

Statistics Report - Optometry Program

Case Complexity

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Urgent	0	1		0		0		1
High	0	1		1		1		3
Routine	0	58		33		53		144
Total	0	60		34		54		148

Case Category

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Mental/Physical Impairment	0	0		0		0		0
Discipline by Another CA Agency	0	0		0		0		0
Contractual	0	0		0		0		0
Fraud	0	1		0		1		2
Health & Safety	0	0		0		0		0
App Investigation	0	0		0		0		0
Non-Jurisdictional	0	21		10		12		43
Incompetence/Negligence	0	2		2		2		6
Personal Conduct	0	0		0		0		0
Product/Service Quality	0	1		0		0		1
Unprofessional Conduct	0	26		20		32		78
Sexual Misconduct	0	1		1		1		3
Discipline by Non-CA State/Agency	0	0		1		1		2
Unlicensed/Unregistered	0	4		0		1		5
Criminal Charges/Convictions	0	4		0		4		8
Unsafe/Unsanitary Conditions	0	0		0		0		0

Performance Measures (PM) 1 Volume - Complaints/Convictions/Arrests received

Complaint Intake

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM1: Total Complaints Received	209	57		34		50		141
PM1: Total Convictions/Arrest Received	14	4		0		4		8
PM1: Total Received	223	61		34		54		149

*Of the Convictions/Arrests, 1 was received on an Applicant and 3 were received on Licensees

PM2 Cycle Time Intake - Average number of complaints intake during the specified time period.

Intake

Target: 7 Days	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM2: Intake/Avg. Days	7	6		5		4		5

PM3 Cycle Time - Average Number of Days to complete the entire enforcement process for complaints investigated and not transmitted to the AG for formal discipline. (Includes intake, investigation, and case

Investigations								
Target: 90 Days	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM3: All Investigations Closed	223	40		55		49		144
PM3: Average Cycle Time Investigations	317	59		395		277		244

The percent reflects how many investigation cases were closed in the respective time frames.

Investigations								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Up to 90 Days	50%	31		20		29		56%
91 - 180 Days	11%	4		2		0		4%
181 Days - 1 Year (364)	9%	4		10		5		13%
1 to 2 Years (365-730)	17%	1		15		9		17%
2 to 3 Years (731- 1092)	4%	0		3		3		4%
Over 3 Years (1093 +)	9%	0		5		3		6%

The average time frame reflects the length of time it took to process the citations that were closed within the respective quarter.

Citations								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Final Citations	4	0		1		6		7
Average Days to Close*	1022	0		101		24		42

PM4 Cycle Time-Discipline Average number of days to close cases transmitted to the AG for formal disciplinary action. This includes formal discipline, and closures without formal discipline. (e.g. withdrawals, dismissals, etc.)

Transmittals to Attorney General (AG)								
Target: 540 Days	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM4: Volume AG Cases	3	0		2		1		3
PM4: Total Cycle Time*	1121	0		888		1187		692

Transmittals to Attorney General (AG)								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
AG Cases Initiated	8	1		0		3		4
AG Cases Pending	8	8		6		8		8

SOIs Filed	0	0	0	0	0
Accusations Filed	3	1	0	1	2
Total Closed after Transmission	3	0	2	1	3
Revoked	0	0	1	1	2
Voluntary Surrender	1	0	1	0	1
Probation	2	0	0	0	0
License Denied	0	0	0	0	0
Public Reprimand	0	0	0	0	0
Closed w/out Disciplinary Action	0	0	0	0	0

The percent represents how many cases already assigned for discipline were closed in the specified range.

Total Orders Aging/Final Decision								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Up to 90 Days	0%	0	0	0	0	0	0%	
91 - 180 Days	0%	0	0	0	0	0	0%	
181 Days - 1 Year (364)	0%	0	0	1	0	0	33%	
1 to 2 Years (365-730)	33%	0	0	0	0	0	0%	
2 to 3 Years (731- 1092)	0%	0	0	0	0	0	0%	
Over 3 Years (1093 +)	67%	0	0	1	1	0	67%	

Other Legal Actions								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PC 23 Ordered	0	0	0	0	0	0	0	
Interim Suspension	0	0	0	0	0	0	0	

Probation Statistics Report

Probation								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Entered Probationer	0	0	0	0	1	0	1	
Completed Probation	0	0	0	0	0	0	0	
Probation Terminated	0	0	0	0	2	0	2	
Non-Compliant w/Probation	0	0	0	0	2	0	2	
Tolling (Out of State)	0	0	0	0	0	0	0	
Surrenders/Revocation	0	0	0	0	1	0	1	
Total Probationers	0	0	0	0	6	0	6	

Vault Health

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Entered Vault Health	0	0	0	0	0		0	
Terminated Vault Health	0	0	0	0	0		0	
Total Vault Health's Participants	0	0	0	0	2		2	
Withdrawn (Tolled)	0	0	0	0	0		0	
Determined To Be Clinically Inappropriate	0	0	0	0	0		0	

Statistics Report - Opticianry Program

Case Complexity								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Urgent	0	0	0	0	0		0	
High	0	1	0	0	0		1	
Routine	0	24	22	27			73	
Total	0	25	22	27			74	

