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To: Board Members

Date: April 20, 2018

From: Jessica Sieferman
Executive Officer

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Subject: Agenda Item 7 – Executive Officer’s Report

A. Federal Trade Commission’s Interest in California’s Consumer Notice

As discussed in more detail in their [Federal Register](#), the FTC proposed amendments to the Contact Lens Rule requiring prescribers to obtain a signed acknowledgment after releasing a contact lens prescription to a patient, and maintain each such acknowledgment for a period of not less than three years.

The FTC took interest in California’s [Consumer Notice](#) requirement (CCR sections [1566](#) and [1566.1](#)), as it is unique to other states, and considered its impact if the requirement was made on a federal level rather than a signed acknowledgement:

Another possible Rule revision is to require that prescribers’ offices post conspicuous signage informing consumers of their right to their prescription. Although this was not specifically suggested by commenters, it is currently required by law in California, and the practice could be expanded via the Rule to apply nationwide.

In California, the Business and Professional Code provides that each prescriber office must post, in a conspicuous place, a notice informing patients that eye doctors are required to provide patients with a copy of their ophthalmic lens prescriptions. The notice also explains that spectacle prescriptions are released upon the completion of the exam, and contact lens prescriptions are released upon the completion of the exam or upon the completion of the fitting process.

Such a requirement, if adopted in the Rule, could provide some of the same benefits of the Bill of Rights, notice upon- check-in, and signed acknowledgment proposals in that it would, in theory, notify consumers of their rights and, presumably, increase the percentage of patients who receive their prescription from the prescriber. A sign could also serve as a reminder to patients to ask for their prescription in the event the prescriber does not provide it. Furthermore, a sign would impose less of a burden on prescribers than the other proposals, since it would only have to be posted once, as opposed to individual copies for each and every patient. Lastly, enforcing such a provision would be relatively straightforward, since the Commission could perform spot checks on prescribers’ offices to ensure they have posted the required signage.

On the other hand, the Commission lacks good evidence about the effects of California's particular version of this requirement, and it is unclear how many patients actually read posted notices at doctors' offices, particularly in locations where there are already numerous ads or other postings about various rights, requirements, and obligations. It is likely that far fewer patients would learn of their rights from a single sign—competing for attention with ads and other signage—than from being handed or shown a document, particularly a document consumers are required to sign. Moreover, since a sign would not require a prescriber to interact with each patient, it would serve as less of a reminder to prescribers and their staff to provide patients with their prescriptions. And, although it would be relatively straightforward for the Commission to verify and enforce the signage requirement, such a requirement would do little to assist the Commission in verifying or enforcing compliance with the automatic prescription release provision itself. Furthermore, Commission staff would have to physically visit prescribers' offices located throughout the country to verify the signage, resulting in the expenditure of more Commission resources to monitor compliance.

In March 2018, [Consumer Action](#) wrote [this](#) article regarding their spot visits to 20 California optometry offices looking for the consumer notice. It is alleged that 90% of the offices did not have the required notice posted.

This demonstrates a clear need for increased educational outreach to consumers and our licensees regarding the consumer notice and patients' rights, as well as an increased focus on enforcement.

Educational content was distributed via social media and emails to licensees. In addition, the COA agreed to assist the Board in educating their members on the notice requirement. Further, the Board's Enforcement Unit is working with Consumer Action to investigate the allegations and obtain compliance where necessary.

B. ARBO's Executive Director's Meeting

ARBO's Executive Director's Committee initiated regular teleconference meetings to discuss issues facing the regulatory boards and ways to better communicate/retain information amongst all regulatory boards.

In addition, the Committee prepared for the June ARBO meeting with a goal to include those member boards who are not permitted to travel due to state restrictions.

C. ARBO's Bylaws Committee Meeting

ARBO's Bylaws Committee also held several teleconference meetings over the past few months discussing proposed amendments to the Bylaws. Like the Board's Board Member Handbook, the Bylaws govern ARBO's operations related to its purpose, membership, Board Members, Officers, procedures, etc.

While the proposed amendments are not published yet, one amendment changes the Board of Directors composition allowing an Executive Director/Officer to serve on the Board. This issue was initially discussed at the 2017 ARBO meeting, as the current (and historical) Board is comprised solely of licensees. Concerns were raised that national policy decisions are made solely by licensees with little to no experience implementing those policies on the ground level.

This rather controversial change promises an intriguing debate during the June meeting.

D. FBI Rap Back Program Update

There has been very little progress with the DOJ participating in the FBI Rap Back Program. As explained in more detail under [Agenda Item 9](#), the DOJ made an agreement with the California legislature in 2012 *"that the DOJ would convene a group of the largest applicant agency stakeholders to examine the financial aspects of participation in the program and would invite statewide public opinion on the program via the Attorney General's website."*

The DOJ assured DCA it is *“committed to evaluating the State’s participation in the federal program and is evaluating the resource requirements needed to determine the procedural and fiscal feasibility of the State’s participation in the program.”*

However, it does not appear any stakeholder meetings have taken place to date. DOJ recently stated they are still working to establish stakeholder groups.

DCA reminded DOJ of its strong interest in participating in these groups and is waiting to hear from DOJ when the meetings will take place.

Based on the lack of movement on this issue, the Board may wish to seek assistance from the legislature through legislative means during the 2019 session.

E. Strategic Plan Update

Please see the attached outline for status on each Strategic Plan objective (Attachment 1).

F. Enforcement Program

Prepared by Cheree Kimball, Lead Enforcement Analyst

In January, the Enforcement Program welcomed its newest member, Alexander Juarez, as its RDO Program Enforcement Analyst. Mr. Juarez hails from the Inspector General’s Office, where he was as an Office Technician charged with maintaining hundreds of case files. Mr. Juarez has already proven to be a valuable asset and we are excited to have him.

Earlier this month, Lead Enforcement Analyst, Cheree Kimball, AEO, Rob Stephanopoulos, and Board Member, Dr. Lillian Wang, O.D. presented to the third-year students at the UC Berkeley College of Optometry. Ms. Kimball gave an overview of the enforcement process and common enforcement issues. These annual presentations benefit optometry students greatly, as it gives them the opportunity to meet Board staff, ask questions, and (hopefully) set their minds at ease with regard to the enforcement process.

The Enforcement Program continues to work through its pending caseload, focusing on oldest cases (cases older than one year) first. These cases often require additional resources (e.g., Division of Investigation, Subject Matter Expert review, etc.) in order to be pushed to the next step. As these cases close, the average age of pending cases will continue to decrease. However, Q3 saw by far the most cases received this fiscal year (especially March) for both Optometry and RDO, which has resulted in an uptick in the number of pending enforcement cases.

Please see attached enforcement statistics for full workload data (Attachment 2).

G. Examination and Licensing Programs

Prepared by Rob Stephanopoulos, Assistant Executive Officer

In early January, all paper applications were removed from the Board’s Web site, directing all new applicants to the BreEZe online system. This should result in quicker cycle times overall and fewer Board resources expended for paper applications. Additionally, in January and again in March, all licensees/registrants with an open renewal were sent an email advising them to register and renew online via BreEZe. Similar emails will be sent monthly for all newly opened renewals with the hope of getting our entire populate registered and utilizing the BreEZe system.

