



**STATE BOARD OF OPTOMETRY**  
 2450 DEL PASO ROAD, SUITE 105, SACRAMENTO, CA 95834  
 P (916) 575-7170 F (916) 575-7292 www.optometry .ca.gov

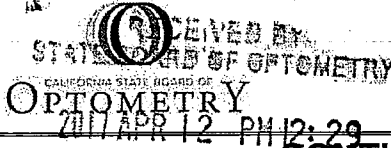


Continuing Education Course  
 Approval Checklist

Title:

Provider Name:

- Completed Application
  - Open to all Optometrists?  Yes  No
  - Maintain Record Agreement?  Yes  No
- Correct Application Fee
- Detailed Course Summary
- Detailed Course Outline
- PowerPoint and/or other Presentation Materials
- Advertising (optional)
- CV for EACH Course Instructor
- License Verification for Each Course Instructor
  - Disciplinary History?  Yes  No



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### CONTINUING EDUCATION COURSE APPROVAL APPLICATION

#### \$50 Mandatory Fee

Pursuant to California Code of Regulations (CCR) § 1536, the Board will approve continuing education (CE) courses after receiving the applicable fee, the requested information below and it has been determined that the course meets criteria specified in CCR § 1536(g).

In addition to the information requested below, please attach a copy of the course schedule, a detailed course outline and presentation materials (e.g., PowerPoint presentation). Applications must be submitted 45 days prior to the course presentation date.

Please type or print clearly.

Course Title <u>Macular Dystrophies with Drusen</u>	Course Presentation Date <u>03/05/2017</u>
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#### Course Provider Contact Information

Provider Name <u>Leslie</u> <u>Kuhlman</u> <u>Ann</u> (First) (Last) (Middle)
Provider Mailing Address Street <u>75 Enterprise</u> City <u>Aliso Viejo</u> State <u>CA</u> Zip <u>92673</u>
Provider Email Address <u>Leslie.Kuhlman@nvisioncenters.com</u>
Will the proposed course be open to all California licensed optometrists? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Do you agree to maintain and furnish to the Board and/or attending licensee such records of course content and attendance as the Board requires, for a period of at least three years from the date of course presentation? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

#### Course Instructor Information

Please provide the information below and attach the curriculum vitae for each instructor or lecturer involved in the course. If there are more instructors in the course, please provide the requested information on a separate sheet of paper.

Instructor Name <u>Kent</u> <u>Small</u> <u>W.</u> (First) (Last) (Middle)
License Number _____ License Type <u>M.D.</u>
Phone Number <u>(310) 560-9121</u> Email Address <u>Kentsmall@hotmail.com</u>

I declare under penalty of perjury under the laws of the State of California that all the information submitted on this form and on any accompanying attachments submitted is true and correct.

[Signature]  
Signature of Course Provider

3/1/17  
Date



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**Request for Approval of Continuing Education Course(s)**

**Leslie Kuhlman**  
NVISION Eye Centers  
75 Enterprise, Suite 200  
Aliso Viejo, CA 92656

For Office Use Only

Receipt No. \_\_\_\_\_

ATS No. \_\_\_\_\_

Date Rec'd \_\_\_\_\_

Requests for approval of continuing optometric education (CE) courses should be submitted on this form. The California State Board of Optometry requires the following information in order to process a course approval request:

- \$50 processing fee
- Name of provider
- Course title(s)
- Date(s) the course is scheduled to be offered
- Topical outline of the course subject matter
- Any announcements, notices or advertisements of the course
- Curriculum vitae (CV) of all instructors and lecturers involved (NOTE: CVs should include every term of employment, academic credential, publication, contribution or significant achievement)

Requests for approval and the supplemental information should be submitted to the Board office at least 45 days prior to the first date that the course will be offered. Requests will be reviewed by staff and forwarded to the CE Committee for final review. If necessary, Board staff will contact the requestor for additional information. Course approvals are valid for 12 months or until the course is modified.

The CE Committee's decision(s) will be noted and a copy of this form will be returned to the provider to serve as official notification of approval and/or disapproval of the course(s). Please remember to include the contact person's name and mailing address in the space provided above.

\_\_\_\_\_  
CE Committee Member

# YOU'RE INVITED

## ORANGE COUNTY REGIONAL 5-HOUR CE EVENT

Sunday, March 5, 2017 / 7:00 am - 1:30 pm  
Improv Comedy, Irvine, CA

Join NVISION for an exciting continuing education event including networking, breakfast, lunch and raffles.



### FEATURED EVENTS

Exciting Presentations, Fantastic Raffle Prizes,  
Vendor Booths, Delicious Food & Drinks,  
and Breakfast & Lunch

### SPEAKERS

Tom Tooma, MD • Franklin Lusby, MD  
Sheri Rowen, MD • John Nolan, MD  
Jonathan Pirnazar, MD

### TOPICS

LRS, Ocular Nutrition, Crosslinking, Corneal Inlay

Limited availability. Registration ends 3/3/17.

For more information and to RSVP, visit:

<https://ocregional5hrce.eventbrite.com>

**NVISION**  
EYE CENTERS

STATE BOARD OF OPTOMETRY  
2450 Del Paso Road, Suite 105  
Sacramento, CA 95834

On behalf of NVISION Eye Centers, we are writing to request approval of Continuing Education to California doctors of optometry. The education will be delivered by Board Certified Ophthalmologists, clinical investigators and experts in technology and patient consultation.

We are writing in response to your letter for information pursuant to CCR 1536 (g), to address why our application was submitted earlier than 45 days for course accreditation. As well as additional content requested.

The reason why our application was submitted earlier than 45 days for the course named "Macular Dystrophies with DRUSEN" given March 5, 2017 access to the final presentation of the material being in development prior to the time period needed. Once information required, we moved quickly to process accreditation requests. Please accept our apologies and deepest regrets. Going forward, we will make every effort to process these applications in a timely manner.

**Course Description:** This course will introduce different types of macular dystrophies with drusen and cover treatment options.

**Course Objective:** The course will allow optometrists to better identify and properly diagnose macular dystrophies with drusen with the use of difference diagnostic images. It will educate optometrists with available treatments.

**Conditions of Availability:** This course will be open to all licensed ODs. They will be notified through flyers, Eventbrite, and fax by request.

**Records:** NVISION Eye Centers to maintain and furnish to the Board and/or attending licensee such records of course content and attendance as required for a minimum of three years.

**Professional Advancement:** NVISION Eye Centers seeks to offer professional education to local and regional optometrist. As a leading practice in the ophthalmology field, NVISION doctors are engaged in research and latest developments on procedures, technology, and clinical therapies. The field of optometry is constantly evolving at a rapid pace and optometrists need to keep up. All Things Refractive in an interactive presentation. This CE activity will help attending ODs learn a full understanding of refractive surgery technology, clinical treatments and procedures, candidates, post-op & pre-op care, cost, co-management, how it is performed, and benefits.

The contact person for this program is myself, and I can be reached at 949.234.8129 or Leslie.Kuhlman@nvisioncenters.com.

Sincerely,

Leslie Kuhlman  
NVISION Laser Eye Centers  
Continuing Education and Special Projects Coordinator

**Presenter – Kent W. Small, M.D.**

**Course Title – Macular Dystrophies with DRUSEN**

**CV –**

**Kent W. Small, M.D.**

**training and experience**

**Residency: Duke University**

**Fellowship, Retina: Duke University**

**Fellowship, Molecular Genetics: Duke**

**Director: Retina, Univ of Florida**

**Professor: Retina, UCLA, Jules Stein**

**Chairman: Univ. Texas**

- ▶ **Founder / President: Kent W. Small, M.D**
- ▶ **Founder / President: Macula & Retina Institute**
- ▶ **Founder / President: Molecular Insight Research Foundation**
- ▶ **Scientist: Regenerative Medicine Institute, Cedars-Sinai**

**310-659-2200 818-552-5040**

**Molecular Insight Research Foundation**

- ▶ **Kent W. Small, MD**
- ▶ **30 years of research and clinical experience**
  - ▶ **1<sup>st</sup> to use microsatellites DNA repeats in gene mapping in ophthalmology**
  - ▶ **1<sup>st</sup> to map a macular degeneration in the Human Genome Project**
  - ▶ **Found mutations in**
    - ▶ **North Carolina macular dystrophy (MCDR1)**
    - ▶ **cone-rod dystrophy (CORD5)**
    - ▶ **enhanced S-cone (Goldmann-Favre)**
    - ▶ **Reis-Buchler corneal dystrophy**
    - ▶ **Blepharophimosis syndrome (BPES)**
    - ▶ **Reigers Syndrome**
  - ▶ **Over 200 peer reviewed scientific publications**
  - ▶ **20 years of NIH support**
  - ▶ **15 years of Foundation Fighting Blindness support**
  - ▶ **CIRM (California Institute for Regenerative Medicine)**
  - ▶ **Trained over 100 ophthalmologists / optometrists**

**Kent W. Small, M.D.**

**SOLO PRIVATE PRACTICE**

**Molecular Insight Research Foundation**

**Cedar-Sinai Regenerative Medicine Institute**

**Los Angeles, CA**

Glendale, CA  
KENT W SMALL MD  
NO FINANCIAL DISCLOSURES  
NO CONFLICTS OF INTEREST

Course Outline –

**MACULAR DYSTROPHIES**

with DRUSEN

A FEW CONCEPTS

LUMP vs SPLIT

Tremendous variable expression within single families shows the value of LUMPING

FAMILY CONTEXT

ALL DRUSEN ARE ALIKE

Splitting drusen into subcategories is worthless as are most genotype / phenotype studies

NORTH CAROLINA MACULAR DYSTROPHY / PRDM13

Are you a

LUMPER or a SPLITTER

Are you a

LUMPER or a SPLITTER

Lump or Split

Types of Macular Dystrophies

with drusen

Initial approach to a patient with macular dystrophy

bilateral and are usually extremely symmetrical

Most autosomal dominant

one or more living affected relatives

careful family history

Most over the age of 50 misdiagnosed as AMD

Careful medication history past and present / Drug toxicity

autosomal dominant macular dystrophies have visual acuities that are better than one might expect

autosomal recessive (Stargardt disease, ABCA4) acuities that are poorer than one might expect given the

relatively mild abnormality of their fundus

Macular Dystrophies

THE CONTEXT OF THE FAMILY IS IMPORTANT

SOMETIMES CRITICAL

Only when other family members are examined can you appreciate the full spectrum of the disease and make the correct diagnosis

Drug Toxicity which can mimic macular / retinal degenerations

Macular Dystrophy?

Macular dystrophy?

“If your ship does not come in...

swim out to it...” Jonathan Winters

Lanai

Kent

Maui channel 10 miles Maui

(Lanai – Maui)

race 10.2 miles

“Treasure Your Exceptions”

William Bateson

8 August 1861 – 8 February 1926

English biologist

first person to use the term genetics to describe the study of heredity

the chief populariser of the ideas of Gregor Mendel

North Carolina Macular Dystrophy MCDR1 / NCMD

my 1<sup>st</sup> encounter

1987 at the Asheville VA hospital

DUKE rotation

20/50

Hallmark:

MARKED VARIABLE EXPRESSIVITY

bad macular lesion

good vision

1/3 of affected family

remainder asymptomatic

Confused with TOXO

Oteen VA,

Asheville, NC

proband encountered

Lefler Wadsworth Sidbury Syndrome

My initial years

redefined the phenotype

autosomal dominant

completely penetrant

congenital

not progressive

NCMD / MCDR1

Grade 1 (not stages) drusen

NCMD / MCDR1

Grade 2 confluent drusen

Grade 3

coloboma-like defect in retina / choroid / scleral /

Toxo-like

Peripheral drusen only in some patients

ARVO / Sarasota 1989

1982

MCDR1 CHICAGO



Of these terms,  
**CALDERA MACULOPATHY**  
the least precise.

“Double, double,  
toil and trouble,  
Fire burn and caldron bubble.”  
*Macbeth*

Lets not stir the pot with yet more inaccurate names

The MANY NAMES of MCDR1

North Carolina Macular Dystrophy

TOO MUCH SPLITTING!