Case Category								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Mental/Physical Impairment	0	0	0	0	0		0	
Discipline by Another CA Agency	0	0	0	0	0		0	
Contractual	0	0	0	0	0		0	
Fraud	0	0	0	0	0		0	
Health & Safety	0	0	0	0	0		0	
App Investigation	0	0	0	0	0		0	
Non-Jurisdictional	0	2	1	4			7	
Incompetence/Negligence	0	0	0	1			1	
Personal Conduct	0	0	0	0	0		0	
Product/Service Quality	0	0	0	0	0		0	
Unprofessional Conduct	0	5	8	8			21	
Sexual Misconduct	0	0	0	0	0		0	
Discipline by Non-CA State/Agency	0	0	0	1			1	
Unlicensed/Unregistered	0	2	3	4			9	
Criminal Charges/Convictions	0	16	10	9			35	
Unsafe/Unsanitary Conditions	0	0	0	0	0		0	

Performance Measures (PM) 1 Volume Compliant and Convictions/Arrests received

Complaint Intake								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM1: Total Complaints Received	61	7	11	18			36	
PM1: Total Convictions/Arrest Received	57	21	11	9			41	
PM1: Total Received	118	28	22	27			77	

*Of the Convictions/Arrests, 4 were received on Applicants and 5 was received on Licensees.

PM2 Cycle Time Intake - Average number of days for complaints intake during the specified time period.

Intake								
Target: 7 Days	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	

PM2: Intake/Avg. Days		3	2	9	4.7
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PM3 Cycle Time - Average Number of Days to complete the entire enforcement process for complaints investigated and not transmitted to the AG for formal discipline. (Includes intake, investigation, and case

Investigations								
Target: 90 Days	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM3: All Investigations Closed	120	29		46		28		103
PM3: Average Cycle Time Investigations	313	632		688		331		550

The percent reflects how many investigation cases were closed in the respective time frames.

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Up to 90 Days	58%	11		13		15		38%
91 - 180 Days	7%	3		2		2		7%
181 Days - 1 Year (364)	9%	1		7		2		10%
1 to 2 Years (365-730)	8%	1		6		3		10%
2 to 3 Years (731- 1092)	9%	4		3		4		11%
Over 3 Years (1093 +)	10%	9		15		2		25%

The average time frame reflects the length of time it took to process the citations that were closed within the respective quarter.

Citations								
	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Final Citations	7	4		3		3		10
Average Days to Close	717	980		680		819		826

PM4 Cycle Time-Discipline Average number of days to close cases transmitted to the AG for formal disciplinary action. This includes formal discipline, and closures without formal discipline. (e.g. withdrawals, dismissals, etc.)

Transmittals to Attorney General (AG)								
Target: 540 Days	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
PM4:Volume AG Cases	3	0		1		2		3
PM4: Total Cycle Time	860	0		570		690		420

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
AG Cases Initiated	6	2		2		3		7
AG Cases Pending	7	7		8		9		9

SOIs Filed	0	2	0	1		3
Accusations Filed	0	1	0	2		3
Total Closed after Transmission	4	1	1	2		4
Revoked	0	0	1	0		1
Voluntary Surrender	0	0	0	0		0
Probation	2	0	0	2		2
License Denied	1	0	0	0		0
Public Reprimand	0	0	0	0		0
Closed w/out Disciplinary Action	1	1	0	0		1

The percent represents how many cases already assigned to the AG for discipline were closed in the specified range.

Total Orders Aging/Final Decision									
	FY 2021/22	Fiscal Year 2022/23							
	YTD	Q1		Q2		Q3		Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun		
Up to 90 Days	0%	0	0	0	0	0	0	0%	
91 - 180 Days	0%	0	0	0	0	0	0	0%	
181 Days - 1 Year (364)	0%	1	0	0	0	0	0	25%	
1 to 2 Years (365-730)	0%	0	1	1	1	1	1	50%	
2 to 3 Years (731- 1092)	100%	0	0	0	1	1	1	25%	
Over 3 Years (1093 +)	0%	0	0	0	0	0	0	0%	

Other Legal Actions									
	FY 2021/22	Fiscal Year 2022/23							
	YTD	Q1		Q2		Q3		Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun		
PC 23 Ordered	0	0	0	0	0	0	0	0	
Interim Suspension	0	0	0	0	0	0	0	0	

Probation Statistics Report

Probation									
	FY 2021/22	Fiscal Year 2022/23							
	YTD	Q1		Q2		Q3		Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun		
Entered Probationer	0	0	0	0	1	1	1	1	
Completed Probation	0	0	0	0	1	1	1	1	
Probation Terminated	0	0	0	0	0	0	0	0	
Non-Compliant w/Probation	0	0	0	0	1	1	1	1	
Cease Practice Order	0	0	0	0	1	1	1	1	
Tolling (Out of State)	0	0	0	0	1	1	1	1	
Surrenders/Revocation	0	0	0	0	0	0	0	0	
Total Active Probationers	0	0	0	0	2	2	2	2	

Vault Health

	FY 2021/22	Fiscal Year 2022/23						
	YTD	Q1		Q2		Q3	Q4	YTD
		Jul	Sep	Oct	Dec	Jan - Mar	Apr - Jun	
Entered Vault Health	0	0		0		1		1
Terminated Vault Health	0	0		0		0		0
Total Vault Health Participants	0	0		0		1		1
Withdrawn (Tolled)	0	0		0		1		1
Determined To Be Clinically Inappropriate	0	0		0		0		0