The number of optometrist applications submitted this quarter have tripled what they were the prior quarter; accordingly, the cycle times for processing these applications have risen by 24% over the same period. The BreEZe online system continues to be the go-to method for submission of optometrist applications, with 94% online usage over the fiscal year.

Applications submitted online this fiscal year for Statement of Licensure, Branch Office License, and Fictitious Name Permit applications came in at 55%, 64%, and 62% respectively; however, after

sending the BreEZe emails mentioned above, these percentages rose to 65%, 68%, and 74% in Q3. The Board will be looking at new ways to reach out to existing licensees in order to encourage them to apply for these additional licenses online.

Online renewals continue to be the fastest way for licensees to renew by far, with optometrist renewals hitting their lowest cycle time of the year, averaging 3 days during the Q3. Likewise, online renewals for Branch Office Licenses, Statement of Licensures, and Fictitious Name Permits (except for two insufficient payment and one missing signature application) were renewed the same day.

Due to the loss of the Board's RDO Coordinator, RDO application cycle times increased noticeably in Q2 and the beginning of Q3; however, February and March have reported steady decreases in cycle times. As a result, March cycle times for all RDO application types were below the fiscal average and in many cases, significantly below the average (30%-50%). This can be attributed to staff loans the Board has received from DCA as well as the overtime Board staff has put in to deal with the backlog.

During the renewal process, all registrants/licensees with a fingerprint requirement are checked for the presence of fingerprints. This has revealed a significant portion of the RDO population who are missing DOJ fingerprints, FBI fingerprints, or both when they attempt to renew. As a result, Board staff is required to contact the registrant and in many cases, instruct them to have their fingerprints done. All this time dedicated to obtaining fingerprints affects the overall renewal cycle times, which can be seen in the licensing statistics (Attachment 3).

H. Personnel

The two Board staff out on leave are scheduled to return in May and August. To assist the Board until staff return, DCA has loaned two staff members (one full time and one part time) to cover phones and process RDO Program applications.

As indicated in the Enforcement Report, the RDO Program's Enforcement Analyst position has been filled. Mr. Juarez will be the sole analyst processing RDO enforcement cases, alleviating some workload from the other enforcement analysts.

Board management is currently reviewing 150+ applications for the vacant enforcement technician position. While multiple interviews were held already, management ultimately decided to repost for a wider candidate pool. Interviews will likely be held in early May.

I. Budget

Please see the attached Optometry and RDO Fund and Expenditure Report from DCA's Budget Office (Attachment 4).

Attachments:

1. Strategic Plan Update
2. Enforcement Statistics
3. Licensing Statistics
4. Optometry and RDO Budget Reports

Goal 1: Licensing

Agenda Item 7, Attachment 1

The Board provides applicants and licensees a method for obtaining and maintaining license registration, business licenses, and certifications required to practice optometry in California.

Objective 1.1: Streamline the initial license and renewal process, including paperless options and synchronizing multiple license renewal dates, to improve staff efficiency and licensee compliance.	Status: IN PROGRESS SCD ¹ : March 2018
<ul style="list-style-type: none"> • Conducts monthly meetings discussing timelines and identifying bottlenecks to better streamline the processes. • Identified and raised necessary BreEZe SIRs to improve processes, including independent transaction not requiring staff interaction and board approval (e.g., Statement of Licensure Applications). • Next Steps: <ul style="list-style-type: none"> ○ Create SIR to generate paperless initial and renewal certificates. ○ Conduct outreach informing licensees of the paperless direction of the Board. ○ Research feasibility of synchronizing expiration dates and present findings to the Board 	
Objective 1.2: Review the possibility of including continuing education requirements for both spectacle and contact lens dispenser licenses to protect consumers and maintain licensee competence.	Status: COMPLETED SCD: Dec. 2017
<ul style="list-style-type: none"> • Researched other states' continuing education (CE) requirements for Opticians. • Researched consumer complaints from other state agencies that regulate opticians. • Utilized consumer complaint reports received to research and identify any potential consumer harm. • Presented research to DOC (Dispensing Optician Committee) for consideration. • DOC recommended not pursuing continuing education requirements at this time. While continuing education is important for registrants to stay apprised of technological advances and industry standards, research indicates no immediate or apparent consumer protection need. 	
Objective 1.3: Continue monitoring and exploring opportunities to enhance BreEZe utilization staff productivity and promote licensee compliance with continuing education requirements.	Status: IN PROGRESS SCD: Dec. 2017
<ul style="list-style-type: none"> • Researching BreEZe SIRs to remove the automated printing/mailing of certificates. Instead, staff working with the BreEZe team to show pdf documents on licensees' dashboards after initial/renewal/address change etc. applications are processed. • BOARD DISCUSSION: Staff recommends removing the automated remittance coupon on the bottom of renewal notices. Instead, renewal notices would direct licensees to BreEZe. 	

Goal 2: Examination

licensed to provide optometric services in California.

Objective 2.1: Recruit more subject matter experts to create examination questions in order to	Status: COMPLETED ONGOING SCD: Dec. 2017
<ul style="list-style-type: none"> • Increased utilization of email, social media and newsletters. • Worked with DCA to design enticing flyer highlighting free CE opportunities. • Partnered with California Optometric Association (COA). • Provided recruitment flyers to continuing education events and other COA events. • Significantly increased SME pool. 	
Objective 2.2: Analyze the examination requirements to evaluate for competency and the	Status: IN PROGRESS SCD: Mar. 2019
<ul style="list-style-type: none"> • Collaborated with the Office of Professional Examination Services (OPES) to conduct an occupational analysis and a linkage study. • OA/Linkage Study in Progress; OPES will present to the Board upon completion. 	
Objective 2.3: Create a budget change proposal to secure funds so the Board can perform an validity of the examination.	Status: IN PROGRESS SCD: Dec. 2018
<ul style="list-style-type: none"> • OPES and DCA Budgets presented to DOC regarding the RDO's fund condition and recommendations for proceeding with OAs for ABO and NCLE exams • Working with DCA Budgets to prepare/submit BCP Concept; final BCP due in June. 	

Objective 2.4: Consider the feasibility of developing a state law exam for opticians to verify their familiarity with California laws.

- DOC DISCUSSION: The DOC and staff identified a significant need to provide outreach to registrants about the Board, registration, and renewal requirements. In addition, the DOC is working to streamline registration processes and revise applications. Due to this need, staff recommends holding off on considering new registration requirements for one year. This will allow time for stabilizing the existing program.

Status:
NOT STARTED
SCD: Jun. 2018

Goal 3: Law and Regulation

The Board works to establish and maintain fair and just laws and regulations that provide for the protection of consumer health and safety and reflect current and emerging, efficient and cost-effective practices.

Objective 3.1: Review federal law to identify methods that will strengthen existing California legislation regarding the sale of contact lenses and eye glasses as a means of improving enforcement and enhancing consumer protection.