A SINGLE DISEASE IN A SINGLE FAMILY GIVEN ALL OF THESE DISEASE ENTITIES AND NAMES

Lefler Wadsworth Sidbury “Syndrome”

dominant macular degeneration and aminoaciduria

dominant progressive foveal dystrophy

central areolar pigment epithelial dystrophy

central pigment epithelial and choroidal degeneration

Caldera maculopathy

North Carolina Macular Dystrophy

SEEMS LIKE IT IS HUMAN NATURE TO WANT TO SPLIT

North Carolina Macular Dystrophy

Is a bad name

Is a misnomer

Given by Don Gass in his atlas ... and it stuck

Named for its FOUNDER EFFECT

large single family in a stable Southern society, very little inbreeding

found worldwide

Caucasian, Asian, Hispanic, Indigenous, African-American

MCDR1 in Nashville, TN

Cavitation not ideal descriptor

Implies tissue was present which later disappeared (sink hole)

This is a developmental abnormality...tissue never did develop / form

what happens to splitters and

Confederate spies

Extensive genealogical research looking for additional affected individuals

MCDR1: variable expressivity

clinical diagnosis

can be confused with

Dry AMD: drusen

Wet AMD: CNVM / sub-macular fibrosis

Bests macular dystrophy

## Toxoplasmosis

RFLPs labor intensive, slow, bi-allelic systems, binary analysis

MFD97 ; D6S249 : Marshfield Marker

Jim Weber

LOD scores (40.03)

highest recorded in human genetics

positional cloning strategies paralleling the HUMAN GENOME PROJECT

FISH

YACS (yeast artificial chromosome)

BACs (bacterial artificial chromosomes)

PACs (P1 artificial chromosomes)

Pulse field gel electrophoresis

Created new polymorphic markers

Narrowed the genomic interval

Identified cDNAs in region

Sanger sequencing

By 2001, my lab:

Narrowed region to

CHR6:99,575,010- 100,455,412

883kb

Sanger Sequenced all exons in critical region

And promoter regions

Including a zinc finger (later named PRDM13)

expressed in the CNS ...

later found in embryonic retina

Targeted (883 kb)

NEXGEN SEQUENCING

MCDR1 bioinformatics

I was drowning in my own data

bioinformatics quagmire

Generating more and more data

Data analysis : bottleneck

Ed Stone with his team offered me a lifeline

OPENED A CAN OF BIOINFORMATICS "WHOOOP-ASS"

"when a normal beating (analysis of the data) just won't do"

Initial publication of mutations

11 families

E-published October 26,2015

Dedicated to the memory of Maurice Rabb, MD (ascertained with KWS the Belize family)

Supported in part by

Stephen A. Wynn Institute for Vision Research

University of Iowa Carver College of Medicine

MCDR1 / mutations

10 MCDR1 families

1 MCDR3 family

SNP or mutation

NONE of the base pair changes found any of the public databases (1000 Genome Project) (dbSNP)

260 controls from Iowa

ONLY found in affected subjects

10 independent families initially

Chr6\_100040906 G>T NC

Chr6\_100040987 G>C France

Chr6\_100041040 C>T Asia

Association between these variants and the disease phenotype is extraordinarily strong

Fisher exact test

All variants are in a DNASE I hypersensitivity binding site

Discovery of NCMD-causing variants in MCDR1

NCMD / MCDR1

all point mutations

in non-coding region

12KB from nearest gene (PRDM13)

In DNASE 1 hypersensitivity binding site (regulatory region)

Featured in OPTHALMOLOGY lead article

on the cover

editorial

“The editorial staff of Ophthalmology is to be commended for the recognition and acceptance of one of the most important studies in the past several decades.”

This report

12 new NCMD / MCDR1 families

To update the data of the initial (11) families

with the new (12) families with MCDR1

35 total families

Do new / additional data continue to support our initial publication results?

MCDR1: KWS: Riverside, CA:

French mutation 100040987

MCDR1:KWS:Riverside, CA:

French mutation 100040987

MCDR1: NY / Mexico / Dr Agemi

N Carolina mutation Chr6:100040906

MCDR1: NY / Mexico / Dr Agemi

N Carolina mutation Chr6:100040906

MCDR1: NY / Mexico / Dr Agemi

N Carolina mutation Chr6:100040906

35 total families available for study

Initial publication 11 families

NEW 12 more independent families

7 with the NC mutations (all USA)  
5 with the French mutation (3 European, 2 USA)  
6 no mutations found (? Diagnosis of some)  
6 in progress  
35 NCMD / MCDR1 families total being studied by KWS  
DNASE1 hypersensitivity binding site  
3 POINT MUTATIONS  
ALL IN NON-CODING REGIONS  
Why is North Carolina Macular Dystrophy Important?  
MCDR1 / NCMD is due to overexpression of PRDM13  
Expressed in many lower animals without maculae  
New gene / new pathway in  
macular disease  
Understanding / manipulating  
this gene could help us learn  
to control / grow new maculae

**Summary**

**Total 23 independent families**

with MCDR1 / NCMD phenotype with documented mutations  
4 mutations found (3 base pair changes and one duplication of PRDM13)  
international collaboration needed to find and prove these mutations  
if only one family (i.e NC) ... all changes found would be in linkage disequilibrium  
Making it difficult to PROVE mutations  
Functional data supports mutations affecting PRDM13 expression  
New data continue to support that these DNA changes ARE causative mutations  
Subsequently others have confirmed our findings:  
MCDR1 / PRDM13 duplication independently confirmed in Texas  
by Browne, Sullivan, Daiger et  
Subsequently others have confirmed our findings:  
MCDR1 / PRDM13 duplication independently confirmed in Texas  
by Browne, Sullivan, Daiger et  
Lessons learned from NCMD / MCDR1

- 1) Be a lumper not a splitter
- 2) Challenge the literature
- 3) Make friends / collaborations
- 4) Persevere

**Great works are performed  
not by strength,**

**but perseverance.**

**Samuel Johnson (1709 - 1784)**

acknowledgements

NIH / NEI 1989-2004

2004- present Molecular Insight

Research Foundation (501c3)

2004- present:

KWS American Express Plum Card

6/2015 – present:

Stephen A. Wynn Institute for  
Vision Research at Univ. of Iowa  
(Ed Stone)

North Carolina Macular Dystrophy  
treatments

For rare CNVM: anti-VEGF

Because of relative stability and is congenital

... no treatment

Future: CRISPR-CAS9 ... ?

Best Macular Dystrophy  
autosomal dominant

mutations in the BEST1 gene

“classic” form symmetric egg-yolk-like lesion centered

20/20 or better in eyes with undisturbed vitelliform lesions

surprising considering the substantial physical separation of the photoreceptor outer segments and the RPE  
that exists for decades in some individuals

Optical Coherence Tomography (OCT), Fluorescein Angiograms (FA)/Autofluorescence, and  
Electroretinography

Normal ERG, with abnormal EOG

increased RPE lipofuscin, loss of photoreceptors, sub-RPE drusenoid material, and accumulation of cells and  
material in the subretinal space

Best Macular Dystrophy

Best Macular Dystrophy

Best Macular Dystrophy

Best Macular Dystrophy

Lump or Split

Best Macular Dystrophy

Treatment for BEST's

primarily of recognizing choroidal neovascularization :

anti-VEGF therapy

elongating the intervals between anti-VEGF injections, discontinuing them altogether,  
once the visual acuity is stabilized and all subretinal blood has been resorbed.

AREDS?

FUTURE: CRISPR-CAS9

Stargardts Disease

Most of the differences in clinical findings in patients with ABCA4 disease can be explained by the interplay of  
three factors that vary among patients:

(1) the severity of their ABCA4 genotype (and hence the rate at which toxic bisretinoids form in the  
photoreceptors);

(2) the relative sensitivity of the foveal cones to the genotype

(3) the relative sensitivity of the retinal pigment epithelium to the genotype

loss of visual acuity, which can be as mild as 20/30 or as severe as 20/200  
abnormal fundus appearance that is incidentally discovered during a routine eye examination  
light-colored flecks at the level of the retinal pigment epithelium  
The RPE itself responds to ABCA4 mutations quite differently in different patients  
Stargardt's Disease

## Treatment

There is currently no proven treatment for ABCA4 disease  
extensive ongoing research in genetics, disease mechanisms, gene therapy, and cell replacement.  
drugs that modulate the visual cycle  
isoretinoin and fenretinide

slow the formation of these toxic products in Abca4 knockout mice  
Autosomal recessive disease more difficult to treat with CRISPR-CAS9

AREDS MINUS beta-carotene

Stargardt's...

Stargardt's Disease

Stargardt's Disease

Stargardt-like dominant macular dystrophy (SLDMD)

Zhang et al. 5 base pair deletion in the gene ELOVL4 in the affected members of five families  
despite 1 of these families being mapped by him to the wrong chromosome

90% of cases in North America  
progressive central vision loss

symptoms in the first decade of life  
20/200 or worse by 30 years of age

Similarly to the autosomal recessive Stargardt disease

fundus of this disease show pisciform flecks, peripapillary sparing, and macular atrophy

Mutations cause mis-trafficking of the mutant protein in vitro  
results in cell death

Stargardt-like dominant macular dystrophy (SLDMD)

61-year-old male

common Leu263 del5tttCTTAA mutation in ELOVL4  
20/100

53-year-old male

common Leu263 del5tttCTTAA mutation in ELOVL4  
20/100

Pattern Dystrophy

Pattern dystrophy

a group of inherited retinal dystrophies  
pigment changes at the level of the RPE

The most common cause: single gene, PRPH2  
originally RDS – OMIM #179605

Mutations in this gene also cause some cases of  
central areolar choroidal dystrophy,  
retinitis pigmentosa

fundus flavimaculatus variant of Stargardt disease

experience macular photostress in their daily life

18% risk of CNVM

normal cone and rod amplitudes and implicit times on the full-field ERG

reduction can be seen when there are more extensive changes

EOG light-peak to dark-trough ratios normal - modestly subnormal

Pattern Dystrophy

**SAME MUTATION: MULTIPLE PHENOTYPES**

Butterfly-shaped pigment dystrophy

Butterfly-shaped pigmentation

yellow, white, or black and accumulate configuration of three to five "arms" or "wings" that resemble the wings of a butterfly.

pigment deposits that look like drusen or flecks can be seen peripheral to the central lesion

Adult-onset foveomacular vitelliform pattern dystrophy

asymptotically or with mild blurring

symmetric, solitary, autofluorescent vitelliform lesions in the macula with a central pigmented spot

Sjögren reticular dystrophy of the RPE

clearly defined network of black-pigmented lines

resembles a fishnet with knots or chicken wire

Some patients in the same family as those with reticular dystrophy also have vitelliform and/or butterfly-shaped pigment changes

Central areolar choroidal dystrophy (central areolar retinochoroidal dystrophy)

The earliest change is a fine, mottled depigmentation in the macula of both eyes that appears between the second and fourth decades and gradually evolves into symmetric, sharply outlined, bull's-eye oval or round areas of geographic atrophy of the RPE

Treatment for Pattern Dystrophy

A significant risk for vision loss exists from CNVM

Although CNV less frequent than in AMD

anti-VEGF injections limits vision loss

delayed recovery from exposure to bright light

wearing dark glasses and a hat when outside can allow them to adapt more readily when coming inside

AREDS?

CRISPR-CAS9?

Pattern Dystrophy

Pattern Dystrophy

54-year-old male

Thr146 ins1aC mutation in PRPH2

20/40

nummular atrophy in the macula

spared areas of RPE block the fluorescence from the underlying choroidal vessels

Sorsby Fundus Dystrophy

earliest symptoms NYCTALOPIA

Visible yellow-to-gray material at Bruch's membrane

drusen / pigment

coalesces into a fairly uniform yellowish-gray sheet

more prominent with increasing age  
untreated CNV often results in extensive disciform scarring  
severely reduces visual acuity  
mutations create a new cysteine residue in the mutant protein TIMP3  
perturbation of tertiary structure through altered disulfide bonding

## TIMP3

component of Bruch's membrane

component of drusen

abnormal deposits in SFD

Treatment aimed at CNV control

laser therapy and PDT are not effective

Sorsby Fundus Dystrophy

36-year-old female

Ser181Cys TIMP-3

20/15

61-year-old female

Trp175Cys TIMP-3

20/125

Autosomal dominant radial drusen

Doyne honeycomb retinal dystrophy

malattia leventinese

Drusen 2<sup>nd</sup> decade to 7<sup>th</sup> decade

drusen in the center of the macula and on the nasal edge of the optic disc

tend to be large and round while those at the temporal margin of the macula tend to be smaller, elongated, and radial

drusen abutting the nasal aspect of the nerve

Later may develop central atrophy, scarring, and pigment proliferation that can look similar to SFD

visual acuity is usually excellent until central atrophy

drusen hyperautofluorescent

There is currently no treatment

anti-VEGF therapy

Candidate for CRISPR-CAS9?

