

0763 - State Optometry Fund

Analysis of Fund Condition

Prepared 10/25/2018

(Dollars in Thousands)

2018 Budget Act	ACTUAL 2016-17	CY 2017-18	BY 2018-19
BEGINNING BALANCE	\$ 1,903	\$ 3,277	\$ 2,863
Prior Year Adjustment	\$ 6	\$ -	\$ -
Adjusted Beginning Balance	<u>\$ 1,909</u>	<u>\$ 3,277</u>	<u>\$ 2,863</u>
REVENUES AND TRANSFERS			
Revenues:			
4121200 Delinquent Fees	\$ 12	\$ 11	\$ 11
4127400 Renewal Fees	\$ 1,676	\$ 1,673	\$ 1,693
4129200 Other Regulatory Fees	\$ 19	\$ 23	\$ 23
4129400 Other Regulatory Licenses and Permits	\$ 132	\$ 155	\$ 151
4143500 Miscellaneous Services to the Public	\$ 2	\$ -	\$ -
4150500 Interest Income - Interfund Loans	\$ 206	\$ -	\$ -
4163000 Investment Income - Surplus Money Investments	\$ 23	\$ 9	\$ 8
4171400 Escheat of Unclaimed Checks and Warrants	<u>\$ 1</u>	<u>\$ 2</u>	<u>\$ 2</u>
Total Revenues	<u>\$ 2,071</u>	<u>\$ 1,873</u>	<u>\$ 1,888</u>
Transfers from Other Funds			
Loan Repayment from the General Fund (0001) to the State Optometry Fund (0763), per Item 1110-011-0763 Budget Act of 2011	\$ 1,000	\$ -	\$ -
Total Revenues, Transfers, and Other Adjustments	<u>\$ 3,071</u>	<u>\$ 1,873</u>	<u>\$ 1,888</u>
Total Resources	<u>\$ 4,980</u>	<u>\$ 5,150</u>	<u>\$ 4,751</u>
EXPENDITURES			
1111 Department of Consumer Affairs Program Expenditures (State Operations)	\$ 1,604	\$ 2,181	\$ 1,916
8880 Financial Information System for CA (State Operations)	\$ 3	\$ 2	\$ -
9892 Supplemental Pension Payments (State Operations)	\$ -	\$ -	\$ 16
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	<u>\$ 96</u>	<u>\$ 104</u>	<u>\$ 113</u>
T Total Expenditures and Expenditure Adjustments	<u>\$ 1,703</u>	<u>\$ 2,287</u>	<u>\$ 2,045</u>
FUND BALANCE			
Reserve for economic uncertainties	<u>\$ 3,277</u>	<u>\$ 2,863</u>	<u>\$ 2,706</u>
Months in Reserve	17.2	16.8	15.7

0175 - Dispensing Opticians Fund

Analysis of Fund Condition

Prepared 10/25/2018

(Dollars in Thousands)

2018 Budget Act

	ACTUAL 2016-17	CY 2017-18	BY 2018-19
BEGINNING BALANCE	\$ 158	\$ 261	\$ 426
Prior Year Adjustment	\$ -	\$ -	\$ -
Adjusted Beginning Balance	<u>\$ 158</u>	<u>\$ 261</u>	<u>\$ 426</u>
REVENUES AND TRANSFERS			
Revenues:			
4121200 Delinquent fees	\$ 7	\$ 13	\$ 13
4127400 Renewal fees	\$ 185	\$ 426	\$ 435
4129200 Other regulatory fees	\$ 1	\$ 1	\$ 1
4129400 Other regulatory licenses and permits	\$ 77	\$ 130	\$ 135
4163000 Income from surplus money investments	\$ 1	\$ 2	\$ 2
Total Revenues	<u>\$ 271</u>	<u>\$ 572</u>	<u>\$ 586</u>
Total Revenues, Transfers, and Other Adjustments	<u>\$ 271</u>	<u>\$ 572</u>	<u>\$ 586</u>
Total Resources	<u>\$ 429</u>	<u>\$ 833</u>	<u>\$ 1,012</u>
EXPENDITURES			
Disbursements:			
1111 Department of Consumer Affairs Program Expenditures (State Operations)	\$ 163	\$ 400	\$ 416
9892 Supplemental Pension Payments (State Operations)	\$ -	\$ -	\$ 1
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	\$ 5	\$ 7	\$ 25
Total Expenditures and Expenditure Adjustments	<u>\$ 168</u>	<u>\$ 407</u>	<u>\$ 442</u>
FUND BALANCE			
Reserve for economic uncertainties	\$ 261	\$ 426	\$ 570
Months in Reserve	7.7	11.6	15.2