- Made various connections within the FTC regarding the Federal Eyeglass and Contact Lens laws.
- FTC currently working on improving the applicable sections.
- No staff recommendations on legislation at this time.

Status:
IN PROGRESS
SCD: Jun. 2018

Objective 3.2: Contact members of congress and the Federal Trade Commission regarding the current 48 hour law to obtain information that would assist in closing existing loopholes.

- BOARD/DOC DISCUSSION: Please clarify for staff what "loopholes" currently exist.

Status:
NOT STARTED
SCD: Jun. 2018

Objective 3.3: Continue prioritization for review and updates to existing statutes, legislation, and regulations, for both optometry and dispensing opticians, in order to identify whether or not they are the cause of enforcement delays and determine promulgation of new regulations.

- Legislative proposals have been submitted for consideration in omnibus bill; proposal includes combining the practice acts for easier review.
- DOC and Board working on regulatory amendments to create RDO Program Disciplinary Guidelines and improve existing Optometry Disciplinary Guidelines.
- DOC and Board approved regulatory amendments to improve applications.
- Board sponsored SB 1386 which includes additional enforcement provisions to hold owners accountable for standard of care within optometry practices.

Status:
IN PROGRESS
SCD: Dec. 2018

Objective 3.4: Continue partnering with the Medical Board of California to identify potential loopholes regarding online and kiosk refraction administration to enhance consumer protection.

- Attended the Medical Board of California (MBC) board meeting.
- Provided MBC with materials developed from the board's communication plan.
- Working closely with MBC on joint-jurisdiction enforcement matters involving online and kiosk refractions.

Status:
COMPLETED
AND ONGOING
SCD: Dec. 2017

Objective 3.5: Review current methods and explore new opportunities to increase access to care (e.g. scope of practice, mobile clinics, new technology, tele-medicine).

- Established mobile clinic workgroup to develop legislation increasing access to quality optometric care to homebound patients.
- Full Board discussed various mobile practices with stakeholders and DCA entities in November 2017 to gain a better understanding of current methods of regulating mobile practices.
- Various mobile clinic stakeholders attended LRC meeting in March 2018; recommendations presented to Full Board in April 2018.

Status:
IN PROGRESS
SCD: Dec. 2017

Objective 3.6: Review and identify existing practice requirements with regards to unnecessary licensing barriers in an effort to reduce barriers to entry, enhance consumer access to care, and maintain consumer protection.

- Reviewed the Little Hoover Report
- Researched all relevant practice requirements and identify possible licensing barriers and worked with legislature to remove barriers in AB 1708.
- Sponsored SB 1386 to repeal BPC § 3077 – an unnecessary license barrier that was created for the profession and for "the avoidance of the evils of competition."
- Researching other potential license barriers.

Status:
IN PROGRESS
SCD: DEC. 2018

Objective 3.7: Explore the feasibility of proposing legislation to synchronize the expiration dates of all license types for a given individual.

Status:
NOT STARTED
SCD: Mar. 2018

Objective 3.8: Explore the feasibility of proposing legislation to merge the RDO and Optometry funds to stabilize the long-term Optometry fund condition.	Agenda Item 7, Attachment Status: NOT STARTED SCD: Jun. 2018
Objective 3.9: Explore the feasibility of proposing legislation to merge the RDO program into the Optometry Practice Act.	Status: IN PROGRESS SCD: Jan. 2019
<ul style="list-style-type: none"> Worked with legal counsel to prepare legislative amendments combining the practice acts. LRC and Board approved legislative approval in January 2018. Submitted legislative proposal to Senate B&P and accepted for omnibus bill. 	
Objective 3.10: Work in conjunction with all stakeholders to ensure consumer protection is weighed equally with consumer choice so legislation and/or regulation promotes fair access to goods and services while informing consumers of the risks and benefits such goods and services afford.	Status: IN PROGRESS SCD: Jun. 2020
<ul style="list-style-type: none"> Worked with stakeholders regarding mobile optometric practice, repealing Branch Office law, sponsoring legislation devoted to children's vision, and improving the RDO Program . 	
Objective 3.11: Develop and implement a new inspection program using best practices from other existing programs within DCA. If necessary, sponsor/approve legislation or regulatory amendments to improve inspection authority language.	Status: IN PROGRESS SCD: Dec. 2018
<ul style="list-style-type: none"> Board proposed legislative amendments included in AB 1708 to improve inspection authority language. Full Board heard from existing DCA inspection programs to learn best practices. CPC held additional committee meetings reviewing available data and soliciting feedback from stakeholders on the Board's inspection program. Full Board to discuss inspection program again at the April 2018 meeting. 	
Goal 4: Enforcement	
<i>The Board protects the health and safety of consumers of optometric services through the active enforcement of the laws and regulations governing the safe practice of Optometry in California.</i>	
Objective 4.1: Review enforcement timing between initial offense and when it is conveyed to the Board to act upon in order to protect the consumer and maintain patient access to care.	Status: NOT STARTED SCD: Jan. 2019
Objective 4.2: Explore the feasibility of participating in the FBI rap back program to expedite and enhance enforcement efforts.	Status: IN PROGRESS SCD: Mar. 2018
<ul style="list-style-type: none"> Discussed participation requirements with the FBI and DOJ Requested assistance from the DCA Discussed during January 2018 Director's Meeting DOJ starting stakeholders meeting to determine project plan and resources needed. 	
Objective 4.3: Research the possibility of changing the statute to require licensees to self-report to the Board within thirty days of conviction or other disciplinary action as a means of expediting and enhancing enforcement efforts.	Status: NOT STARTED SCD: Jun. 2018
Objective 4.4: Research the possibility of requiring licensees to enroll in the national practitioner's databank to expedite and enhance enforcement efforts.	Status: COMPLETED Jan. 2018
<ul style="list-style-type: none"> Included in Board's Sunset Bill (AB 1708) effective January 1, 2018. As of July 1, 2018, all applicants and licensees will be enrolled in NPDB and be charged \$2 for initial applications and \$4 during renewal to support NPDB. 	
Objective 4.5: Develop proactive methods to enforce the unlicensed sale and distribution of cosmetic contact lenses.	Status: NOT STARTED SCD: Jun. 2018
Objective 4.6: Establish a partnership with DCA Boards and county and state organizations to identify and address unlicensed activity (e.g. contact lens sales and expired prescriptions) in an effort to protect consumers.	Status: NOT STARTED SCD: Sept. 2018
Objective 4.7: Review the corrective action for compliance (and revise if necessary) in order to deter unlicensed activity	Status: NOT STARTED SCD: Dec. 2018
Objective 4.8: Analyze the 2012 disciplinary guidelines for optometrists and revise where necessary, to promote consistency and fairness with enforcement decisions and enhance consumer protection	Status: IN PROGRESS SCD: Dec. 2018