Autosomal dominant radial drusen

Doyne honeycomb retinal dystrophy

malattia leventinese

Small 3 exon gene

EFEMP1

ALL affected have the same mutation

Arg345Trp

Most descendants for the

Leventine Valley in Switzerland

Autosomal dominant radial drusen

Doyne honeycomb retinal dystrophy

malattia leventinese



46-year-old female

Arg345Trp mutation in EFEMP1

20/40

large drusen abutting the optic disc

radially oriented drusen

drusen in the central lesion have fused into a honeycomb configuration

57-year-old female

Arg345Trp mutation in EFEMP1

counting fingers

atrophic changes in the central macula

large drusen are present nasal to the nerve head

**Enhanced S Cone Syndrome**

**Goldmann-Favre**

rare non syndromic autosomal recessive

absence of rod function

large-amplitude S cone-mediated electrical responses

psychophysical hypersensitivity to blue light

a cone dominant retina

ERG's: greater amplitudes to short-wavelength

gain in function of photoreceptors

NR2E3 mutations for ESCS

retinal nuclear receptor, a ligand dependent transcription factor

expression limited to the outer nuclear layer of the human retina

**ESCS**

**RESULTS**

Case 1: 23 year old female

**THE ORIGINAL CASE DEFINING ESCS**

phenotype PREVIOUSLY reported in detail

19 year old female

unusual ERG responses led to the recognition of the ESCS syndrome

Night blind all of her life

otherwise asymptomatic

20/25 RT, 20/20 LT

fields and color vision are normal

exam shows no abnormalities other than some very sparse yellowish spots in the arcade regions

**ESCS #1**

**ESCS case 1**

**Glomerulonephritis Type II and Drusen**

The majority of patients with membranoproliferative glomerulonephritis (MPGN) type II

basal laminar drusen

visual acuity tends to be preserved unless CNV

exudative drusen

serous detachment complicate the disease

abnormal EOG with a relatively normal ERG in some patients  
suggesting a more global retinal dysfunction than the visible drusen would suggest  
morphologically and compositionally similar to the drusen found in AMD

Glomerulonephritis Type II and Drusen

*In Vivo* CRISPR/Cas9 Gene Editing Corrects Retinal Dystrophy in the  
S334ter-3 Rat Model of Autosomal Dominant Retinitis Pigmentosa

CRISPR/Cas9 Gene Editing in RP

GENE EDITING

CRISPR/Cas9 Gene Editing in Dmd

The Carver Genetic Testing Laboratory

The Carver Genetic Testing Laboratory

The Carver Genetic Testing Laboratory

TAKE HOME POINTS

LUMP

FULL DISCERNMENT ONLY WITH USING FAMILY DATA / MEMBERS / MOLECULAR GENETICS

FAMILY CONTEXT

ALL DRUSEN ARE CLINICALLY ALIKE

NORTH CAROLINA MACULAR DYSTROPHY / MCDR1 mutations affect PRDM13 expression

PERSEVERANCE

50 meter butterfly 30.02 sec

Macular Dystrophies  
with DRUSEN

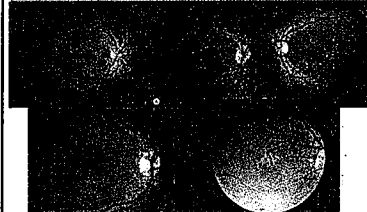
Kent W. Small

**MACULA & RETINA INSTITUTE**  
KENT W. SMALL, MD  
*Innovative, experienced and highly personalized retinal care*

**Kent W. Small, M.D.**

SOLO PRIVATE PRACTICE  
Molecular Insight Research Foundation  
Cedars-Sinai Regenerative Medicine  
Institute  
Los Angeles, CA  
Glendale, CA

Are you a  
LUMPER or a SPLITTER



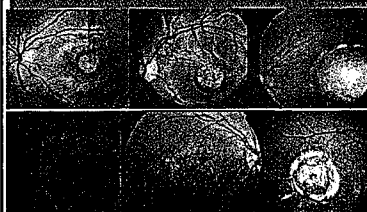
**Kent W. Small, M.D.**  
training and experience

- Fellowship, Retina, Duke University
- Fellowship, Molecular Genetics, Duke
- Director: Retina, Univ of Florida
- Professor: Retina, UCLA, Jules Stein
- Chairman: Univ. Texas
- Founder / President: Kent W. Small, M.D.
- Founder / President: Macula & Retina Institute
- Founder / President: Molecular Insight Research Foundation
- Scientist: Regenerative Medicine Institute, Cedars-Sinai
- 310-659-2200 818-552-5040

KENT W SMALL MD

- \* NO FINANCIAL DISCLOSURES
- \* NO CONFLICTS OF INTEREST
- \* (MAY BE THE ONLY TALK HERE WITH NO FINANCIAL INTERESTS / DISCLOSURE)

Are you a  
LUMPER or a SPLITTER



**Molecular Insight Research  
Foundation**

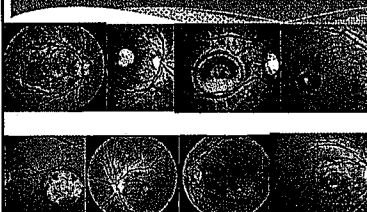
- 1st to use microsatellite DNA approach in gene mapping in ophthalmology
- 1st to map a macular degeneration in the Human Genome Project
- Found mutations in
  - North Carolina macular dystrophy (MCDR1)
  - cone-rod dystrophy (CORD5)
  - enhanced Sorsby (Goldmann-Faree)
  - Reis-Bucherl corneal dystrophy
  - Bkpharophimosis syndrome (BPES)
  - Regens Syndrome
- Over 100 peer reviewed scientific publications
- 20 years of NIH support
- 15 years of Foundation Fighting Blindness support
- CIRN (California Institute for Regenerative Medicine)
- Trained over 100 ophthalmologists / optometrists

310-659-2200 818-552-5040

MACULAR DYSTROPHIES  
with DRUSEN

- \* A FEW CONCEPTS
- \* LUMP vs SPLIT
  - Tremendous variable expression within single families shows the value of LUMPING
- \* FAMILY CONTEXT
- \* ALL DRUSEN ARE ALIKE
  - Splitting drusen into subcategories is worthless as are most genotype / phenotype studies
- \* NORTH CAROLINA MACULAR DYSTROPHY / PRDM13

Lump or Split

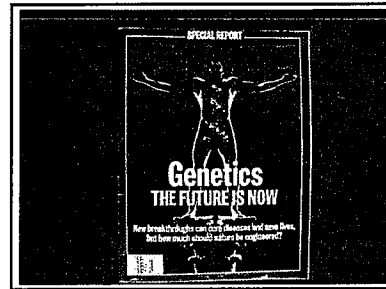


### Types of Macular Dystrophies with drusen

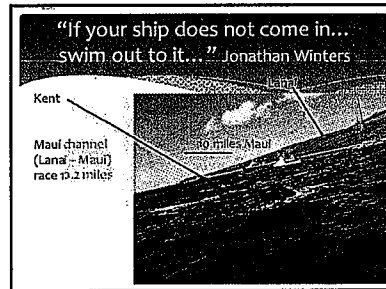
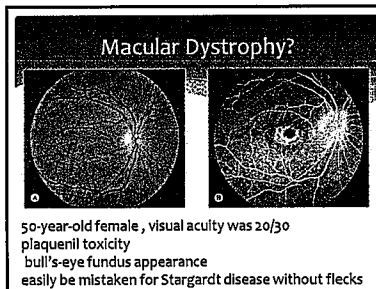
DISEASE NAME	GENE	CHROMOSOME	INHERITANCE
BEST2	BEST1	11	AD
STARGARDT	ABCA4	1	AR
STARGARDT-LIKE	ELOVL4	6	AD
MACULAR DYSTROPHY			
PATTERN DYSTROPHY	PRPH2	6	AD
SORBY FUNDUS DYSTROPHY	TIMP3	22	AD
DOMINANT DRUSEN	EFEMP1	2	AD
NORTH CAROLINA	PRDM3	6	AD
MACULAR DYSTROPHY	JRN1	5	AD
ENHANCED SCOTOMA (GOLDMANN FAVITE)	NTRK3	15	AR
Glomerulonephritis Type 1			
Macular Dystrophy			

### Drug Toxicity which can mimic macular / retinal degenerations

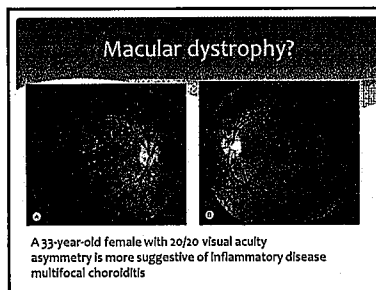
Agents	References (D)
Chloroquine	Hobbs et al., 1959; Marmor et al., 2011
Hydroxychloroquine	Shearer et al., 1985; Marmor et al., 2011
Thioridazine (mefaril)	Winkley et al., 1960
Chlorpromazine (Thorazine)	DeLong et al., 1965
Clozapine	Craythorn et al., 1986
Tamoxifen	Kaiser-Kupfer and Uppman, 1978
Orally methylglucosylamine	Bullcock and Albert, 1975; Albert et al., 1975
Carbazanthine	Boudreau et al., 1983; Rio et al., 1985
Nitrofurantoin	Ibanez et al., 1994
Talc	Allen, 1972
Deferoxamine	Hahnovicki et al., 2002; Gonzales et al., 2004



- ### Initial approach to a patient with macular dystrophy
- bilateral and are usually extremely symmetrical
  - Most autosomal dominant
  - one or more living affected relatives
  - careful family history
  - Most over the age of 50 misdiagnosed as AMD
  - Careful medication history past and present / Drug toxicity
  - autosomal dominant macular dystrophies have visual acuities that are better than one might expect
  - autosomal recessive (Stargardt disease, ABCA4) acuities that are poorer than one might expect given the relatively mild abnormality of their fundus



- ### Macular Dystrophies
- \*THE CONTEXT OF THE FAMILY IS IMPORTANT
  - \*SOMETIMES CRITICAL
  - \* Only when other family members are examined can you appreciate the full spectrum of the disease and make the correct diagnosis



### "Treasure Your Exceptions"

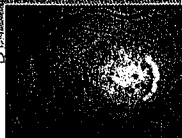
William Bateson  
8 August 1861 – 8 February 1926

- English biologist
- first person to use the term genetics to describe the study of heredity
- the chief populariser of the ideas of Gregor Mendel

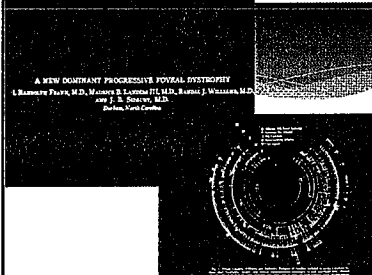
**North Carolina Macular Dystrophy**  
**MCDR1 / NCMD**  
 my 1<sup>st</sup> encounter  
 1987 at the Asheville VA hospital  
 DUKE rotation

20/50

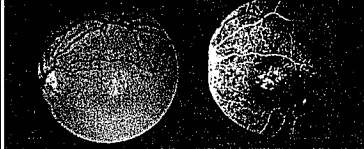
**Hallmark:**  
**MARKED VARIABLE EXPRESSIVITY**  
 bad macular lesion  
 good vision  
 1/3 of affected family  
 remainder asymptomatic  
 Confused with TOXO



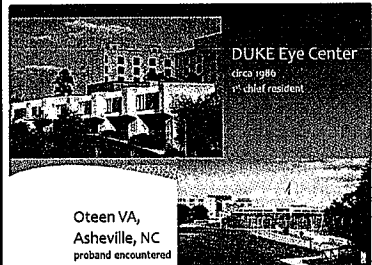
**A NEW DOMINANT PROGRESSIVE FOVEAL DYSTROPHY**  
 L. Barbara Park, M.D., Monica S. Lavinia, D.L.M.D., Robert J. Williams, M.D.  
 and J. S. Searcy, M.D.  
 Retina, Duke Center



**NCMD / MCDR1**  
**Grade 1 (not stages)**  
**drusen**



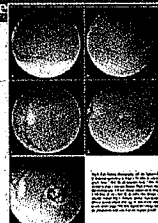
**DUKE Eye Center**  
 circa 1986  
 1<sup>st</sup> chief resident




Oteen VA,  
 Asheville, NC  
 proband encountered

**My initial years**  
**redefined the phenotype**  
**North Carolina Macular Dystrophy, Revisited**  
 2012 W. 10012, 100

autosomal dominant  
 completely penetrant  
 congenital  
 not progressive




**NCMD / MCDR1**  
 Grade 2  
**confluent drusen**  
 Grade 3  
**coloboma-like**  
 defect in retina /  
 choroid / scleral /  
 Toxo-like

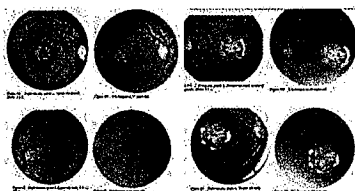


**Lefler-Wadsworth-Sidbury Syndrome**

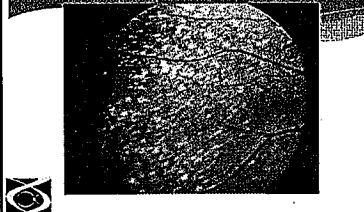
**HEREDITARY MACULAR DEGENERATION AND AMINO ACIDURIA**  
 W. HARRISON LEFLER, M.D., JAMES A. C. WADSWORTH, M.D., and  
 JAMES W. SIDBURY, JR., M.D.  
 Pediatrics, Duke University