**BOARD OF OPTOMETRY - FUND 0763
BUDGET REPORT
FY 2018-19 EXPENDITURE PROJECTION**

Projected FM 3

OBJECT DESCRIPTION	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19				
	ACTUAL	ACTUAL	ACTUAL	BUDGET	CURRENT YEAR	PERCENT	PROJECTIONS	UNENCUMBERED
	EXPENDITURES (MONTH 13)	EXPENDITURES (MONTH 13)	EXPENDITURES (PRELIM 12)	ACT 2018	EXPENDITURES 9/30/2018	SPENT	TO YEAR END	BALANCE
PERSONNEL SERVICES								
Salary & Wages (Staff)	376,903	427,127	430,734	489,000	84,661	17%	461,663	27,337
Statutory Exempt (EO)	65,840	80,790	86,159	82,000	5,515	7%	77,600	4,400
Temp Help Reg (907)	45,724	43,559	36,302	41,000	0	0%	27,404	13,596
Board Member Per Diem	7,400	13,200	10,400	7,000	100	1%	14,000	(7,000)
Overtime	2,348	626	0	0	0	-	0	0
Staff Benefits	215,717	257,881	279,182	331,000	46,847	14%	255,463	75,537
TOTALS, PERSONNEL SVC	713,932	823,183	842,776	950,000	137,123	14%	836,130	113,870
OPERATING EXPENSE AND EQUIPMENT								
General Expense	9,573	11,875	13,257	16,000	2,384	15%	11,000	5,000
Fingerprint Report	4,879	3,397	3,315	5,000	0	0%	4,000	1,000
Minor Equipment	1,146	0	9,220	2,000	0	0%	500	1,500
Printing	6,624	12,713	13,476	8,000	0	0%	13,000	(5,000)
Communications	2,799	2,800	2,593	5,000	141	3%	2,800	2,200
Postage	10,456	7,388	8,659	11,000	0	0%	8,800	2,200
Insurance	0	10	3,067	0	0	-	3,067	(3,067)
Travel In State	32,431	39,122	28,263	8,000	1,264	16%	33,200	(25,200)
Travel, Out-of-State	589	886	0	0	0	-	2,393	(2,393)
Training	983	1,383	895	1,000	0	0%	1,000	0
Facilities Operations	111,534	112,237	114,026	59,000	37,144	63%	113,000	(54,000)
C & P Services - Interdept.	97	0	41	89,000	0	0%	0	89,000
C & P Services - External	11,118	28,771	86,075	49,000	35,835	73%	90,000	(41,000)
DEPARTMENTAL SERVICES:								
Office of Information Services	243,808	265,050	255,110	280,000	0	0%	280,000	0
Administration Services	127,865	129,497	138,961	155,000	0	0%	155,000	0
Interagency Services w/ Office of Professional Examination Services	17,160	22,080	43,566	0	0	-	80,768	(80,768)
Division of Investigation - Internal	2,949	2,760	3,840	5,000	0	0%	5,000	0
Communications Division	8,000	19,261	8,640	11,000	0	0%	11,000	0
Program and Policy Review Division	0	654	10,560	15,000	0	0%	15,000	0
INTERAGENCY SERVICES:								
Consolidated Data Centers	614	179	5,807	5,000	0	0%	6,000	(1,000)
Information Technology	3,378	2,565	246	1,000	959	96%	1,000	0
EXAM EXPENSES:								
C/P Svcs-External Expert Examiners	0	1,705	1,614	21,000	0	0%	1,700	19,300
C/P Svcs-External Subject Matter	1,844	2,755	3,346	0	0	-	3,000	(3,000)
ENFORCEMENT:								
Attorney General	74,589	107,998	157,025	237,000	28,187	12%	169,000	68,000
Office Admin. Hearings	18,605	25,890	35,850	38,000	0	0%	38,000	0
Court Reporters	1,072	1,609	2,638	0	0	-	2,600	(2,600)
Evidence/Witness Fees	1,520	500	1,199	16,000	0	0%	1,200	14,800
DOI - Investigations	0	0	236,577	21,000	0	0%	21,000	0
TOTALS, OE&E	794,928	803,085	1,187,867	1,058,000	105,914	10%	1,073,028	(15,028)
TOTAL EXPENSE	1,508,860	1,626,268	2,030,643	2,008,000	243,037	12%	1,909,158	98,842
Sched. Reimb. - Fingerprints	(4,966)	(4,459)	(5,390)	(6,000)	0	0%	(6,000)	0
Sched. Reimb. - Other	(2,350)	(2,820)	(1,175)	0	0	-	0	0
NPDB Application Fee	0	0	(1,260)	0	0	-	0	0
Probation Monitoring Fee - Variable	(9,700)	(4,500)	(5,900)	0	0	-	0	0
Unsched. Reimb. - Investigative Cost Recove	(22,875)	(10,093)	(15,225)	0	0	-	0	0
Unsch - DOI ICR Administrative Case	(32)	0	(500)	0	0	-	0	0
Internal Distributed Costs	0	0	0	(86,000)	0	0%	(86,000)	0
NET APPROPRIATION	1,468,937	1,604,396	2,001,193	1,916,000	243,037	13%	1,817,158	98,842
SURPLUS/(DEFICIT):								5.2%

