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To: Dispensing Optician Committee Members **Date:** September 22, 2017

From: Martha Garcia, CLD, SLD **Telephone:** (916) 575-7170
Committee Chair

Subject: **Agenda Item 4 – Review and Discussion on the Registered Dispensing Opticians (RDO) Fund Condition and Projected Expenditure Report**

The attached RDO Program Fund Condition shows a stable fund, with revenues exceeding expenditures, and projecting 9.5 months in reserve for the Current Year (CY) 2017-18 and 11.1 months for the Budget Year (BY) 2018-19 (Attachment 1).

Business and Professions Code (BPC) § [128.5](#) allows the RDO Program fund to maintain two fiscal years (24 months) in reserve before reducing program fees.

According to the Fiscal Year (FY) 2016-17 Expenditure Report for Fiscal Month (FM) 13 (Attachment 2), the RDO Program reverted 47.3%, spending \$163,338 out of its \$310,000 total budget.

The DCA Budget Office will give an overview of the budget process and fund condition during the next Sacramento DOC meeting.

0175 - Registered Dispensing Opticians Analysis of Fund Condition

(Dollars in Thousands)

2017 Budget Act w/ FM 13

| | Actual 2016-17 | CY 2017-18 | BY 2018-19 |
|--|-------------------|---------------|---------------|
| BEGINNING BALANCE | \$ 158 | \$ 260 | \$ 322 |
| Prior Year Adjustment | \$ - | \$ - | \$ - |
| Adjusted Beginning Balance | \$ 158 | \$ 260 | \$ 322 |
| REVENUES AND TRANSFERS | | | |
| Revenues: | | | |
| 125600 Other regulatory fees | \$ 1 | \$ 1 | \$ 1 |
| 125700 Other regulatory licenses and permits | \$ 77 | \$ 107 | \$ 107 |
| 125800 Renewal fees | \$ 185 | \$ 342 | \$ 342 |
| 125900 Delinquent fees | \$ 7 | \$ 12 | \$ 12 |
| 150300 Income from surplus money investments | \$ 1 | \$ - | \$ 1 |
| Total Revenues | \$ 271 | \$ 462 | \$ 463 |
| Total Revenues, Transfers, and Other Adjustments | \$ 271 | \$ 462 | \$ 463 |
| Total Resources | \$ 429 | \$ 722 | \$ 785 |
| EXPENDITURES | | | |
| Disbursements: | | | |
| 1110 Program Expenditures (State Operations) | \$ - | \$ - | \$ - |
| 1111 Program Expenditures (State Operations) | \$ 164 | \$ 393 | \$ 401 |
| 8880 Financial Information System for California (State Operations) | \$ - | \$ - | \$ - |
| 9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations) | \$ 5 | \$ 7 | \$ 7 |
| Total Expenditures and Expenditure Adjustments | \$ 169 | \$ 400 | \$ 408 |
| FUND BALANCE | | | |
| Reserve for economic uncertainties | \$ 260 | \$ 322 | \$ 378 |
| Months in Reserve | 7.8 | 9.5 | 11.1 |

NOTES:

- A. ASSUMES WORKLOAD AND REVENUE PROJECTIONS ARE REALIZED IN BY+1 AND ON-GOING.
- B. ASSUMES APPROPRIATION GROWTH OF 2% PER YEAR BEGINNING IN BY+1.
- C. ASSUMES INTEREST RATE AT 0.3%.