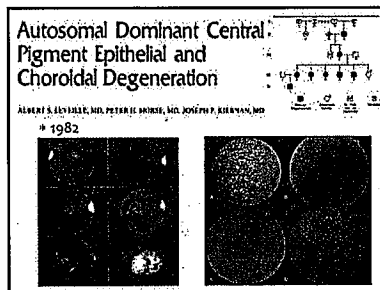
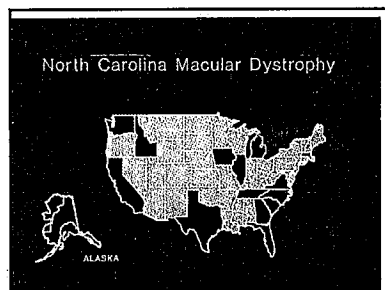
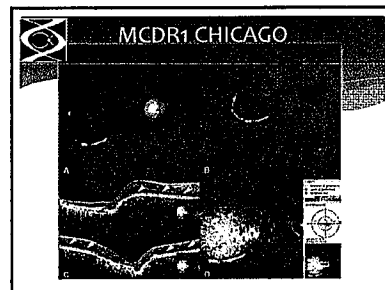
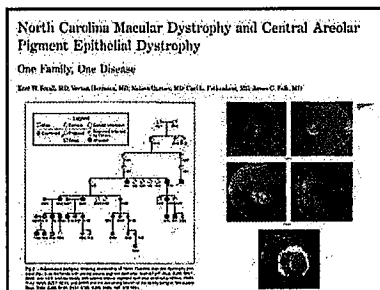
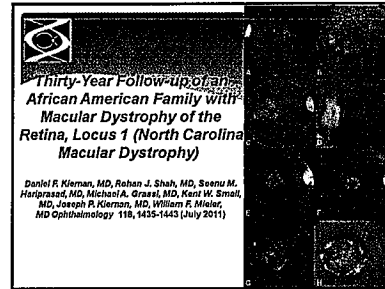
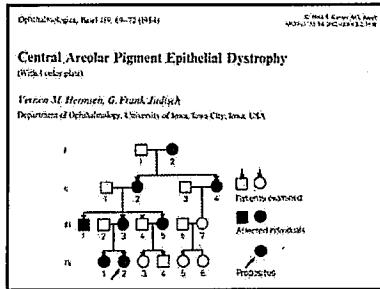
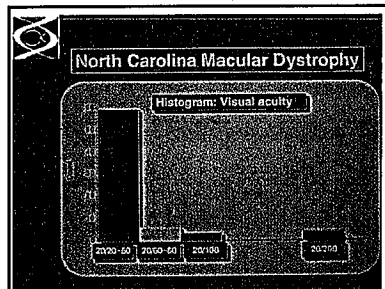


**North Carolina's dominant progressive foveal dystrophy: how progressive is it?**  
 Robert W. Smith, John Paulsen, Walter C. Hart, James



**Peripheral drusen only in some patients**





**VIEWPOINT**

### Terminology of MCDR1 What's in a Name?

Kent W. Smith, MD  
Macula and Retina  
Atlanta, Georgia,  
California

Steven Agency, MD  
New York Hospital for  
Strabismus and  
Strabismic Amblyopia,  
New York, New York

Paul S. Dreyfus, MD  
Macula and Retina  
Atlanta, Georgia,  
California

**DOUBLE DOUBLES**  
Toll and trouble  
Fire burn and caldron bubble  
*Macbeth*

Lets not stir the pot with yet more inaccurate names

### The MANY NAMES of MCDRI North Carolina Macular Dystrophy TOO MUCH SPLITTING!

- A SINGLE DISEASE IN A SINGLE FAMILY GIVEN ALL OF THESE DISEASE ENTITIES AND NAMES
- Lefler-Wadsworth-Sidbury "Syndrome"
- dominant macular degeneration and aminoaciduria
- dominant progressive foveal dystrophy
- central areolar pigment epithelial dystrophy
- central pigment epithelial and choroidal degeneration
- Caldera maculopathy
- North Carolina Macular Dystrophy
- SEEMS LIKE IT IS HUMAN NATURE TO WANT TO SPLIT

### what happens to splitters and Confederate spies

Extensive genealogical research looking for additional affected individuals

### RFLPs labor intensive, slow, bi-allelic systems; binary analysis

### Terminology of MCDRI What's in a Name?

**North Carolina Macular Dystrophy**  
**Isabaddaama**  
Is a misnomer  
Given by Don Cassin, his atlas, and it stuck

Named for its **FOUNDER EFFECT**... large single family in a stable Southern society, very little inbreeding found worldwide  
Caucasian, Asian, Hispanic, Indigenous, African-American

**VIEWPOINT**

**Kim W. Small, MD**  
Macula and Fovea  
Forsyth, Georgia,  
California

**Steven Agency, MD**  
New York Eye Institute  
Secretary of Macula  
Disease, New York

**Paul S. Shays, BS**  
Macula and Fovea  
Forsyth, Georgia,  
California

### MCDRI variable expression clinical diagnosis can be confused with

- Dry AMD: drusen
- Wet AMD: CNVM / sub-macular fibrosis
- Bests macular dystrophy
- Toxoplasmosis

### Intrachoroidal Cavitation in North Carolina Macular Dystrophy

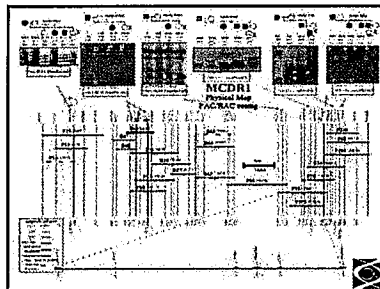
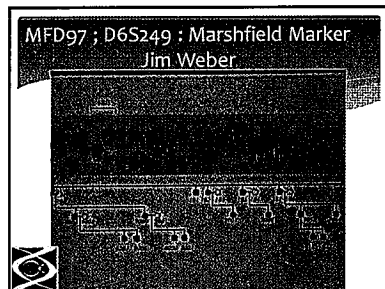
MCDRI in Nashville, TN

- Cavitation not ideal descriptor
- implies tissue was present which later disappeared (sink hole)
- this is a developmental abnormality... tissue never did develop / form

### SHORT COMMUNICATION North Carolina Macular Dystrophy: Exclusion Map Using RFLPs and Microsatellites

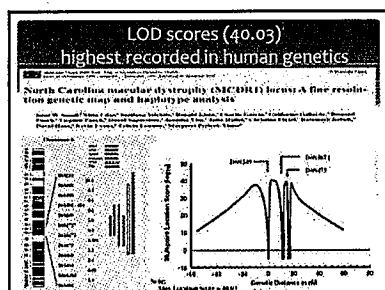
Kim W. Small, James L. Wright, J. Wu-Yin Hung, J. Jeremy Vance, J. Allen Roth, J. Ann Mangano, F. Vincent

Department of Ophthalmology, Medical University of South Carolina, Charleston, South Carolina 29425  
Medical School of Research Foundation, 180 AFM, University of Illinois at Chicago, Chicago, Illinois 60607  
Department of Ophthalmology, Duke University, Durham, North Carolina 27710



Variants in 1 NC 765-8001: 2180 total

Variant ID	Position (kb)	Variant Type	Frequency
1	99,575,010	C>T	0.001
2	99,575,015	G>A	0.002
3	99,575,020	T>C	0.003
4	99,575,025	A>G	0.004
5	99,575,030	C>G	0.005
6	99,575,035	G>T	0.006
7	99,575,040	T>A	0.007
8	99,575,045	A>C	0.008
9	99,575,050	C>A	0.009
10	99,575,055	G>C	0.010

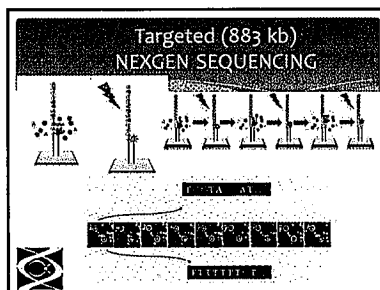


- By 2001, my lab:
- \* Narrowed region to
  - \* CHR6:99,575,010-100,455,412
  - \* 883kb
  - \* Sanger Sequenced all exons in critical region
  - \* And promoter regions
  - \* Including a zinc finger (later named PRDM13)
    - \* expressed in the CNS ...
    - \* later found in embryonic retina

MCDR1 bioinformatics

- \* I was drowning in my own data
- \* bioinformatics quagmire
  - Generating more and more data
  - Data analysis: bottleneck
- \* Ed Stone with his team offered me a lifeline
- \* OPENED A CAN OF BIOINFORMATICS "WHOO-ASS?"
- \* "When a normal beating (analysis of the data) just won't do"

- positional cloning strategies paralleling the HUMAN GENOME
- \* FISH
  - \* YACS (yeast artificial chromosome)
  - \* BACs (bacterial artificial chromosomes)
  - \* PACs (P1 artificial chromosomes)
  - \* Pulse field gel electrophoresis
  - \* Created new polymorphic markers
  - \* Narrowed the genomic interval
  - \* Identified cDNAs in region
  - \* Sanger sequencing



Initial publication of mutations  
11 families

North Carolina Macular Dystrophy is Caused by Dysregulation of the Retinal Transcription Factor PRDM13

Kim W. Stahl, MD, Alan F. Palanca, PhD, F. Scott Stenkamp, PhD, Thomas R. Anderson, MD, Kenneth S. Giblin, MD, Susan E. Hark, PhD, Joseph J. Camp, MD, Charles A. Curcio, MD, Thomas A. Riss, MD, Gerald A. Johnson, MD, Elizabeth H. Smith, MD, James H. Bark, BA, Christine M. Hart, BA, Lisa A. Wiley, PhD, Todd E. Nishida, PhD, John H. Frazer, MD, PhD, Robert F. Mahe, PhD, Scott A. Taylor, PhD, Edwin S. Ruth, MD, PhD

- Published October 26, 2013
- Dedicated to the memory of Mamie Raby, MD (ascertained with KWS the Belle family)
- Supported in part by
- Stephen A. Virgin Institute for Vision Research
- University of Iowa College of Medicine



**11 NCMD / MCDR1 families to MCDR1 families**

Family	Primarily Published Family (Reference)	Subject	Variant #	HG18 Chromosome Coordinates (kb)	Nucleotide Change	Exon	Intron	Splice
A	746	French	V1	Chr6_100040987	G>T	11	11	73
B	753	French	V1	Chr6_100040988	G>T	4	6	9
C	754	French	V1	Chr6_100040988	G>T	1	1	1
D	773	French	V1	Chr6_100040988	G>T	4	0	4
E	1193	French	V1	Chr6_100040988	G>T	3	0	3
F	1202	French	V1	Chr6_100040988	G>T	2	1	2
G	739	French	V2	Chr6_100040987	G>C	1	0	1
H	714	French	V2	Chr6_100040987	G>C	1	0	1
I	700	Asian	V3	Chr6_100040988	G>T	2	0	2
J	1443	Belgian	V4	Chr6_100040988-1011828	1258167 French Mutation	11	4	15
K	MCDR1 (Riverside, CA)	French	V5	Chr6_100040987	887098 French Mutation	11	1	12

**NCMD / MCDR1 all point mutations**

- \* In non-coding region
- \* 12KB from nearest gene (PRDM13)
- \* In DNASE 1 hypersensitivity binding site (regulatory region)

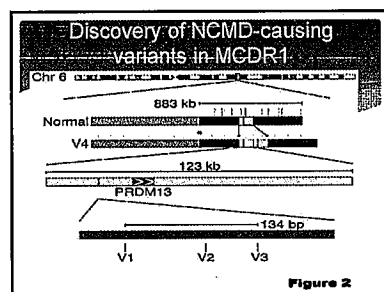
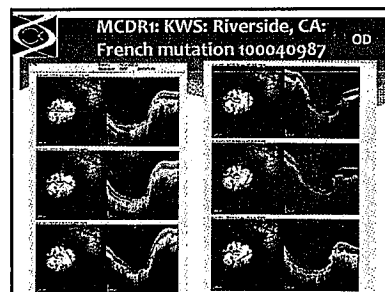
**This report 12 new NCMD / MCDR1 families**

- \* To update the data of the initial (11) families
- \* with the new (12) families with MCDR1
- \* 35 total families
- \* Do new / additional data continue to support our initial publication results?

**SNP or mutation**

- NONE of the base pair changes found any of the public databases (1000 Genome Project) (dbSNP)
- 260 controls from Iowa
- ONLY found in affected subjects
- 10 Independent families initially
  - Chr6\_100040987 G>T NC
  - Chr6\_100040987 G>C France
  - Chr6\_100040987 C>T Asia
- Association between these variants and the disease phenotype is extraordinarily strong  $P < 10^{-291}$
- Fisher exact test
- All variants are in a DNASE 1 hypersensitivity binding site

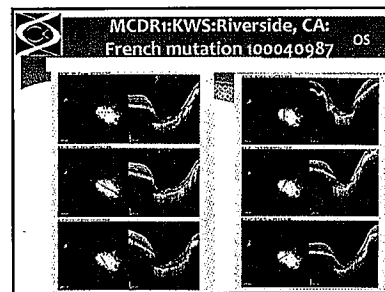
**Ophthalmology** **Featured in Ophthalmology lead article on the cover editorial**



**Editorial**

**Dysregulation of Retinal Transcription Factor PRDM13 and North Carolina Macular Dystrophy**  
Richard D. Wabnitz, MD, FRCOphth + Richard, Oregon

- \* "The editorial staff of Ophthalmology is to be commended for the recognition and acceptance of one of the most important studies in the past several decades."