**REGISTERED DISPENSING OPTICIANS - FUND 0175
BUDGET REPORT
FY 2018-19 EXPENDITURE PROJECTION**

Projected FM 3

OBJECT DESCRIPTION	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19				
	ACTUAL EXPENDITURES (MONTH 13)	ACTUAL EXPENDITURES (MONTH 13)	ACTUAL EXPENDITURES (PRELIM 12)	BUDGET ACT 2018	CURRENT YEAR EXPENDITURES 9/30/2018	PERCENT SPENT	PROJECTIONS TO YEAR END	UNENCUMBERED BALANCE
PERSONNEL SERVICES								
Civil Service-Perm	29,637	24,974	30,659	102,000	23,694	23%	95,195	6,805
Temp Help Reg (907)	0	0	17,620	0	0	-	10,000	(10,000)
Overtime	0	0	187	0	0	-	0	0
Staff Benefits	18,529	12,561	25,467	52,000	7,127	14%	28,635	23,365
TOTALS, PERSONNEL SVC	48,166	37,535	73,933	154,000	30,821	20%	133,830	20,170
OPERATING EXPENSE AND EQUIPMENT								
General Expense	232	452	187	4,000	63	2%	500	3,500
Fingerprint Reports	0	137	0	0	0	-	0	0
Minor Equipment	0	0	0	0	540	-	540	(540)
Printing	404	308	6,078	2,000	85	4%	4,000	(2,000)
Communication	0	0	0	1,000	0	0%	0	1,000
Postage	1,015	2,241	7,875	2,000	0	0%	3,900	(1,900)
Insurance	0	2	590	0	0	-	600	(600)
Travel In State	1	13	682	6,000	0	0%	800	5,200
Training	0	7	0	1,000	0	0%	0	1,000
Facilities Operations	0	0	0	0	0	-	0	0
C & P Services - Interdept.	0	0	0	86,000	0	0%	86,000	0
C & P Services - External	17,070	2,200	6,486	4,000	4,204	105%	4,204	(204)
DEPARTMENTAL SERVICES:								
Office of Information Services	59,195	55,902	56,000	50,000	0	0%	50,000	0
Administration Services	10,988	24,903	25,000	29,000	0	0%	29,000	0
Shared Svcs - MBC Only	18,536	0	0	0	0	-	0	0
Division of Investigation - Internal	0	920	1,000	1,000	0	0%	1,000	0
Communications Division	0	2,889	2,000	2,000	0	0%	2,000	0
Program and Policy Review Division	0	0	1,000	1,000	0	0%	1,000	0
INTERAGENCY SERVICES:								
Consolidated Data Center	0	0	0	0	0	-	0	0
DP Maintenance & Supply	0	0	0	1,000	0	0%	0	1,000
Statewide - Pro Rata	15,667	0	0	0	0	-	0	0
ENFORCEMENT:								
Attorney General	26,501	45,675	73,842	50,000	2,160	4%	75,400	(25,400)
Office Admin. Hearings	1,470	0	0	5,000	0	0%	500	4,500
DOI - Investigations	0	0	1,095	16,000	0	0%	4,300	11,700
Investigative Svcs - MBC	3,286	0	0	2,000	0	0%	0	2,000
TOTALS, OE&E	154,365	135,649	181,836	263,000	7,052	3%	263,744	(744)
TOTAL EXPENSE	202,531	173,184	255,769	417,000	37,873	9%	397,574	19,426
Sched. Reimb. - Fingerprints	0	0	(637)	(1,000)	0	0%	(1,000)	0
Sched. Reimb. - Other	0	0	0	0	0	-	0	0
Unsched. Reimb. - ICR	0	(7,546)	(12,366)	0	0	-	0	0
Unsched. Reimb. - ICR - Prob Monitor	0	(2,300)	(2,300)	0	0	-	0	0
NET APPROPRIATION	202,531	163,338	240,466	416,000	37,873	9%	396,574	19,426
SURPLUS/(DEFICIT):								4.7%