<ul style="list-style-type: none">Consumer Protection Committee's workgroup developed recommendations for CRC consideration during March 23, 2018 meetingRecommendations to be considered by full Board during April 20 Board meeting	Agenda Item 7, Attachment 1
Objective 4.9: Adopt disciplinary guidelines for opticians to promote consistency and fairness with enforcement decisions and enhance consumer protection.	Status: IN PROGRESS SCD: July. 2018
<ul style="list-style-type: none">Discussed multiple times during DOC meetings; held various workgroup meetingsDOC workgroup proposing recommendations during April 19 DOC meeting	
Objective 4.10: Improve working relationships with the Attorney General's Office, Division of Investigation, District Attorneys and other Government Agencies to identify and fix enforcement bottlenecks and streamline enforcement processes.	Status: IN PROGRESS SCD: July. 2018
<ul style="list-style-type: none">Working with DAG Liaison to streamline processes, update transmittal memos, and improve communication throughout all AG OfficesMet with Northern Area Commander at DOI to discuss concerns and improve investigation quality; requesting additional meetings with Southern Area Commander and the Division Chief	
Objective 4.11: Develop and implement a new inspection program using best practices from other existing programs within DCA.	Status: IN PROGRESS SCD: July. 2018
<ul style="list-style-type: none">Researched existing inspection programs and presented information during Dec. 2017 CPC meetingInspection "tools" being discussed/developed during March 23, 2018 meeting	
Goal 5: Outreach <i>The Board proactively educates, informs and engages consumers, licensees, students and other stakeholders on the practice of optometry and the laws and regulations which govern it.</i>	
Objective 5.1: Review and educate licensees about the scope of practice for optometric assistants, opticians, RDO's, CLD's and SLD's to mirror the letter and chart sent to optometrists clarifying privileges for specific licensing certifications in order to enhance consumer protection.	Status: IN PROGRESS SCD: July 2019
<ul style="list-style-type: none">Content developed as part of Spring 2018 NewsletterPending PROC reviewNext Steps: Develop Scope of Practice FAQ	
Objective 5.2 Educate practitioners about current federal law regarding contact lens prescription release (48 hour law).	Status: NOT STARTED SCD: Sept. 2018
BOARD/DOC DISCUSSION: Please clarify for staff what "loopholes" currently exist.	
Objective 5.3 Educate licensees and registrants about advertising requirements (e.g. free eye exams, free 2nd pair of glasses, etc.) to avoid misleading the public and reduce licensee confusion.	Status: IN PROGRESS SCD: Dec. 2019
<ul style="list-style-type: none">Content developed as part of Spring 2018 NewsletterDCA finalizing Spring 2018 Newsletter for publication in late April.	
Objective 5.4 Educate the public on how to adequately fill contact lens prescriptions based on expiration dates and quantity limits to increase awareness and enhance consumer protection.	Status: NOT STARTED SCD: Apr. 2019
Objective 5.5 Develop a public relations campaign to educate unlicensed sellers regarding contact lens distribution laws to mitigate future violations, therefore protecting consumers.	Status: NOT STARTED SCD: Dec. 2018
Objective 5.6 Promote BreEZe's online renewal capability to licensees to decrease manual entries and improve staff efficiency.	Status: COMPLETED AND ONGOING
<ul style="list-style-type: none">Increased social media usage to specifically promote BreEZeDistributed courtesy emails to those up for renewal with link to BreEZeReplaced paper applications on Board's website with links to BreEZeWorking with stakeholders to promote BreEZe	
Objective 5.7 Develop a communication plan utilizing technology to communicate more effectively with stakeholders, patients, and licensees.	Status: COMPLETED
<ul style="list-style-type: none">Communications plan adopted by the BoardCurrently implementing; developing videos, articles, op-eds	

<ul style="list-style-type: none"> • Social Media: Facebook, Twitter • New DCA License Lookup for mobile devices • DCA Blog: Glaucoma Awareness Month, O's for the Eyes • Consumer Connection Magazine (O's for the Eyes included) 	Agenda Item 7, Attachment 1
Objective 5.8 Develop a communication plan to raise awareness of the importance of children's vision health and wellness.	
<ul style="list-style-type: none"> • Communications plan adopted by the Board • Currently implementing; developing videos, brochures, op-eds • Video: Children's Vision Screenings: A False Sense of Security • Article: For Some Kids, Four Eyes Than Two • Brochure: Kids Eye Health is Not Child's Play (Attachment 3) • Reaching out to high profile "YouTubers" to solicit support for #ShowOffYourFrameGame campaign 	Status: COMPLETED
Objective 5.9 Conduct an in depth review the Board's website and update information for consumers, licensees, and registrants.	
<ul style="list-style-type: none"> • Completed committee review of website and updated information • Developing various FAQs for licensees/registrants • Website re-design initiating August 2018 	Status: IN PROGRESS SCD: Dec. 2018
<p style="text-align: center;">Goal 6: Organizational Effectiveness</p> <p><i>The Board works to develop and maintain an efficient and effective team of professional and public leaders and staff with sufficient resources to improve the Board's provision of programs and services.</i></p>	
Objective 6.1: Provide customer service training for staff to improve communication with stakeholders.	
<ul style="list-style-type: none"> • Board management encourages customer service training for staff; staff to complete training at least every two years. 	Status: IN PROGRESS SCD: Jan. 2019
Objective 6.2: Investigate technology options for conducting committee and special meetings to broaden access to stakeholders and consumers.	
<ul style="list-style-type: none"> • Held various committee and stakeholder meetings using WebEx and provided call-in numbers for stakeholders throughout the US to participate in stakeholder meetings. • Broadcasted DOC meetings using Facebook Live. 	Status: COMPLETED SCD: Sep. 2019
Objective 6.3: Provide teambuilding training or exercises to Board members to improve Board functioning.	
<ul style="list-style-type: none"> • Rather than specific teambuilding training or exercises, Board members opted for lunches and dinners during various meetings. 	Status: COMPLETED AND ONGOING SCD: Mar. 2018
Objective 6.4: Provide teambuilding exercises between Board members and staff to improve relationships.	
<ul style="list-style-type: none"> • Rather than specific teambuilding training or exercises, Board members opted for coffee breaks, lunches and dinners during various meetings. 	Status: COMPLETED AND ONGOING SCD: Jun. 2018
Objective 6.5: Improve communication and build relationships between the Governor's office, legislators, and the Board to effectively achieve the Board's objectives.	
<ul style="list-style-type: none"> • Board staff held various meetings with legislatures regarding Board sponsored legislation and legislative proposals for omnibus bill consideration. • Children's Vision Workgroup participated in various meetings with author's office to achieve Board objectives. 	Status: IN PROGRESS SCD: Sep. 2019

Optometry License Applications FY 16/17-17/18

OPT: Optometrist License

BOL: Branch Office License

SOL: Statement of Licensure

FNP: Fictitious Name Permit

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
OPT Exam Requests	Paper Exam Requests Received	79	2	2	1	1	0	2	0	3	1	12
	Online Exam Requests Received	215	12	10	15	8	4	28	37	35	55	204
	Approved Paper Exam Requests	77	2	0	2	1	0	1	1	2	1	10
	Approved Online Exam Requests	208	11	9	12	9	5	22	32	37	42	179
	Paper Exam Request Cycle Time (Avg.)	24	12	N/A	21	22	N/A	10	37	17	37	21
	Online Exam Request Cycle Time (Avg.)	9	3	2	2	2	6	2	3	9	3	4
	Withdrawn/Cancelled Applications	9	0	1	2	8	3	1	1	0	0	16
	Exam Requests Pending	14	15	17	17	8	4	10	13	12	25	25