**MCDR1 Arizona / Dr Bakall**  
**N Carolina mutation Chr6:100040906**

**MCDR1: NY / Mexico / Dr Agemi**  
**N Carolina mutation Chr6:100040906**

**35 total families available for study**  
 Initial publication 11 families  
 NEW 12 more independent families

- 7 with the NC mutations (all USA)
 

701	W. Wright	Levy	M. Carolina	Mex. descent	10004906
702	Tom	Levy	M. Carolina	Mex. descent	10004906
703	W. Wright	Levy	M. Carolina	Mex. descent	10004906
704	David	Stephenson	M. Carolina	Mex. descent	10004906
705	John	EMM	M. Carolina	Mex. descent	10004906
706	Arline	Smith	M. Carolina	Mex. descent	10004906
707	Michael	Agemi	M. Carolina	Mex. descent	10004906
- 5 with the French mutation (3 European, 2 USA)
 

708	Hofman	Comert/Hoyng	French	Mex. descent	10004987
709	Germany	KMS	French	Mex. descent	10004987
710	W. Wright	Levy	France	Mex. descent	10004987
711	Germany	Mohr/Wolfsberg	French	Mex. descent	10004987
712	Rowland, CA	KMS	French	Mex. descent	10004987
- 6 no mutations found (? Diagnosis of some)
- 6 in progress

**MACULAR FUNCTION TESTING IN A GERMAN PEDIGREE WITH NORTH CAROLINA MACULAR DYSTROPHY**

KLAYS BIRNHSCHNEIDER, MD, ANITA BLANKENAGL, MD, FRIEDRICH L. KRUMH, MD, THOMAS FENDBEL, PhD, HANS U. VOELKEL, MD

French mutation  
 Chr 6: 100040987

**MCDR1: NY / Mexico / Dr Agemi**  
**N Carolina mutation Chr6:100040906**

**35 MCDR1 families total being studied by KWS**

**MCDR1: NY / Mexico / Dr Agemi**  
**N Carolina mutation Chr6:100040906**

**MCDR1 Holland: Drs. Cremers / Hoyng**  
 V2: 100040987 G>C>

French mutation Chr6:100040987

Radboudumc

**DNASE1 hypersensitivity binding site**  
**3 POINT MUTATIONS**  
**ALL IN NON-CODING REGIONS**

### Why is North Carolina Macular Dystrophy Important?

- MCDR1 / NCMD is due to overexpression of PRDM13
- Expressed in many lower animals without maculae
- New gene / new pathway in macular disease
- Understanding / manipulating this gene could help us learn to control / grow new maculae

### Subsequently others have confirmed our findings

#### MCDR1 / PRDM13 duplication independently confirmed in Texas

by Browne, Sullivan, Daiger et

### North Carolina Macular Dystrophy treatments

- For rare CNVM: anti-VEGF
- Because of relative stability and is congenital
- ... no treatment
- Future: CRISPR-CAS9 ... ?

### Summary

- \* Total 23 independent families**
- with MCDR1 / NCMD phenotype with documented mutations
- 4 mutations found (3 base pair changes and one duplication of PRDM13)
- International collaboration needed to find and prove these mutations
- If only one family (Le NC) ... all changes found would be in linkage disequilibrium
- Making it difficult to PROVE mutations
- Functional data supports mutations affecting PRDM13 expression
- \* New data continue to support that these DNA changes ARE causative mutations**

### Lessons learned from NCMD / MCDR1

- 1) **Be a lumpner not a splitter**
- 2) **Challenge the literature**
- 3) **Make friends / collaborations**
- 4) **Persevere**

**Great works are performed not by strength, but perseverance.**

*Samuel Johnson (1709 - 1784)*

Johnson (1778) showing his intense concentration and the weakness of his eyes; he did not want to be depicted as "Blinking Sam"

### Best Macular Dystrophy

- autosomal dominant
- mutations in the BEST1 gene
- "classic" form symmetric egg-yolk-like lesion centered 20/30 or better in eyes with undisturbed vitelliform lesions
- surprising considering the substantial physical separation of the photoreceptor outer segments and the RPE that exists for decades in some individuals
- Optical Coherence Tomography (OCT), Fluorescein Angiograms (FA)/Autofluorescence, and Electroretinography
- Normal ERG, with abnormal EOG
- Increased RPE lipofuscin, loss of photoreceptors, sub-RPE drusenoid material, and accumulation of cells and material in the subretinal space

### Subsequently others have confirmed our findings

#### MCDR1 / PRDM13 duplication independently confirmed in Texas

by Browne, Sullivan, Daiger et

North Carolina macular dystrophy (MCDR1) caused by a novel tandem duplication of the PRDM13 gene

Kim J, Brown, Lark L, Sullivan, Thomas R, Williams, Kimura O, Collier, Kimble D, Jones, Donald C, Kothakota, Kothakota, Farnham, Richard W, W. Brown, Melissa R, Browne, Daniel C, Bark, Stephen F, Daiger

### acknowledgements

- NIH / NEI 1989-2004
- 2004- present Molecular Insight Research Foundation (501c3)
- 2004- present: KWS American Express Plum Card
- 6/2015 - present: Stephen A. Wynn Institute for Vision Research at Univ. of Iowa (Ed Stone)

### Best Macular Dystrophy

51-year-old male with a 3 nucleotide deletion in BEST1 (Leu294 del3cTCA). 20/30 in this eye. classic vitelliform lesion remains completely homogeneous after more than five decades of life. almost complete masking of the normal choroidal circulation underlying the lesion.

### Best Macular Dystrophy

13-year-old female  
evolving subretinal fibrotic nodule.  
subretinal blood can be seen at the inferior margin  
20/100.  
classic choroidal neovascular membrane

### Lump or Split Best Macular Dystrophy

### Stargardt's Disease

- Most of the differences in clinical presentation with ABCA4 disease can be explained by the heterogeneity of the genotype that vary among patients
  - (1) the severity of the ABCA4 genotype (and hence the rate at which toxic bisretinoids form in the photoreceptors)
  - (2) the relative sensitivity of the foveal cones to the genotype
  - (3) the relative sensitivity of the retinal pigment epithelium to the genotype
- loss of visual acuity, which can be as mild as 20/30 or as severe as 20/200
- abnormal fundus appearance that is incidentally discovered during a routine eye examination
- light-colored flecks at the level of the retinal pigment epithelium
- The RPE itself responds to ABCA4 mutations quite differently in different patients

### Best Macular Dystrophy

71-year-old female  
Thy307Ile mutation in BEST1  
20/80  
circular zone of geographic atrophy centered on fixation  
flashed 4 times over the two four degree 100 micrometer

### Best Macular Dystrophy

### Stargardt's Disease Treatment

- There is currently no proven treatment for ABCA4 disease
- extensive ongoing research in genetics, disease mechanisms, gene therapy, and cell replacement.
- drugs that modulate the visual cycle
  - Isoretinoin and fenretinide
  - slow the formation of these toxic products in Abca4 knockout mice
- Autosomal recessive disease more difficult to treat with CRISPR-CAS9
- AREDS MINUS beta-carotene

### Best Macular Dystrophy

42-year-old male  
Tyr227Asn mutation in BEST1  
20/100  
two large areas of subretinal fluid  
subretinal deposits of lipofuscin along the edges of both lesions.

### Treatment for BEST's

- primarily of recognizing choroidal neovascularization
- anti-VEGF therapy
- elongating the intervals between anti-VEGF injections, discontinuing them altogether,
- once the visual acuity is stabilized and all subretinal blood has been resorbed.
- AREDS?
- FUTURE: CRISPR-CAS9

### Stargardt's...

- effects of reduced ABCA4 function on full-field electroretinograms
- reduced ABCA4 function on the accumulation of bisretinoid (yellow symbols) on the inner leaflet of the photoreceptor outer segment disc membranes.
- histopathological effects of reduced ABCA4 activity. In patients with Stargardt's disease, the rate of bisretinoid formation in the outer segments is relatively slow and the photoreceptors are not directly injured.

### Stargardt's Disease

25-year-old female with compound heterozygous mutations in ABCA4 (T1909G/C1953A) 20/100  
 20/30 despite extensive injury to the RPE relative preservation of the foveal and peripapillary retina and RPE.

### Stargardt-like dominant macular dystrophy (SLDMD)

61-year-old male common Leu55G delG11CTTAA mutation in ELOVL4 20/100

53-year-old male common Leu55G delG11CTTAA mutation in ELOVL4 20/100

### Treatment for Pattern Dystrophy

- A significant risk for vision loss exists from CNVM
- Although CNV less frequent than in AMD
- anti-VEGF injections limits vision loss
- delayed recovery from exposure to bright light
- wearing dark glasses and a hat when outside can allow them to adapt more readily when coming inside
- AREDS?
- CRISPR-CAS9?

### Stargardt's Disease

35-year-old female compound heterozygous mutations in ABCA4 (Phe608Ile/Gly196Glu) 20/100  
 pisciform flecks ring the central lesion

### Pattern Dystrophy

- Pattern dystrophy
- a group of inherited retinal dystrophies
- pigment changes at the level of the RPE
- The most common cause: single gene, PRPH2
- originally RDS - OMIM #179605
- Mutations in this gene also cause some cases of
  - central areolar choroidal dystrophy,
  - retinitis pigmentosa
  - fundus flavimaculatus variant of Stargardt disease
- experience macular photostress in their daily life
- 18% risk of CNVM
- normal cone and rod amplitudes and implicit times on the full-field ERG
- reduction can be seen when there are more extensive changes
- EOG light peak to dark trough ratio is normal - modestly subnormal

### Pattern Dystrophy

(A) 40-year-old female Cys433P mutation in PRPH2 20/100  
 small dot and hole lesion and a hint of extramacular disease

(B) This same eye as eye (A) extensive reticular network of yellow deposits throughout the posterior pole

(C)

### Stargardt-like dominant macular dystrophy (SLDMD)

- Zhang et al. 5 base pair deletion in the ELOVL4 in affected members of five families
- despite 1 of these families being mapped by him to the wrong chromosome
- 90% of cases in North America
- progressive central vision loss
- symptoms in the first decade of life
- 20/200 or worse by 30 years of age
- Similarly to the autosomal recessive Stargardt disease
  - fundus of this disease show pisciform flecks, peripapillary sparing, and macular atrophy
- Mutations cause mis-trafficking of the mutant protein in vitro results in cell death

### Pattern Dystrophy

- SAME MUTATION: MULTIPLE PHENOTYPES
- Butterfly-shaped pigment dystrophy
  - systemic, on fundus and in molecular comparisons of DNA to flow "holes" or "holes" that resemble the wings of a butterfly
  - pigment deposits that best fit the disease of this family are peripheral to the central lesion
- Adult-onset fore macular field form pattern dystrophy
  - asymptomatically or with mild blurring
  - symptomatic, history, and/or macular hole forms lesions in the macula with a central pigmented spot
- SP green reticular dystrophy of the RPE
  - clearly defined network of black pigmented lines
  - resembling a fishnet with linear black lines
  - Some patients in the same family as those with reticular dystrophy also have an SLDMD and butterfly-shaped pigment changes
- Central areolar choroidal dystrophy (central areolar retinochoroidal dystrophy)
  - The earliest change is a few, small, depigmented in the macula of both eyes that appears between the second and fifth decades and gradually becomes symmetric, sharply outlined, butterfly and/or round areas of geographic atrophy of the RPE

### Pattern Dystrophy

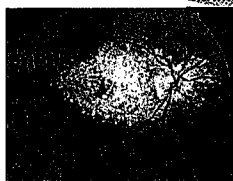
54-year-old male The46insC mutation in PRPH2 20/100  
 nummular atrophy in the macula

spared areas of RPE block the fluorescence from the underlying choroidal vessels

### Sorsby Fundus Dystrophy

- Visible yellow-to-gray intermediate drusen
- drusen / pigment
- coalesces into a fairly uniform yellowish-gray sheet
- more prominent with increasing age
- untreated CNV often results in extensive disciform scarring
- severely reduces visual acuity
- mutations create a new cysteine residue in the mutant protein TIMP3
- perturbation of tertiary structure through altered disulfide bonding
- TIMP3
- component of Bruch's membrane
- component of drusen
- abnormal deposits in SFD
- Treatment aimed at CNV control
- laser therapy and PDT are not effective

### Autosomal dominant radial drusen Doyme honeycomb retinal dystrophy malattia leventinese



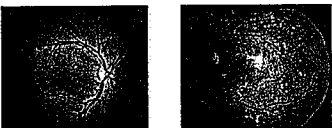
- Small 3 exon gene
- EFEMP1
- ALL affected have the same mutation
- Arg345Trp
- Most descendants for the
- Leventine Valley in Switzerland

### ESCS

- RESULTS
- Case 1: 23 year old female
- THE ORIGINAL CASE DEFINING ESCS
- phenotype PREVIOUSLY reported in detail
- 19 year old female
- unusual EERG responses led to the recognition of the ESCS syndrome
- Night blind all of her life
- otherwise asymptomatic
- 20/25 RT, 20/20 LT
- fields and color vision are normal
- exam shows no abnormalities other than some very sparse yellowish spots in the arcade regions

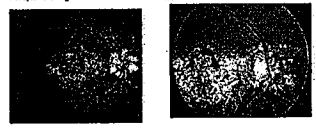
### Sorsby Fundus Dystrophy

36-year-old female Ser81Cys TIMP-3 20/5	61-year-old female Trp175Cys TIMP-3 20/125
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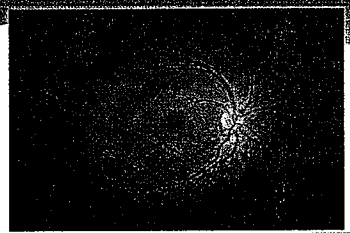


