b>	\$4,480	\$4,063	\$4,860	\$5,869

	<b>Actual 2019-20</b>	<b>CY 2020-21</b>	<b>BY 2021-22</b>	<b>BY+1 2022-23</b>
<b>EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>				
Expenditures:				
1111 Program Expenditures (State Operations)	\$2,216	\$1,838	\$2,862	\$2,948
9892 Supplemental Pension Payments (State Operations)	\$36	\$36	\$36	\$36
9900 Statewide Pro Rata	\$148	\$107	\$141	\$141
<b>TOTALS, EXPENDITURES AND EXPENDITURE ADJUSTMENTS</b>	\$2,400	\$1,981	\$3,039	\$3,125
<b>FUND BALANCE</b>				
Reserve for economic uncertainties	\$2,080	\$2,082	\$1,821	\$2,744
Months in Reserve	12.6	8.2	7.0	10.2

**Notes:**

Assumes workload and revenue projection are realized in BY+1 and ongoing.  
CY revenue and expenditures are FM 9 projections.  
BY and BY+1 include merging of funds.

**State Board of Optometry  
FY 2020-21 BUDGET REPORT  
FISCAL MONTH 9**

OBJECT DESCRIPTION	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21				
	ACTUAL	ACTUAL	ACTUAL	CY	CURRENT YEAR	PERCENT	PROJECTIONS	UNENCUMBERED
	EXPENDITURES FM 13	EXPENDITURES FM 13	EXPENDITURES FM 13	REVISED BUDGET	EXPENDITURES 5/7 Activity Log	SPENT	TO YEAR END	BALANCE
Salary & Wages (Staff)	430,734	509,777	530,652	506,000	360,235	71%	474,025	31,975
Temp Help	86,159	34,592	18,759	41,000	0	0%	15,786	25,214
Statutory Exempt (EO)	36,302	84,839	91,104	82,000	64,101	78%	84,775	(2,775)
Board Member Per Diem	10,400	7,800	6,400	7,000	4,500	64%	7,200	(200)
Overtime/Retirement Payout	0	5	0	0	0	0%	0	0
Staff Benefits	279,182	347,278	371,357	359,000	254,428	71%	342,851	16,149
<b>TOTALS, PERSONNEL SVC</b>	<b>842,776</b>	<b>984,291</b>	<b>1,018,272</b>	<b>995,000</b>	<b>683,264</b>	<b>69%</b>	<b>924,637</b>	<b>70,363</b>
<b>OPERATING EXPENSE AND EQUIPMENT</b>								
General Expense	16,572	36,646	20,866	21,000	7,035	34%	10,553	10,448
Printing	13,476	7,938	19,377	11,000	17,566	160%	17,566	(6,566)
Communication	2,593	2,683	2,349	5,000	1,490	30%	2,235	2,765
Postage	8,659	4,313	655	11,000	531	5%	4,000	7,000
Insurance	3,067	3,623	23	0	3,449	0%	3,449	(3,449)
Travel In State	28,263	14,944	18,826	8,000	0	0%	1,000	7,000
Travel, Out-of-State	0	0	0	0	0	0%	0	0
Training	895	500	1,764	1,000	1,000	100%	1,500	(500)
Facilities Operations	114,026	132,806	110,962	84,000	57,157	68%	95,609	(11,609)
C & P Services - Interdept.	41	44	47	89,000	62	0%	62	88,938
Attorney General	157,025	218,585	191,907	304,000	88,508	29%	118,011	185,989
Office of Administrative Hearings	35,850	62,277	80,925	38,000	29,711	78%	39,615	(1,615)
C & P Services - External	94,872	93,051	91,231	87,000	65,734	76%	93,051	(6,051)
DCA Pro Rata	460,677	553,628	384,485	360,000	283,500	79%	360,000	0
DOI - Investigation	236,577	0	275,671	118,000	95,250	81%	118,000	0
Interagency Services	0	0	378	0	209	0%	209	(209)
IA w/ OPES	0	26,076	49,849	106,000	12,896	0%	92,310	13,690
Consolidated Data Center	5,807	245	5,423	5,000	2,812	56%	5,000	0
Information Technology	246	487	1,190	1,000	1,971	197%	1,971	(971)
Equipment	9,221	2,746	636	41,000	4,663	11%	41,000	0
<b>TOTALS, OE&amp;E</b>	<b>1,187,867</b>	<b>1,160,591</b>	<b>1,256,564</b>	<b>1,290,000</b>	<b>673,544</b>	<b>52%</b>	<b>1,005,140</b>	<b>284,860</b>
<b>TOTAL EXPENSE</b>	<b>2,030,643</b>	<b>2,144,882</b>	<b>2,274,836</b>	<b>2,285,000</b>	<b>1,356,808</b>	<b>59%</b>	<b>1,929,777</b>	<b>355,223</b>
Sched. Reimb. - Fingerprints	(5,390)	(4,753)	(18,984)	(6,000)	(14,829)	247%	(6,000)	0
Sched. Reimb. - Other	(1,175)	(2,115)	0	0	0	0%	0	0
Unsched. Reimb. - Other	(22,855)	(27,185)	(32,752)	0	(18,107)	0%	0	0
Internal Cost Recovery	0	(64,000)	0	(86,000)	0	0%	(86,000)	0
<b>NET APPROPRIATION</b>	<b>2,001,223</b>	<b>2,046,829</b>	<b>2,223,100</b>	<b>2,193,000</b>	<b>1,323,872</b>	<b>60%</b>	<b>1,837,777</b>	<b>355,223</b>
<b>SURPLUS/(DEFICIT):</b>								<b>16.2%</b>