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To: Dispensing Optician Committee Members **Date:** September 22, 2017

From: Martha Garcia, CLD, SLD
Committee Chair **Telephone:** (916) 575-7170

Subject: **Agenda Item 4 – Review and Discussion on the Registered Dispensing Opticians (RDO) Fund Condition and Projected Expenditure Report**

The attached RDO Program Fund Condition shows a stable fund, with revenues exceeding expenditures, and projecting 9.5 months in reserve for the Current Year (CY) 2017-18 and 11.1 months for the Budget Year (BY) 2018-19 (Attachment 1).

Business and Professions Code (BPC) § [128.5](#) allows the RDO Program fund to maintain two fiscal years (24 months) in reserve before reducing program fees.

According to the Fiscal Year (FY) 2016-17 Expenditure Report for Fiscal Month (FM) 13 (Attachment 2), the RDO Program reverted 47.3%, spending \$163,338 out of its \$310,000 total budget.

The DCA Budget Office will give an overview of the budget process and fund condition during the next Sacramento DOC meeting.

0175 - Registered Dispensing Opticians Analysis of Fund Condition

(Dollars in Thousands)

2017 Budget Act w/ FM 13

	Actual 2016-17	CY 2017-18	BY 2018-19
BEGINNING BALANCE	\$ 158	\$ 260	\$ 322
Prior Year Adjustment	\$ -	\$ -	\$ -
Adjusted Beginning Balance	\$ 158	\$ 260	\$ 322
 REVENUES AND TRANSFERS			
Revenues:			
125600 Other regulatory fees	\$ 1	\$ 1	\$ 1
125700 Other regulatory licenses and permits	\$ 77	\$ 107	\$ 107
125800 Renewal fees	\$ 185	\$ 342	\$ 342
125900 Delinquent fees	\$ 7	\$ 12	\$ 12
150300 Income from surplus money investments	\$ 1	\$ -	\$ 1
Total Revenues	\$ 271	\$ 462	\$ 463
 Total Revenues, Transfers, and Other Adjustments	\$ 271	\$ 462	\$ 463
 Total Resources	\$ 429	\$ 722	\$ 785
 EXPENDITURES			
Disbursements:			
1110 Program Expenditures (State Operations)	\$ -	\$ -	\$ -
1111 Program Expenditures (State Operations)	\$ 164	\$ 393	\$ 401
8880 Financial Information System for California (State Operations)	\$ -	\$ -	\$ -
9900 Statewide General Administrative Expenditures (Pro Rata) (State Operations)	\$ 5	\$ 7	\$ 7
Total Expenditures and Expenditure Adjustments	\$ 169	\$ 400	\$ 408
 FUND BALANCE			
Reserve for economic uncertainties	\$ 260	\$ 322	\$ 378
 Months in Reserve	7.8	9.5	11.1

NOTES:

- A. ASSUMES WORKLOAD AND REVENUE PROJECTIONS ARE REALIZED IN BY+1 AND ON-GOING.
- B. ASSUMES APPROPRIATION GROWTH OF 2% PER YEAR BEGINNING IN BY+1.
- C. ASSUMES INTEREST RATE AT 0.3%.

**REGISTERED DISPENSING OPTICIANS - FUND 0175
BUDGET REPORT
FY 2016-17 EXPENDITURE REPORT**

FM 13

OBJECT DESCRIPTION	FY 2015-16		FY 2016-17		
	ACTUAL EXPENDITURES (MONTH 13)	BUDGET STONE 2016-17	CURRENT YEAR EXPENDITURES 6/30/2017	PERCENT SPENT	UNENCUMBERED BALANCE
PERSONNEL SERVICES					
Civil Service-Perm	29,637	98,000	24,974	25%	73,026
Staff Benefits	18,529	50,000	12,561	25%	37,439
TOTALS, PERSONNEL SVC	48,166	0	148,000	37,535	25% 110,465
OPERATING EXPENSE AND EQUIPMENT					
General Expense	232	4,000	452	11%	3,548
Fingerprint Reports	0	0	137	0%	(137)
Minor Equipment	0	0	0	0%	0
Printing	404	2,000	308	15%	1,692
Communication	0	1,000	0	0%	1,000
Postage	1,015	2,000	2,241	112%	(241)
Insurance	0	0	2	0%	(2)
Travel In State	1	6,000	13	0%	5,987
Training	0	1,000	7	1%	993
Facilities Operations	0	0	0	0%	0
Utilities	0	0	0	0%	0
C & P Services - Interdept.	0	0	0	0%	0
C & P Services - External	17,070	2,000	2,200	110%	(200)
DEPARTMENTAL SERVICES:					
OIS Pro Rata	59,195	58,000	55,902	96%	2,098
Administration Pro Rata	10,988	25,000	24,903	100%	97
Interagency Services	0	0	0	0%	0
Shared Svcs - MBC Only	18,536	0	0	0%	0
DOI - Pro Rata	0	1,000	920	92%	80
Public Affairs Pro Rata	0	3,000	2,889	96%	111
PPRD Pro Rata	0	0	0	0%	0
INTERAGENCY SERVICES:					
Consolidated Data Center	0	0	0	0%	0
DP Maintenance & Supply	0	1,000	0	0%	1,000
Statewide - Pro Rata	15,667	0	0	0%	0
EXAMS EXPENSES:					
Exam Supplies	0	0	0	0%	0
Other Items of Expense:	0	0	0	0%	0
ENFORCEMENT:					
Attorney General	26,501	50,000	45,675	91%	4,325
Office Admin. Hearings	1,470	5,000	0	0%	5,000
Court Reporters	0	0	0	0%	0
Evidence/Witness Fees	0	0	0	0%	0
DOI - Investigations	0	2,000	0	0%	2,000
Investigative Svcs - MBC	3,286	0	0	0%	0
Vehicle Operations	0	0	0	0%	0
Major Equipment	0	0	0	0%	0
TOTALS, OE&E	154,365	0	163,000	135,649	83% 27,351
TOTAL EXPENSE	202,531	0	311,000	173,184	56% 137,816
Sched. Reimb. - Fingerprints		(1,000)		0%	(1,000)
Sched. Reimb. - Other			0		0
Unsched. Reimb. - ICR			(7,546)		7,546
Unsched. Reimb. - ICR - Prob Monitor			(2,300)		2,300
NET APPROPRIATION	202,531	0	310,000	163,338	53% 146,662
					47.3%