### Autosomal dominant radial drusen Doyme honeycomb retinal dystrophy malattia leventinese

46-year-old female Arg345Trp mutation in EFEMP1 20/40 large drusen about the optic disc radially oriented drusen drusen in the central fovea have fused into a honeycomb configuration	27-year-old female Arg345Trp mutation in EFEMP1 counting fingers atrophic changes in the central macula large drusen are present nasal to the nerve head
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### ESCS #1



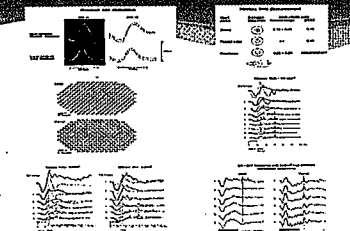
### Autosomal dominant radial drusen Doyme honeycomb retinal dystrophy malattia leventinese

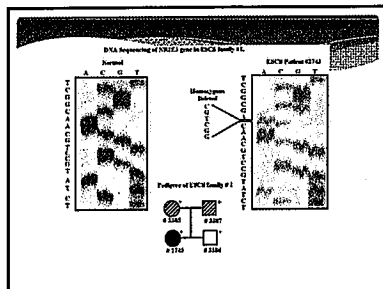
- Drusen 2nd decade to 7<sup>th</sup> decade
- drusen in the center of the macula and on the nasal edge of the optic disc
- tend to be large and round while those at the temporal margin of the macula tend to be smaller, elongated, and radial
- drusen abutting the nasal aspect of the nerve
- Later may develop central atrophy, scarring, and pigment proliferation that can look similar to SFD
- visual acuity is usually excellent until central atrophy
- drusen hyperautofluorescent
- There is currently no treatment
- anti-VEGF therapy
- Candidate for CRISPR-CAS9?

### Emmanouil's Cone Syndrome Goldmann-Pavane

- rare non syndromic autosomal recessive
- absence of rod function
- large-amplitude S cone-mediated electrical responses
- psychophysical hypersensitivity to blue light
- a cone dominant retina
- ERG's: greater amplitudes to short-wavelength
- gain in function of photoreceptors
- NR2E3 mutations for ESCS
- retinal nuclear receptor, a ligand dependent transcription factor
- expression limited to the outer nuclear layer of the human retina

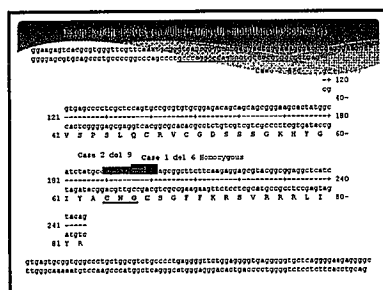
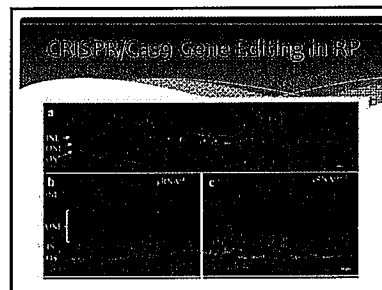
### ESCS case 1





### Glomerulonephritis Type II and Drusen

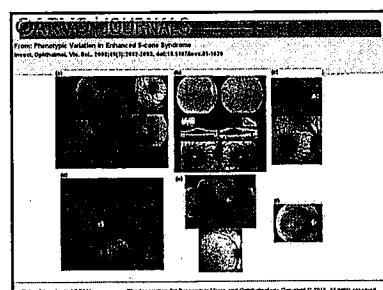
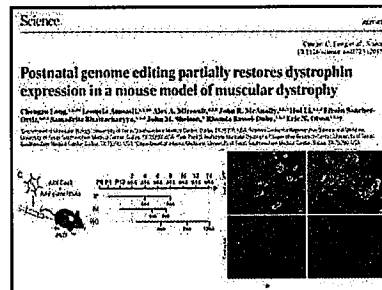
- The majority of patients with membranoproliferative glomerulonephritis (MPGN) type II
- basal laminar drusen
- visual acuity tends to be preserved unless CNV
- exudative drusen
- serous detachment complicate the disease
- abnormal EOG with a relatively normal ERG in some patients
- suggesting a more global retinal dysfunction than the visible drusen would suggest
- morphologically and compositionally similar to the drusen found in AMD



### Glomerulonephritis Type II and Drusen

membranoproliferative glomerulonephritis type II

2070 numerous small drusen in the posterior pole temporal to the fovea

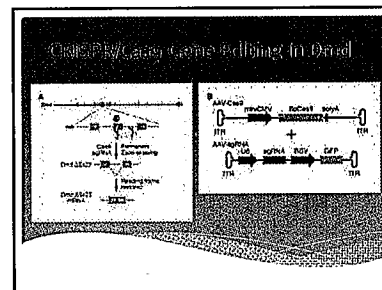


### In Vivo CRISPR/Cas9 Gene Editing Connects Retinal Dystrophy in the S334ter-3 Rat Model of Autosomal Dominant Retinitis Pigmentosa

AAV<sup>+</sup> 5' - ... - 3' (containing XN222 gene)

AAV<sup>+</sup> 10TGGCCCAAAGCAAGTAGCCATGGAGTGTGTG

AAV<sup>+</sup> TGTGGCCCAAAGCAAGTAGCCATGGAGTGTGTG







CURRICULUM VITAE

NAME: Kent W. Small, M.D.

DATE: 12/2014

PRESENT POSITION AND ADDRESS:

Scientist Cedars-Sinai Medical Center, Regenerative Medicine Institute  
 President / Founder Kent W. Small, M.D. A Medical Corporation  
 President / Founder Macula and Retina Institute  
 President / Founder: Molecular Insight LLC, A Research Corporation  
 President / Founder: Molecular Insight Research Foundation (501C3)  
 8635 W. 3<sup>rd</sup> Street, Suite 395 W, Los Angeles, CA 90049 310-459-2200  
 501 N Orange St. Suite 250, Glendale, CA 91203 818-552-5040

BIOGRAPHICAL: Date and place of birth: 19 October 1956, New Orleans, LA  
 Citizenship: US  
 Home address: 3134 Corda Dr., Los Angeles, CA 90049

EDUCATION:

Aug 1974 - Aug 1977, Zoology, None, Louisiana State University  
 Aug 1977 - June 1981, Medicine, M.D., Tulane University  
 May 1979 - Aug 1979, Cancer Research Externship during medical school, None, Tulane University  
 Jul 1981 - Jul 1985, Resident in General and Cardiothoracic Surgery, None, Duke University  
 Jul 1983 - Jul 1985, Research Fellow in Surgical Cardiac Electrophysiology, None, Duke University  
 Jul 1985 - Jul 1988, Resident in Ophthalmology, None, Duke University  
 Jul 1988 - Jan 1991, Fellow in Vitreoretinal Surgery, None, Duke University  
 Jan 1989 - Jan 1991, Research Associate Neurogenetics

PROFESSIONAL WORK HISTORY AND TEACHING EXPERIENCE:

Jul 2004 - Sept. 2004 Professor and Chair, Department of Ophthalmology and Visual Sciences, UTMB, Galveston, Texas  
 Jul 1998 - Jun 2004 Professor w/tenure, Jules Stein Eye Institute, UCLA, Los Angeles, CA  
 Aug 1994 - Jun 1998 Associate Professor w/tenure, Jules Stein Eye Institute, UCLA, Los Angeles, CA  
 Jun 1994 - Aug 1994 Associate Professor w/tenure, Department of Ophthalmology, Biochemistry, and Molecular Biology, University of Florida, Gainesville, FL  
 Aug 1992 - Jun 1994 Assistant Professor tenure track, Department of Ophthalmology, Biochemistry and Molecular Biology, University of Florida, Gainesville, FL  
 Jan 1992 - Aug 1992 Research Associate, Neurogenetics, Department of Medicine, Division of Neurogenetics, Duke University, Durham, NC  
 Jan 1991 - Jan 1992 Assistant Professor tenure track, Department of Ophthalmology School of Graduate Studies, Medical University of South Carolina, Charleston, SC

RESEARCH ACTIVITIES:

A. Area of research: Molecular genetics of macular and retinal degenerations as

well as inherited corneal diseases, inherited eyelid dysmorphism, and inherited optic nerve abnormalities. Stem cells fetal cortical neural progenitor cells to treat macular and retinal degenerations.

B. Grant support:

Contracts and Grants:  
 Previously Funded

1. NRSA (National Research Service Award / NEI) Amount: \$40,000. Duration: 2 years. Title: The effect of total body irradiation on corneal neovascularization. Principal Investigator: Kent W. Small, M.D. 1985, was asked to decline by Duke Eye Center to begin residency in ophthalmology one year earlier.
2. Jacob Javits Fellow: National Institutes of Health/NINDS. amount \$60,000, duration: 1988-1989. Title: Genetics mapping of inherited diseases. Principal Investigator: Kent W. Small, M.D.
3. K11 Physician Scientist Award, National Institutes of Health, National Eye Institute, EY00313. Principal Investigator: Kent W. Small, M.D. Amount/Years Duration: 5 years, \$360,000; Title: Genetics Studies of North Carolina Macular Dystrophy. 1989-1994.
4. Jules and Doris Stein Research to Prevent Blindness Professorship. Amount: \$350,000; Duration: 5 years. Additional \$100,000 for equipment. Title: Molecular Genetics Studies of Ocular Diseases. 1993-1994. Principal Investigator: Kent W. Small, M.D.
5. Retinitis Pigmentosa Foundation.; Amount: \$50,000. Title: Cloning the Gene for Dominant Cone Degeneration. 1993-1995. Principal Investigator: Kent W. Small, M.D.
6. Division of Sponsored Research for University of Florida. Inherited Ocular Disease-gene mapping. Duration: 1 year, 1993, \$15,000, Principal Investigator: Kent W. Small, M.D.
7. National Institutes of Health, National Eye Institute RO-1- EY10239 Duration: 5 years; Amount: \$87,000 Direct Cost. Title: Hereditary Macular Degenerations. 8/93-8/98. Principal Investigator: Kent W. Small, M.D.
8. The Foundation Fighting Blindness: 9/96-8/99, 180,000, Cloning the gene for autosomal dominant cone degeneration. Principal Investigator: Kent W. Small, M.D.
9. Ciba-Giegy Photodynamic Therapy for Age-related macular degeneration., \$10,000. 11/1/99-3/2000 Participating surgeon: Kent W. Small, M.D
10. National Institutes of Health, National Eye Institute. Submacular Surgery Trials (SST): age-related macular degeneration. participating surgeon 7/1998 - 6/2000.
11. Agouron Pharmaceuticals, Orl AG3340 for the treatment of choroidal neovascularization in age-related macular degeneration, PI at UCLA center, Principal -Investigator: Kent W. Small, M.D. \$100,000, 1998-2001
12. National Institutes of Health, National Eye Institute RO-1- EY11645; Duration: 3 years; Amount: \$700,000 Direct Cost. Title: Cloning the blepharophimosis gene. 1998-2001. Principal Investigator: Kent W. Small, M.D
13. Lu-Tex Photodynamic Therapy for AMD. (Alcon Laboratories). A phase I trial, a dose - ranging study of Lutetium Tetrophyrin injectable formulation in the photodynamic treatment of subfoveal choroidal neovascularization. Participating / treating physician: Kent W. Small, M.D.
14. Alcon Laboratories, Inc. An evaluation study on the safety and efficacy of Anerocortave Acetate Sterile Suspension vs. placebo following sub-tanon's injections for the inhibition of Neovascularization in patients. C-98-03 (Schwarz, PI) 12/01/2000 - 12/01/2003 \$225,000 Kent W. Small, M.D. Role: Co-Investigator
15. RO1 EY11645 (Kent W. Small, PI) 08/01/2001 - 07/31/2004 NIH/NEI \$200,000 Cloning the gene defect causing blepharophimosis syndrome The major goals of this project are to identify the mutations causing the blepharophimosis syndrome
16. Muscular Dystrophy Association (Kent W. Small, PI) 07/01/2003 - 06/30/2006 Hereditary and Motor and Sensory Neuropathy Type (CMT) IV, 553,410 Gene Mapping and Positional Cloning This project aims to identify the gene responsible for a specific neuromuscular disease that causes muscle weakness and optic nerve atrophy, Charcot-Marie-Tooth type 6 (CMT6).