OPT License Apps	License Applications Opened	319	14	9	15	10	6	25	34	41	47	201
	Licenses Issued	307	50	28	7	10	13	6	7	14	10	145
	License Application Cycle Time (Avg.)	106	100	107	107	111	143	113	160	167	167	121
	Withdrawn/Cancelled	50	4	2	7	5	4	2	2	0	7	33
	License Applications Pending	166	126	105	106	101	90	107	132	159	189	189

Optometry License Applications FY 16/17-17/18

OPT: Optometrist License

BOL: Branch Office License

SOL: Statement of Licensure

FNP: Fictitious Name Permit

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
BOLs	Paper Applications Received	30	2	1	1	5	2	1	1	5	3	21
	Online Applications Received	46	3	2	2	5	3	5	7	9	1	37
	Paper Applications Approved	24	7	1	0	5	1	0	2	3	1	20
	Online Applications Approved	35	4	0	2	2	0	2	6	5	7	28
	Avg. Cycle Time (Paper)	52	53	68	N/A	53	44	N/A	104	72	55	61
	Avg. Cycle Time (Online)	33	36	N/A	47	24	N/A	35	80	49	47	50
	Withdrawn/Cancelled	8	1	0	2	1	0	0	1	0	4	9
	Pending Apps	12	5	7	6	8	12	16	15	21	13	13

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
SOLs	Paper Applications Received	111	3	9	30	11	21	3	6	3	10	96
	Online Applications Received	134	19	14	10	18	8	5	16	17	8	115
	Paper Applications Approved	112	5	3	9	8	21	5	7	2	3	63
	Online Applications Approved	102	5	30	3	24	4	0	20	6	16	108
	Avg. Cycle Time (Paper)	26	31	22	30	26	68	30	25	17	45	42
	Avg. Cycle Time (Online)	23	46	29	19	36	50	N/A	38	34	35	35
	Withdrawn/Cancelled	28	1	8	8	5	18	1	1	0	1	43
	Pending Apps	25	36	18	38	30	16	18	12	24	22	22

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
FNPs	Paper Applications Received	99	6	6	2	8	11	5	5	4	7	54
	Online Applications Received	65	7	4	2	4	17	7	26	14	6	87
	Paper Applications Approved	79	4	5	4	8	4	9	7	8	5	54
	Online Applications Approved	63	2	1	2	7	6	4	10	5	15	52
	Avg. Cycle Time (Paper)	56	90	100	88	102	63	80	79	66	73	82
	Avg. Cycle Time (Online)	54	32	67	94	93	79	63	64	60	57	67
	Withdrawn/Cancelled	19	1	1	0	1	1	2	5	2	13	26
	Pending Apps	21	27	30	28	24	41	38	47	50	30	30

Optometry License Renewals FY 16/17-17/18

OPT: Optometrist License

BOL: Branch Office License

SOL: Statement of Licensure

FNP: Fictitious Name Permit

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
OPTs	Paper Renewals Approved	1549	105	123	138	113	104	113	125	105	94	1020
	Online Renewals Approved	2298	165	199	179	243	218	170	195	190	226	1785
	Avg. Cycle Time (Paper)	12	7	7	9	16	14	10	9	15	8	10
	Avg. Cycle Time (Online)	5	6	5	7	7	13	5	3	4	2	6

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
BOLs	Paper Renewals Approved	231	0	0	0	2	85	50	38	21	8	204
	Online Renewals Approved	120	1	1	0	0	51	18	43	14	8	136
	Avg. Cycle Time (Paper)	9	N/A	N/A	N/A	177	2	4	4	8	18	6
	Avg. Cycle Time (Online)	0	0	0	N/A	N/A	0	0	0	1	0	0

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
SOLs	Paper Renewals Approved	185	8	10	10	5	9	8	9	7	8	74
	Online Renewals Approved	244	15	29	21	23	18	21	22	19	21	189
	Avg. Cycle Time (Paper)	4	6	2	2	3	2	8	5	4	5	4
	Avg. Cycle Time (Online)	0	26	0	0	0	0	0	0	1	0	2

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
FNPs	Paper Renewals Approved	945	1	1	0	2	400	200	157	60	41	862
	Online Renewals Approved	459	0	0	2	0	244	86	158	29	30	549
	Avg. Cycle Time (Paper)	7	2	251	N/A	10	2	4	3	8	36	5
	Avg. Cycle Time (Online)	4	N/A	N/A	0	N/A	0	4	0	0	17	2

Registered Dispensing Optician Applications FY 16/17-17/18

RDO: Registered Dispensing Optician

CLD: Contact Lens Dispenser

SLD: Spectacle Lens Dispenser

NRCLD: Non-Resident Contact Lens Dispenser

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
RDOs	Paper Applications Received	124	2	16	6	7	0	3	3	2	8	47
	Online Applications Received	0	3	10	10	9	11	3	13	14	20	93
	Paper Applications Approved	81	7	16	4	4	10	6	23	3	1	74
	Online Applications Approved	0	0	0	7	0	1	0	4	19	2	33
	Avg. Cycle Time (Paper)	103	101	102	145	165	92	113	199	68	64	135
	Avg. Cycle Time (Online)	N/A	N/A	N/A	30	N/A	84	N/A	132	80	57	75
	Expired/Withdrawn/Cancelled	32	0	2	0	1	0	2	5	12	1	23
	Pending Apps	45	43	51	56	67	67	65	49	31	55	55

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
CLDs	Paper Applications Received	101	8	7	6	5	5	2	4	4	3	44
	Online Applications Received	2	4	3	8	2	9	8	6	8	4	52
	Paper Applications Approved	70	14	12	1	6	4	8	3	6	1	55
	Online Applications Approved	0	1	0	1	2	0	2	8	6	6	26
	Avg. Cycle Time (Paper)	87	83	48	84	87	100	101	147	81	61	83
	Avg. Cycle Time (Online)	N/A	33	N/A	98	87	N/A	101	103	79	87	89
	Expired/Withdrawn/Cancelled	9	1	2	0	0	1	1	3	4	1	13
	Pending Apps	34	30	26	38	37	46	45	41	37	36	36

Registered Dispensing Optician Applications FY 16/17-17/18

RDO: Registered Dispensing Optician

CLD: Contact Lens Dispenser

SLD: Spectacle Lens Dispenser

NRCLD: Non-Resident Contact Lens Dispenser

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
SLDs	Paper Applications Received	365	16	32	9	22	8	12	6	4	11	120
	Online Applications Received	4	9	16	15	13	14	23	23	23	13	149
	Paper Applications Approved	304	24	25	9	20	13	18	20	11	4	144
	Online Applications Approved	N/A	0	0	2	8	0	11	15	17	11	64
	Avg. Cycle Time (Paper)	89	81	99	89	99	116	111	186	79	72	108
	Avg. Cycle Time (Online)	N/A	N/A	N/A	85	78	N/A	94	121	63	68	85
	Expired/Withdrawn/Cancelled	18	2	8	2	1	3	4	6	8	1	35
	Pending Apps	99	98	113	124	130	136	138	126	117	125	125