**REGISTERED DISPENSING OPTICIANS - FUND 0175
BUDGET REPORT
FY 2016-17 EXPENDITURE REPORT**

FM 13

| OBJECT DESCRIPTION | FY 2015-16 | | FY 2016-17 | | |
|--|--------------------------------|----------------------|-------------------------------------|---------------|----------------------|
| | ACTUAL EXPENDITURES (MONTH 13) | BUDGET STONE 2016-17 | CURRENT YEAR EXPENDITURES 6/30/2017 | PERCENT SPENT | UNENCUMBERED BALANCE |
| PERSONNEL SERVICES | | | | | |
| Civil Service-Perm | 29,637 | 98,000 | 24,974 | 25% | 73,026 |
| Staff Benefits | 18,529 | 50,000 | 12,561 | 25% | 37,439 |
| TOTALS, PERSONNEL SVC | 48,166 | 0 | 148,000 | 37,535 | 25% 110,465 |
| OPERATING EXPENSE AND EQUIPMENT | | | | | |
| General Expense | 232 | 4,000 | 452 | 11% | 3,548 |
| Fingerprint Reports | 0 | 0 | 137 | 0% | (137) |
| Minor Equipment | 0 | 0 | 0 | 0% | 0 |
| Printing | 404 | 2,000 | 308 | 15% | 1,692 |
| Communication | 0 | 1,000 | 0 | 0% | 1,000 |
| Postage | 1,015 | 2,000 | 2,241 | 112% | (241) |
| Insurance | 0 | 0 | 2 | 0% | (2) |
| Travel In State | 1 | 6,000 | 13 | 0% | 5,987 |
| Training | 0 | 1,000 | 7 | 1% | 993 |
| Facilities Operations | 0 | 0 | 0 | 0% | 0 |
| Utilities | 0 | 0 | 0 | 0% | 0 |
| C & P Services - Interdept. | 0 | 0 | 0 | 0% | 0 |
| C & P Services - External | 17,070 | 2,000 | 2,200 | 110% | (200) |
| DEPARTMENTAL SERVICES: | | | | | |
| OIS Pro Rata | 59,195 | 58,000 | 55,902 | 96% | 2,098 |
| Administration Pro Rata | 10,988 | 25,000 | 24,903 | 100% | 97 |
| Interagency Services | 0 | 0 | 0 | 0% | 0 |
| Shared Svcs - MBC Only | 18,536 | 0 | 0 | 0% | 0 |
| DOI - Pro Rata | 0 | 1,000 | 920 | 92% | 80 |
| Public Affairs Pro Rata | 0 | 3,000 | 2,889 | 96% | 111 |
| PPRD Pro Rata | 0 | 0 | 0 | 0% | 0 |
| INTERAGENCY SERVICES: | | | | | |
| Consolidated Data Center | 0 | 0 | 0 | 0% | 0 |
| DP Maintenance & Supply | 0 | 1,000 | 0 | 0% | 1,000 |
| Statewide - Pro Rata | 15,667 | 0 | 0 | 0% | 0 |
| EXAMS EXPENSES: | | | | | |
| Exam Supplies | 0 | 0 | 0 | 0% | 0 |
| Other Items of Expense: | 0 | 0 | 0 | 0% | 0 |
| ENFORCEMENT: | | | | | |
| Attorney General | 26,501 | 50,000 | 45,675 | 91% | 4,325 |
| Office Admin. Hearings | 1,470 | 5,000 | 0 | 0% | 5,000 |
| Court Reporters | 0 | 0 | 0 | 0% | 0 |
| Evidence/Witness Fees | 0 | 0 | 0 | 0% | 0 |
| DOI - Investigations | 0 | 2,000 | 0 | 0% | 2,000 |
| Investigative Svcs - MBC | 3,286 | 0 | 0 | 0% | 0 |
| Vehicle Operations | 0 | 0 | 0 | 0% | 0 |
| Major Equipment | 0 | 0 | 0 | 0% | 0 |
| TOTALS, OE&E | 154,365 | 0 | 163,000 | 135,649 | 83% 27,351 |
| TOTAL EXPENSE | 202,531 | 0 | 311,000 | 173,184 | 56% 137,816 |
| Sched. Reimb. - Fingerprints | | (1,000) | | 0% | (1,000) |
| Sched. Reimb. - Other | | | 0 | | 0 |
| Unsched. Reimb. - ICR | | | (7,546) | | 7,546 |
| Unsched. Reimb. - ICR - Prob Monitor | | | (2,300) | | 2,300 |
| NET APPROPRIATION | 202,531 | 0 | 310,000 | 163,338 | 53% 146,662 |
| | | | | | 47.3% |