17. The Foundation Fighting Blindness (Bok, Center Coordinator) 07/01/00 - 06/30/05  
JSEI Center Core Grant \$121,137 (KWS Portion)  
Module V: Cloning the gene for autosomal dominant cone degeneration (CORDS)  
The major goals of this project are to identify the genetic basis of an inherited retinal degeneration, CORDS.  
Role: Kent W. Small, M.D.: Principal Investigator of Module V
18. P 30 EY00331 (Hubbell, PI) 03/01/99-02/28/04  
NIH/NEI \$291,029 (Core)  
Core Center Grant for Vision Research  
The major goal of this grant is to provide shared use of core facilities among 17 investigators.  
Role: Kent W. Small, M.D.: Investigator
19. T32 EYE07026 (Farber, PI) 09/30/00-09/29/05  
NIH/NEI \$248,248  
Vision Research Training Grant, Biochemistry of Cone Visual Cells  
This project funds predoctoral and postdoctoral fellows in vision science (fourteen mentors in Ophthalmology).  
Role: Kent W. Small, M.D. Investigator
20. R01 MH63764-01A1 (Kumar, PI) 5/1/2002-4/30/2006  
NIH/National Institute of Mental Health  
Cerebrovascular Basis of Depression in Diabetes-NIDDM  
Role: Kent W. Small, M.D.: Co-Investigator
21. CIRM (California Institute for Regenerative Medicine) Neural progenitor cells for the treatment of retinitis pigmentosa. 1/2015-1/2018  
\$15,000,000 accepted  
Role: Kent W. Small, MD Co-Investigator

#### CLINICAL TRIALS

- Amicus (Small sub-investigator)  
Protocol GAU-CL-202 for the treatment of Gaucher's Disease 4/09-1/10  
\$300
- SRFR-001 (Small PI) 9/07-9/09  
Sifon Therapeutics Inc \$179,445  
A Phase II Multicenter, Randomized, Double-Masked, Placebo-Controlled, Dose Comparison Study of the Safety and Efficacy of Fenretinide in the Treatment of Geographic Atrophy in Subjects with Age Related Macular Degeneration
- VGFT-OD-0605 (Small PI) 9/07-12/08  
REGENERON INC \$272,890  
A Phase III Multicenter, Randomized, Double-Masked, Controlled, Dose Comparison Study of the Safety and Efficacy VEGF-TRAP (VGFT-OD-0605) in Subjects with Exudative Age related Macular Degeneration
- RADICAL (Small PI) 10/07-10/09  
QLT INC \$287,500  
A Phase III, Multicenter, Randomized, Double-Masked, Controlled, Dose Comparison Study of the Safety and Efficacy of Photodynamic Therapy in Combination with Intravitreal Lucentis Injections Compared to Lucentis Injections as Monotherapy.
- Brinzolamide / Timolol Study Group (Small PI) 10/06-10/07  
ALCON RESEARCH LTD \$25,000

3

Efficacy of Brinzolamide 1% / Timolol 0.5% Fixed Combination Compared to Brinzolamide 1% and Timolol 0.5%.

- FVF2508g (Small PI) 05/15/2003 - 12/31/2004  
Genentech, Inc. \$15,429  
Protocol FVF2508g, "An Extension Study to Evaluate the Safety and Tolerability of Multiple-Dose Intravitreal Injections of rhuFabV2 in Subjects with Neovascular Age-Related Macular Degeneration Who Have Completed the Treatment Phase of a Genentech-Sponsored Phase I or Phase III rhuFab V2 Study"
- SMS995 804 (Small, PI) 11/13/2000 - 01/01/2006  
Novartis Pharmaceutical \$359,562  
Protocol SMS995804, "A Randomized, Controlled Study on the Efficacy and Safety of Sandostatin LAR in the Therapy of Patients with Moderately severe or non-proliferate retinopathy (NPDR) or low risk proliferate diabetic retinopathy (PDR).
- EOP1005C (Gonzales, PI) 9/6/2002-9/5/2004  
EyeTech Pharmaceuticals, Inc. \$147,459.38  
A Phase II Randomized, Controlled, Double-Masked, Dose-Finding, Multi-Center, Comparative Trial, in Parallel Groups, to Establish the Safety and Preliminary Efficacy of Intravitreal Injections of EYE001 (Anti-VEGF Pegylated Aptamer), Given Every 6 Weeks for 12 to 30 Weeks to Patients with Clinically Significant Diabetic Macular Edema (CSME) Involving the Center of the Macula".  
Kent W. Small, M.D. Role: Co-Investigator
- EOP1006B (Gonzales, PI) 2/6/2003-2/11/2004  
EyeTech Pharmaceuticals, Inc. \$159,336.00  
A Randomized, Double-Masked, Multi-Center Trial of the Safety, Tolerability and Pharmacokinetics of 1 Mg/eye and 3 Mg/eye Intravitreal Injections of Pegaptanib Sodium (Anti-VEGF Pegylated Aptamer) Given Every 6 Weeks for 54 Weeks in Patients with Exudative Age-Related Macular Degeneration (AMD). Kent W. Small, M.D. Role: Co-Investigator
- EOP1004 (Gonzales, PI) 10/16/2001-10/15/2003 (7)  
EyeTech Pharmaceuticals, Inc. \$171,529.00  
A phase I/III randomized, double-masked, controlled, dose-ranging multicenter comparative trial, in parallel groups to establish the safety and efficacy of intravitreal injections of EYE-001 (anti-VEGF pegylated aptamer) given every six weeks for 54 weeks in patients with exudative Age-Related Macular Degeneration. Kent W. Small, M.D. Role: Co-Investigator
- Submacular Surgery Trials (Schwartz, PI) 05/01/2003 - 04/30/2004  
Johns Hopkins University/NIH/NEI \$56,180  
A randomized, multicenter trial to evaluate submacular surgery for removal of subfoveal choroidal neovascular lesions in selected patients with Age-Related Macular Degeneration (AMD) compared to observation, patients with new, large and/or poorly demarcated subfoveal lesions.  
KWS Role: Co-Investigator
- BFA-MC-MBCM (Schwartz, PI) 08/27/2001 - 03/01/2005  
Lilly Research Laboratories \$167,565  
Protein Kinase C B Inhibitor - Diabetic Retinopathy Study 2(PKC-RS2), A Phase III Clinical Trial.  
Kent W. Small, M.D. Role: Co-Investigator
- FVF2508g (Kent W. Small, M.D. PI) 05/15/2003 - 12/31/2004  
Genentech, Inc. \$15,429  
"An Extension Study to Evaluate the Safety and Tolerability of Multiple-Dose Intravitreal Injections of rhuFabV2 in Subjects with Neovascular Age-Related Macular Degeneration Who Have Completed the Treatment Phase of a Genentech-Sponsored Phase I or Phase III rhuFab V2 Study"
- SMS995 804 (Kent W. Small, M.D. PI) 11/13/2000 - 01/01/2006  
Novartis Pharmaceutical \$359,562

4

Protocol SMS9950804, "A Randomized, Controlled Study on the Efficacy and Safety of Sandostatin LAR in the Therapy of Patients with Moderately severe or non-proliferate retinopathy (NPDR) or low risk proliferate diabetic retinopathy (PDR).

FVF2587g (Kent W. Small, M.D. PI) 02/10/2004 - 03/31/2006  
Genentech, Inc. \$340,292.00  
"A Phase III, Multicenter, Randomized, Double-Masked, Active Treatment-Controlled Study of the Efficacy and Safety of rhuFab V5 (Ranibizumab) Compared to Verteporfin (Visudyne) Photodynamic Therapy in Subjects with Predominantly Classic Subfoveal Neovascular Age-Related Macular Degeneration"

Iridex (PI: Kent W. Small, MD) 10/20/2000-4/20/2005  
Transpupillary Thermotherapy of Occult Subfoveal Choroidal Neovascular Membranes in Patients with Age-Related Macular Degeneration (The TTT-CNV Clinical Trial)

Valiant (PI: Kent W. Small, MD) 2011-2014  
Long lasting effects of photodynamic therapy as combination therapy with anti-VEGFs. \$10,000  
Investigator Initiated Trial

CIRM (California Institute for Regenerative Medicine) (PI Shaomei Wang PhD) 2015-2018  
IND-enabling study of subretinal delivery of human neural progenitor cells for the treatment of retinitis pigmentosa \$3,000,000

Patents: U.S. Patent Application Serial No. 12/044,833 For: Suspended Slit Lamp Perkins Cole Ref. No.: 65677.8001.US00

Other Research Interests: (NOT FUNDED)

1. Silicone oil clinical trial. Principal Investigator: Kent W. Small, M.D. 1992-93
2. Vitrectomy endophthalmitis clinical trial. Co-Investigator: Kent W. Small, M.D. 1992-1993
3. Richard James Silicone Oil Investigation. Co-Investigator: Kent W. Small, M.D. 1994-1996
4. Intraoperative Use of Perfluorocarbon Liquids. Co-Investigator: Kent W. Small, M.D. 1994-1996
5. Clinical Sciences, duration 1 year. Intravitreal injection of cidofovir for recurrent CMV retinitis in AIDS patients. Principal Investigator: Kent W. Small, M.D. 1995-1996
6. Laser to Drusen Trial. Principal Investigator for the Jules Stein Eye Institute: Kent W. Small, M.D. 1994-1996
7. Surgical Repair of Macular Holes Research Study. Co-Investigator: Kent W. Small, M.D. 1994-present
8. The artificial retina. Principal Investigator: Kent W. Small, M.D., Co-Investigators William Dawson, Ph.D., and Klieh Rambo, Ph.D. (University of Florida) 1993-1997
9. Surgical Repair of Macular Holes with Silicone Oil. Principal Investigator: Kent W. Small, M.D. 1997-1999
10. Treatment of choroidal neovascularization from age-related macular degeneration with low dose external beam irradiation. AMDRT 1997-present. Principal Investigator: Kent W. Small, M.D.
11. The Effectiveness of a Reading Training Program in Low Vision Patients with Age-related Macular Degeneration. Principal Investigator: Melissa Chun, O.D., Co-Investigator: Kent W. Small, M.D. 1/2000-12/2001, no funding
12. Vervet Research Colony as a Biomedical Resource. P40 Core support grant to NCCR, Lynn Fairbanks, PI, Kent Small, M.D., collaborator 2001-2004

COMMITTEE RESPONSIBILITIES:

- A. National.
- B. UTMB
- C. Departmental

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D. Other

Administrative duties, University Governance and Service (Florida):

1. Search Committee for Department of Ophthalmology Chairman -1993-94.
2. Strategic Planning Committee for Center for Man/Primate Genetics -1992-94.
3. Residency Selection Committee, Department of Ophthalmology -1992-94.
4. Assistant Director of M.D./Ph.D. program at the University of Florida -1993-94.
5. Member C.Q.I. (continuing quality improvement) Physician Facilitation team Shands Hospital (one of 12 selected physicians at U.F. to train other U.F. physicians in the C.Q.I. process - a new technique in assessing systems efficiencies) 1993-94

Administrative duties, University Governance and Service (UCLA) Jul 1998 - Jun 2004:

1. Director of the Masula Center at the Jules Stein Eye Institute
2. Advisor: Low Vision Center at The Jules Stein Eye Institute
3. Dept. of Ophthalmology Clinical Committee: member
4. Dept. of Ophthalmology Research Committee: member
5. Dept. of Ophthalmology Committee (provides policies and procedures for the academic Senate): member
6. Dept. of Ophthalmology Appointment, Academic Advancement and Promotion Committee: member
7. Jules Stein Eye Institute Committee (for organized research units)
8. Quality Assurance Committee of the Ophthalmology Service, UCLA Medical Center Medical Staff: member
9. Dept. of Ophthalmology Quality Assurance Committee: member
10. Dept. of Ophthalmology, Ophthalmic Genetics Center: member
11. Jules Stein Eye STAR Program Committee: member
12. Vision Genetics Center: member

TEACHING RESPONSIBILITIES AT UTMB:

- A. Medical School
- B. OSBS, SAHS and SON as applicable
- C. Other

Presentations (abstracts presented at meetings)

Regional:

Annual Spring Cardiovascular Symposium, Duke University Medical Center "Changes in myocardial resistivity during global ischemia: On-line identification of the onset of severe but reversible ischemic injury." 10/85

North Carolina Medical Society Meeting 132nd Annual Scientific Session, Asheville, NC, "Vitamin A deficiency in American adults." 6/86

McPherson Hospital Scientific Presentations, Durham, NC "Pseudotumor oculi". 5/85

McPherson Hospital Scientific Presentations, Durham, NC "Fundus findings in primary oxalosis." 5/87

Jackson Mutant Mouse Lab, Bar Harbor, ME, "Genetics of macular degeneration" 7/89

North and South Carolina Ophthalmological Society, Charleston, SC "Electrophysiological Tests" 5/91

Duke University Alumni Meeting, Durham, N.C., "North Carolina Macular Dystrophy in Belize" 4/93  
San Antonio Ophthalmological Society, "Ocular Genetics for the Clinician" 9/93

National

Christian Ophthalmology Society, Hilton Head, SC "Vitamin A and the eye" 7/88

Combined Retina Society and Macular Society Meeting, Boston, MA "North Carolina macular dystrophy: update" 5/89

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Ophthalmologic Genetics Study Club, New Orleans, LA "North Carolina macular dystrophy: genetics studies." 10/89

International Society of Genetics Eye Diseases, Atlanta, GA, "Genetics exclusion map of North Carolina macular dystrophy" 10/90

Retina Society, Key Largo, FL. "Pigmented paravenous retinochoroidal atrophy, discordant expression in monozygotic twins." 10/90

Christian Ophthalmology Society Meeting, Callaway Gardens, GA "Ocular Genetics Update." 6/91

Ophthalmologic Genetics Study Club, Anaheim, CA, Oct. "Alstrom's, a case misdiagnosed as Bardet-Biedl's". 10/91

Ophthalmologic Genetics Study Club, Anaheim, CA, "Autosomal dominant cone degeneration, a large single family study" 10/91

Association of University Radiologists, Chicago, IL. Keuthe DO, Small KW, Blinder RA: Are large magnetic fields safe for patients with metallic retinal tacks? 5/89.