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
NRCLDs	Paper Applications Received	5	0	0	0	0	0	0	0	1	0	1
	Online Applications Received	0	0	0	0	0	0	0	0	0	1	1
	Paper Applications Approved	2	0	0	0	0	0	0	0	0	0	0
	Online Applications Approved	0	0	0	0	0	0	0	0	0	0	0
	Avg. Cycle Time (Paper)	86	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
	Avg. Cycle Time (Online)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0
	Expired/Withdrawn/Cancelled	2	0	0	0	0	0	0	0	0	0	0
	Pending Apps	2	2	2	2	2	2	2	2	3	4	4

Registered Dispensing Optician Renewals FY 16/17-17/18

RDO: Registered Dispensing Optician

CLD: Contact Lens Dispenser

SLD: Spectacle Lens Dispenser

NRCLD: Non-Resident Contact Lens Dispenser

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
RDOs	Paper Renewals Approved	454	8	53	45	31	29	47	65	33	81	392
	Online Renewals Approved	0	6	7	7	2	3	11	1	7	7	51
	Avg. Cycle Time (Paper)	68	27	61	27	124	10	293	25	8	28	68
	Avg. Cycle Time (Online)	N/A	10	148	148	0	0	462	0	5	0	162

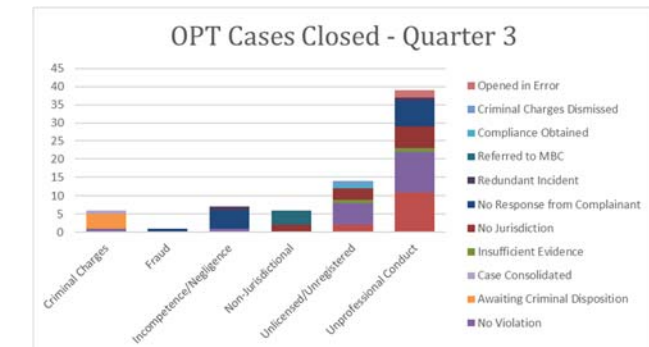
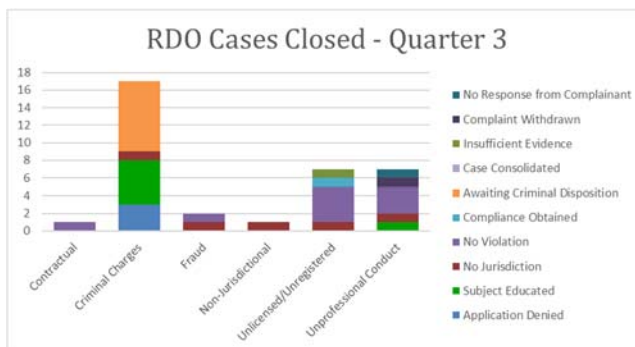
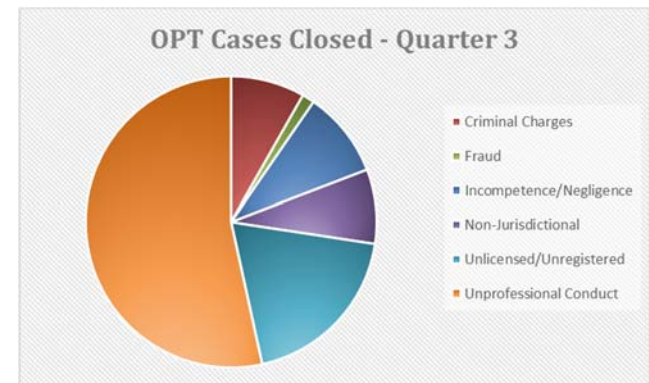
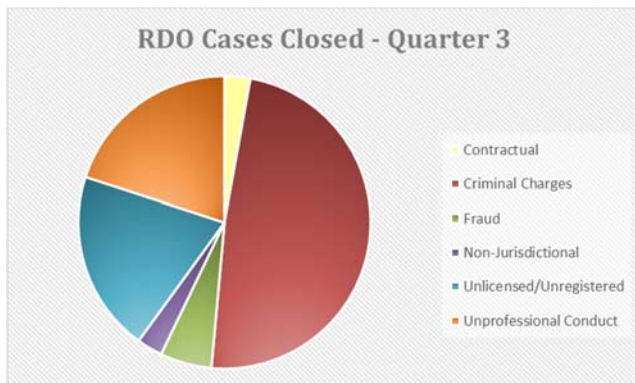
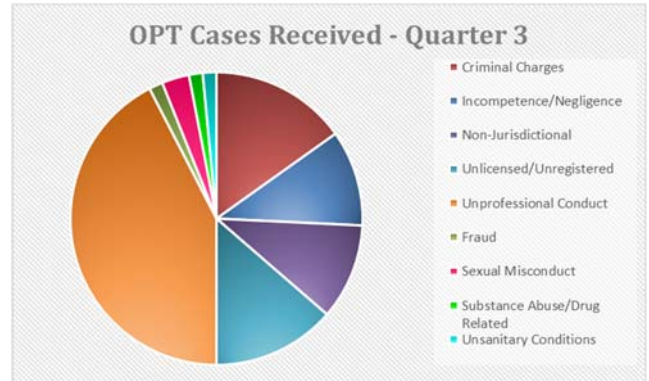
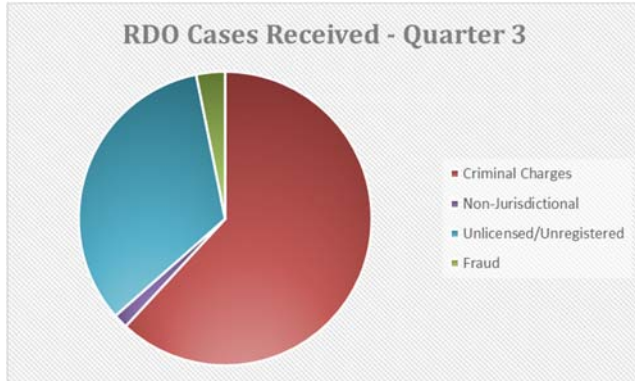
		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
CLDs	Paper Renewals Approved	445	19	28	42	19	2	9	11	14	10	154
	Online Renewals Approved	3	17	17	9	8	9	11	11	23	37	142
	Avg. Cycle Time (Paper)	26	107	71	52	22	57	64	54	42	111	62
	Avg. Cycle Time (Online)	97	1	0	18	0	0	29	99	40	47	30

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
SLDs	Paper Renewals Approved	987	41	61	68	50	1	33	44	32	28	358
	Online Renewals Approved	9	28	28	38	37	28	30	42	48	60	340
	Avg. Cycle Time (Paper)	30	43	49	46	44	7	49	61	92	84	55
	Avg. Cycle Time (Online)	82	2	4	11	0	1	33	26	30	42	19

		FY 16/17	Q1			Q2			Q3			FY 17/18
		Total	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Total
NRCLDs	Paper Renewals Approved	7	0	0	0	0	0	0	0	0	0	0
	Online Renewals Approved	0	0	0	0	0	0	0	0	0	1	1
	Avg. Cycle Time (Paper)	51	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Avg. Cycle Time (Online)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0	0

Enforcement Statistics Q3 FY 2017/2018

	Q1 – FY17/18						Q2 – FY17/18						Q3 – FY17/18						FY Total	
	Routine		Expedite		High		Routine		Expedite		High		Routine		Expedite		High			
Cases by Priority	OPT	RDO	OPT	RDO	OPT	RDO	OPT	RDO	OPT	RDO	OPT	RDO	OPT	RDO	OPT	RDO	OPT	RDO		
Received	46	51	0	0	4	1	45	41	0	0	3	0	57	63	0	0	9	0		
Closed	65	37	0	0	2	0	41	36	0	0	0	0	71	35	0	0	1	0		
Average Age (days) – Closed	273	82	0	0	22	0	271	260	0	0	0	0	295	204	0	0	1	0		
Pending	149	69	0	0	6	0	153	74	0	0	7	0	132	100	0	0	15	1		
Average Age (days) – Pending	346	278	0	0	81	0	337	217	0	0	127	0	279	155	0	0	156	106		
Referred to AG	1	1	0	0	0	1	2	19	0	0	0	0	9	3	0	0	1	0		
Pending at AG	8	13	0	0	0	1	9	31	0	0	0	1	16	35	0	0	1	1		
Final Disciplinary Orders	4	1	0	0	0	0	0	1	0	0	0	0	3	0	0	0	0	0		



0763 - State Optometry Fund

Analysis of Fund Condition

Prepared 1/10/2018

(Dollars in Thousands)

2018-19 Governor's Budget

	ACTUAL 2016-17	CY 2017-18	BY 2018-19
BEGINNING BALANCE	\$ 1,903	\$ 3,277	\$ 2,863
Prior Year Adjustment	\$ 6	\$ -	\$ -
Adjusted Beginning Balance	\$ 1,909	\$ 3,277	\$ 2,863
REVENUES AND TRANSFERS			
Revenues:			
4121200 Delinquent Fees	\$ 12	\$ 11	\$ 11
4127400 Renewal Fees	\$ 1,676	\$ 1,673	\$ 1,693
4129200 Other Regulatory Fees	\$ 19	\$ 23	\$ 23
4129400 Other Regulatory Licenses and Permits	\$ 132	\$ 155	\$ 151
4143500 Miscellaneous Services to the Public	\$ 2	\$ -	\$ -
4150500 Interest Income - Interfund Loans	\$ 206	\$ -	\$ -
4163000 Investment Income - Surplus Money Investments	\$ 23	\$ 9	\$ 8
4171400 Escheat of Unclaimed Checks and Warrants	\$ 1	\$ 2	\$ 2
Total Revenues	\$ 2,071	\$ 1,873	\$ 1,888
Transfers from Other Funds			
Loan Repayment from the General Fund (0001) to the State Optometry Fund (0763), per Item 1110-011-0763 Budget Act of 2011	\$ 1,000	\$ -	\$ -
Total Revenues, Transfers, and Other Adjustments	\$ 3,071	\$ 1,873	\$ 1,888
Total Resources	\$ 4,980	\$ 5,150	\$ 4,751
EXPENDITURES			
1111 Department of Consumer Affairs Program Expenditures (State Operations)	\$ 1,604	\$ 2,181	\$ 1,918
8880 Financial Information System for CA (State Operations)	\$ 3	\$ 2	\$ -
9892 Supplemental Pension Payments (State Operations)	\$ -	\$ -	\$ 16
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	\$ 96	\$ 104	\$ 113
Total Expenditures and Expenditure Adjustments	\$ 1,703	\$ 2,287	\$ 2,047
FUND BALANCE			
Reserve for economic uncertainties	\$ 3,277	\$ 2,863	\$ 2,704
Months in Reserve	17.2	16.8	15.6

**BOARD OF OPTOMETRY - FUND 0763
BUDGET REPORT
FY 2017-18 EXPENDITURE PROJECTION**

Projected FM 8

OBJECT DESCRIPTION	FY 2016-17		FY 2017-18				
	ACTUAL	PRIOR YEAR	BUDGET	CURRENT YEAR	PERCENT	PROJECTIONS	UNENCUMBERED
	EXPENDITURES	EXPENDITURES	STONE	EXPENDITURES			
	(MONTH 13)	2/28/2017	17-18	2/28/2018	SPENT	TO YEAR END	BALANCE
PERSONNEL SERVICES							
Salary & Wages (Staff)	427,127	275,805	489,000	265,966	54%	416,549	72,451
Statutory Exempt (EO)	80,790	53,662	82,000	56,848	69%	85,908	(3,908)
Temp Help Reg (907)	43,559	22,789	41,000	24,316	59%	36,472	4,528
Board Member Per Diem	13,200	6,500	7,000	9,200	131%	14,000	(7,000)
Overtime	626	626	0	0		0	0
Staff Benefits	257,881	171,391	330,000	162,113	49%	253,897	76,103
TOTALS, PERSONNEL SVC	823,183	530,773	949,000	518,443	55%	806,826	142,174
OPERATING EXPENSE AND EQUIPMENT							
General Expense	11,875	9,139	16,000	8,133	51%	10,600	5,400
Fingerprint Report	3,397	1,895	5,000	2,033	41%	3,600	1,400
Minor Equipment	0	0	0	863		863	(863)
Printing	12,713	10,070	8,000	13,356	167%	13,356	(5,356)
Communications	2,800	1,705	5,000	1,586	32%	3,000	2,000
Postage	7,388	4,225	11,000	1,734	16%	7,500	3,500
Insurance	10	0	0	0		0	0
Travel In State	39,122	16,938	8,000	22,658	283%	40,000	(32,000)
Travel, Out-of-State	886	0	0	0		2,240	(2,240)
Training	1,383	420	1,000	0	0%	0	1,000
Facilities Operations	112,237	109,810	59,000	75,728	128%	113,000	(54,000)
C & P Services - Intercept.	0	0	175,000	0	0%	0	175,000
C & P Services - External	28,771	34,892	30,000	32,587	109%	30,000	0
DEPARTMENTAL SERVICES:							
OIS Pro Rata	265,050	182,000	263,000	175,333	67%	263,000	0
Admin Pro Rata	129,497	82,664	144,000	96,000	67%	144,000	0
Interagency Services	0	0	0	0		0	0
IA w/ OPES	22,080	24,720	0	17,714		62,208	(62,208)
DOI-Pro Rata	2,760	2,000	4,000	2,667	67%	4,000	0
Communications Div	19,261	13,336	9,000	6,000	67%	9,000	0
PPRD Pro Rata	654	664	11,000	7,333	67%	11,000	0
INTERAGENCY SERVICES:							
Consolidated Data Centers	179	194	5,000	0	0%	200	4,800
DP Maintenance & Supply	2,565	2,565	1,000	62	6%	2,600	(1,600)
EXAM EXPENSES:							
C/P Svcs-External Expert Examiners	1,705	0	21,000	0	0%	1,700	19,300
C/P Svcs-External Subject Matter	2,755	1,030	0	3,985		3,500	(3,500)
ENFORCEMENT:							
Attorney General	107,998	67,755	237,000	78,164	33%	117,000	120,000
Office Admin. Hearings	25,890	17,361	38,000	19,425	51%	34,000	4,000
Court Reporters	1,609	821	0	875		1,700	(1,700)
Evidence/Witness Fees	500	0	16,000	0	0%	500	15,500
DOI - Investigations	0	0	257,000	171,333	67%	257,000	0
Major Equipment	0	0	0	0		0	0
Other Items of Expense	0	0	0	138		138	(138)
TOTALS, OE&E	803,085	584,204	1,324,000	737,706	56%	1,135,705	188,295
TOTAL EXPENSE	1,626,268	1,114,977	2,273,000	1,256,150	55%	1,942,531	330,469
Sched. Reimb. - Fingerprints	(4,459)	(2,205)	(6,000)	(1,838)	31%	(6,000)	0
Sched. Reimb. - Other	(2,820)	(1,645)	0	(1,528)			0
Probation Monitoring Fee - Variable	(4,500)	(3,400)	0	(4,800)			0
Unsched. Reimb. - Investigative Cost Recove	(10,093)	(3,985)	0	(12,765)			0
Internal Distributed Costs	0	0	(86,000)	0		(86,000)	0
NET APPROPRIATION	1,604,396	1,103,741	2,181,000	1,235,220	57%	1,850,531	330,469
SURPLUS/(DEFICIT):							15.2%

0175 - Dispensing Opticians Fund Analysis of Fund Condition

(Dollars in Thousands)

2018-19 Governor's Budget

	ACTUAL 2016-17	CY 2017-18	BY 2018-19
BEGINNING BALANCE	\$ 158	\$ 261	\$ 426
Adjusted Beginning Balance	\$ 158	\$ 261	\$ 426
REVENUES AND TRANSFERS			
Revenues:			
4121200 Delinquent fees	\$ 7	\$ 13	\$ 13
4127400 Renewal fees	\$ 185	\$ 426	\$ 435
4129200 Other regulatory fees	\$ 1	\$ 1	\$ 1
4129400 Other regulatory licenses and permits	\$ 77	\$ 130	\$ 135
4163000 Income from surplus money investments	\$ 1	\$ 2	\$ 2
Total Revenues	\$ 271	\$ 572	\$ 586
 Total Revenues, Transfers, and Other Adjustments	 \$ 271	 \$ 572	 \$ 586
Total Resources	\$ 429	\$ 833	\$ 1,012
 EXPENDITURES			
1111 Department of Consumer Affairs Program Expenditures (State Operations)	\$ 163	\$ 400	\$ 417
9892 Supplemental Pension Payments (State Operations)	\$ -	\$ -	\$ 1
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	\$ 5	\$ 7	\$ 25
Total Expenditures and Expenditure Adjustments	\$ 168	\$ 407	\$ 443
 FUND BALANCE			
Reserve for economic uncertainties	\$ 261	\$ 426	\$ 569
 Months in Reserve	 7.7	 11.6	 15.1

REGISTERED DISPENSING OPTICIANS - FUND 0175
BUDGET REPORT
FY 2017-18 EXPENDITURE PROJECTION

Projected FM 8

OBJECT DESCRIPTION	FY 2016-17		FY 2017-18				
	ACTUAL	PRIOR YEAR	BUDGET	CURRENT YEAR	PERCENT	PROJECTIONS	UNENCUMBERED
	EXPENDITURES (MONTH 13)	EXPENDITURES 2/28/2017	STONE 2017-18	EXPENDITURES 2/28/2018	SPENT	TO YEAR END	BALANCE
PERSONNEL SERVICES							
Civil Service-Perm	24,974	10,976	102,000	9,933	10%	25,745	76,255
Temp Help Reg (907)	0	0	0	12,735		28,087	(28,087)
Overtime	0	0	0	187		187	(187)
Staff Benefits	12,561	6,097	52,000	4,704	9%	12,192	39,808
TOTALS, PERSONNEL SVC	37,535	17,073	154,000	27,559	18%	66,211	87,789
OPERATING EXPENSE AND EQUIPMENT							
General Expense	452	212	4,000	16	0%	500	3,500
Fingerprint Reports	137	137	0	0		200	(200)
Minor Equipment	0	0	0	0		0	0
Printing	308	192	2,000	1,453	73%	2,907	(907)
Communication	0	0	1,000	0	0%	0	1,000
Postage	2,241	1,193	2,000	1,525	76%	2,600	(600)
Insurance	2	0	0	0		0	0
Travel In State	13	0	6,000	635	11%	11,000	(5,000)
Training	7	0	1,000	0	0%	0	1,000
Facilities Operations	0	0	0	0		0	0
C & P Services - Interdept.	0	0	86,000	0	0%	86,000	0
C & P Services - External	2,200	3,000	2,000	1,428	71%	3,000	(1,000)
DEPARTMENTAL SERVICES:							
OIS Pro Rata	55,902	38,664	56,000	37,333	67%	56,000	0
Administration Pro Rata	24,903	16,000	25,000	16,667	67%	25,000	0
Interagency Services	0	0	0	0		0	0
DOI - Pro Rata	920	664	1,000	667	67%	1,000	0
Communications Div	2,889	2,000	2,000	1,333	67%	2,000	0
PPRD Pro Rata	0	0	1,000	667	67%	1,000	0
INTERAGENCY SERVICES:							
Consolidated Data Center	0	0	0	0		0	0
DP Maintenance & Supply	0	0	1,000	0	0%	0	1,000
ENFORCEMENT:							
Attorney General	45,675	23,293	50,000	52,272	105%	78,500	(28,500)
Office Admin. Hearings	0	0	5,000	0	0%	0	5,000
Court Reporters	0	0	0	0		0	0
Evidence/Witness Fees	0	0	0	0		0	0
DOI - Investigations	0	0	2,000	0	0%	0	2,000
Major Equipment	0	0	0	0		0	0
TOTALS, OE&E	135,649	85,355	247,000	113,997	46%	269,707	(22,707)
TOTAL EXPENSE	173,184	102,428	401,000	141,556	35%	335,918	65,082
Sched. Reimb. - Fingerprints	0	0	(1,000)		0%	(1,000)	0
Unsched. Reimb. - ICR	(7,546)	(4,242)		(5,031)			0
Unsched. Reimb. - ICR - Prob Monitor	(2,300)	(1,800)		(1,533)			0
NET APPROPRIATION	163,338	96,386	400,000	134,992	34%	334,918	65,082
SURPLUS/(DEFICIT):							16.3%