FASEB Summer Symposium, Copper Mountain, CO, 7/95 Small KW. High resolution genetic map of the MCDR1 locus

International Society of Ocular Pathology, New Orleans, LA "Primary exaltosis: a clinicopathologic study" 10/89

International Pediatric Nephrology Association, Paris, France: Scheinman JI, Fallon MD, Small KW, Mahan JD, Letson RD, KJintworth GK: Primary hyperoxaluria (PHO): Common mitogenic effects on bone and retina. 6/89

Canadian Association of Physicists, Division of Medical and Biological Physics. Keuthe DO, Small KW, Blinder RA: Dynamic similarity to the Maxwell equations to determine if patients with metallic tacks in their eyes are safe in large magnets. 6/89

International Society of Genetics Eye Disease, Atlanta, GA. Small KW, Vance JM, Jones, MA, Hung W-Y, Yamaoka L, Rases AD, Pericak-Vance MA: Genetics linkage analysis in North Carolina macular dystrophy. 9/89

Walsh Neuroophthalmology Society Small KW, Buckley EG: Recurrent unilateral ptosis. Vancouver, British Columbia, CAN 8/88

European Society of Human Genetics "Mutation screening of the *BIGH3* gene in patients with Keratoconus". Strasbourg, France, May 25-28th 2002.

Human Genome Organization, HUGO "Characterization of mutations within the *FOXL2* gene in Blepharophimosis Ptosis Epicanthus Inversus patients and its evolutionary conservation in Fugu". 2002, Shanghai, China April 2002.

International Congress Of Human Genetics "Identification and Characterization of mutations in families affected with Corneal dystrophy". 10th 2001 Vienna, Austria, May 15 - 19, 2001

American Society of Human genetics "Characterization of mutations in families affected with Corneal dystrophy", 50th ASHG, Pennsylvania, Philadelphia, 3-7 Oct.2000.

Human Genome Organization, "Physical map of North Carolina Macular degeneration - MCDR1 locus", HUGO'99, Brisbane, Australia 1999.

HUGO (Human Genome Organization) 2002, Shanghai, China April 2002., N. Udar , V. S. Yellore, M. Chalukya, S. Yelchits, R. Silva-Garcia, K. Small "Characterization of mutations within the *FOXL2* gene in Blepharophimosis Ptosis Epicanthus Inversus patients and its evolutionary conservation in Fugu".

INTERNATIONAL CONGRESS OF HUMAN GENETICS 2001 Vienna, Austria, May 15 - 19, 2001, N. Udar , V. S. Yellore, M. Chalukya, S. Yelchits, R. Silva-Garcia, K. Small "Identification and Characterization of mutations in families affected with Corneal dystrophy".

10th HUGO '99, Brisbane, Australia 1999. N. Udar , V. S. Yellore, M. Chalukya, S. Yelchits, R. Silva-Garcia, K. Small "Physical map of North Carolina Macular degeneration - MCDR1 locus".

Invited Lectures:  
Regional:

Braille Institute, Los Angeles, CA "Macular degeneration: there is hope", 3/97

Midway Hospital Medical Center, Los Angeles, CA, New Advances in Retina: "New techniques in the management of diabetic retinopathy and venous occlusive disease. 1/97

Braille Institute, Los Angeles, CA "Macular degeneration: new therapies", 3/98

Southern California College of Optometry, Fullerton, CA, Retina and Low Vision Symposium, 1/99, "Macular diseases" University of California, Berkeley School of Optometry. The Sixth Annual Continuing Education in Southern California Lectureship and Symposium. 2/99

Cedars-Sinai Medical Center, Genetic Disease and the Eye. "Genetics of Macular Degeneration" Los Angeles, CA 1/99

Foundation Fighting Blindness, Symposium on new research in macular degeneration. Invited Speaker, Los Angeles, CA 4/99

Visions 99'. Sponsored by The Foundation Fighting Blindness. "Age-related macular degeneration, Stargardt's disease and Best's disease." July 1999.

Los Angeles Research Study Club, Universal City, CA, invited speaker, "Genetics of age-related macular degeneration" 1/2000

Greater Los Angeles Coding Network (GLACN) and Southern California Health Information Association (SCHIA). Ophthalmology and coding issues. Los Angeles, CA 2/2001

Greater Los Angeles Coding Network (GLACN) and Southern California Health Information Association (SCHIA). Ophthalmology and coding issues. Los Angeles, CA 3/2002

California Academy of Ophthalmology: Age-related macular degeneration, update. Santa Monica, CA, 9/2002

Nebraska Academy of Ophthalmology: Age-related macular degeneration, update. Omaha, Nebraska 9/2002

Nebraska Academy of Ophthalmology: The macular dystrophies. Omaha, Nebraska 9/2002

Braille Institute, Los Angeles, CA "Macular degeneration: new therapies", 4/03  
R and R: Retina and Rehabilitation. Invited keynote speaker "From Molecules to Magnifiers" 10/17/03 Point Clear AL

8th Annual UCLA Research Conference on Aging. "Age-related macular degeneration, from molecules to magnifiers" Los Angeles, 6/03

Braille Institute, Los Angeles, CA "Macular degeneration: update", 3/04  
 Braille Institute, Los Angeles, CA "Macular degeneration: update", 3/05  
 Discovery Eye Foundation: "Macular Degeneration: update" 10/09 Skirball Center, Los Angeles, CA  
 Blinded Veterans Association, Wadsworth VA Hospital, "Macular Degeneration: update" 3/14/2010

National

Association of Ophthalmic Photographers, Anaheim, CA "Using the hand held fundus camera". 10/91  
 University of Texas Southwestern, Dept. of Ophthalmology Dallas, TX, 1990, Hereditary macular degeneration.  
 University of Louisville, Dept. of Ophthalmology, Louisville, KY, 1990, Hereditary macular degeneration.  
 Washington University, Dept. of Ophthalmology, St. Louis, MO, 1990, Hereditary macular degeneration.  
 University of Texas, Dept. of Ophthalmology, San Antonio, TX, 1990, Hereditary macular degeneration.  
 Medical University of South Carolina, Dept. of Ophthalmology, Charleston, SC, 1990, Hereditary macular degeneration.  
 Medical College of Georgia, Dept. of Ophthalmology, Augusta, GA, 1990, Hereditary macular degeneration.  
 Hershey Medical Center, Dept. of Ophthalmology, Hershey, PA, 1990, Hereditary macular degeneration.  
 Johns Hopkins, Wilmer Eye Institute, Dept. of Ophthalmology, Baltimore, MD, 1991, Hereditary macular degeneration.  
 National Institutes of Health, National Eye Institute, Bethesda, MD, 1991, Hereditary macular degeneration.  
 University of West Virginia, Dept. of Ophthalmology, Morgantown, W.Va., 1991, Hereditary macular degeneration.  
 Harvard Medical School, Massachusetts Eye and Ear Infirmary, Dept. of Ophthalmology, Boston, MA, 1991, Hereditary macular degeneration.  
 Pacific Medical Center, Dept. of Ophthalmology, Smith-Kettlewell Eye Research Institute, San Francisco, CA, 1991, Hereditary macular degeneration.  
 University of Nebraska, Dept. of Ophthalmology, Omaha, NE, 1991, Hereditary macular degeneration.  
 University of Minnesota, Dept. of Ophthalmology, Minneapolis, MN, 1991, Hereditary macular degeneration.  
 University of Cincinnati, Dept. of Ophthalmology, Cincinnati, OH, 1992, Hereditary macular degeneration.  
 University of South Florida, Dept. of Ophthalmology, Tampa, FL, 1992, Hereditary macular degeneration.  
 University of Florida, Dept. of Ophthalmology, Gainesville, FL, 1992, Hereditary macular degeneration.  
 University of Wisconsin, Dept. of Ophthalmology, Madison, WI, 1992, Hereditary macular degeneration.  
 Yale University, Dept. of Ophthalmology, New Haven, CT, 1992, Hereditary macular degeneration.  
 University of Texas, Houston, Dept. of Ophthalmology, Houston, TX, 1992, Hereditary macular degeneration.

Geisinger Medical Center, Dept. of Ophthalmology, Danville, PA, 1992, Hereditary macular degeneration.  
 University of Michigan, Dept. of Genetics, Ann Arbor, MI, 1992, Hereditary macular degeneration.  
 University of South Carolina, Dept. of Ophthalmology, Columbia, SC, 1992, Hereditary macular degeneration.  
 Texas Tech, Dept. of Ophthalmology, Lubbock, TX, 1992, Hereditary macular degeneration.  
 University of Minnesota, Dept. of Ophthalmology, Minneapolis, MN, 1992, Hereditary macular degeneration.  
 Tulane University School of Medicine, Dept. of Ophthalmology, New Orleans, LA, 1993, Hereditary macular degeneration.  
 Ochsner Medical Center, Dept. of Ophthalmology, New Orleans, LA, 1993, Hereditary macular degeneration.  
 University of California, Los Angeles (UCLA), Jules Stein Eye Institute, Dept. of Ophthalmology, 1994, Hereditary macular degeneration.  
 University of South Carolina, Dept. of Ophthalmology, Columbia, SC, 1994, Hereditary macular degeneration, B. cereus endophthalmitis  
 University of Pennsylvania, Dept. of Ophthalmology, Philadelphia, PA, 1994, Hereditary macular degeneration.  
 University of Wisconsin, Dept. of Ophthalmology, Madison, Wisconsin, 1994, Hereditary macular degeneration.  
 University of California, Berkeley, Berkeley, CA, 1995, Genetics of Myopia  
 University of Alabama, Birmingham, AL, Dept. of Ophthalmology, 1996, Hereditary macular degeneration.  
 Vanderbilt University, Nashville, TN, 1997, Hereditary macular degeneration.  
 University of California, Davis, 1998, Hereditary macular degeneration.  
 Mid Winter Vitreo-retinal Surgery Course, Sarasota, FL, "Should we treat retinitis pigmentosa with vitamins?" 2/94  
 Mid Winter Vitreo-retinal Surgery Course, Sarasota, FL, "The future of molecular genetics in ophthalmology" 2/94  
 Retina Society, Santa Fe, NM, "Autosomal Dominant Cone Degeneration Maps to Chromosome 17p" 10/95  
 Macula Society, Tucson, Arizona, "North Carolina macular dystrophy in Central America" 2/96  
 Western Retina Study Club, Yosemite, CA, "Autosomal Dominant Cone Degeneration Maps to Chromosome 17p" 3/96  
 WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii, "Wide angle viewing using the AVI contact lens system" 7/96  
 WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii, "Perfluorocarbon liquids: indications, techniques and complications" 7/96  
 WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii, "ICG and Digital Imaging Experience at the Jules Stein Eye Institute/UCLA" 7/96  
 Joint Commissions of Allied Health Professional Organization, Chicago, IL "Genetics of Eye Distances" 10/96

Aspen Retinal Detachment Society, Aspen, CO, "Hereditary macular degenerations" 3/97

Aspen Retinal Detachment Society, Aspen, CO, "The artificial retina", 3/97

University of California at Davis, Sacramento, CA, 1/98 "Age-related macular degeneration and other inherited macular degenerations", grand rounds visiting professor

University of California, San Francisco, Dept. of Ophthalmology, 1998, Hereditary macular degeneration, grand rounds visiting professor

Medical University of South Carolina, Dept. of Ophthalmology, Charleston, SC, 4/99, "Hereditary macular degenerations" grand rounds visiting professor

Medical College of Virginia, Dept. of Ophthalmology, Richmond, VA, 3/2000 "Hereditary macular degenerations" grand rounds visiting professor

Small KW, Vu I, Glasgow B, Flannery J, Histopathologic study of North Carolina macular dystrophy. American Ophthalmological Society, Hot Springs, VA 5/2001 Abstract #24

University of Miami, Bascom Palmer Eye Institute, "North Carolina macular dystrophy", 3/2003

Louisiana State University Health Science Center, GUCY2D Gene Mutations in CORD5 Families and Evidence of Incomplete Penetrance 4 / 03

University of California, Irvine, GUCY2D Gene Mutations in CORD5 Families and Evidence of Incomplete Penetrance 3 03

University of California Irvine, "North Carolina macular dystrophy" 5/03

University of California Los Angeles, Center on Aging, invited Plenary speaker, "Macular Degeneration, from Molecules to Magnifiers" 6/03

#### International

First International Workshop of Human Chromosome 6, sponsored by HUGO (Human Genome Organization), Ann Arbor, Michigan, "North Carolina macular dystrophy maps to 6q14-q16.2", 6/92

University of Lille, France, 1998, North Carolina macular degeneration and the genetics of age-related macular degeneration

Hospital Evar La Ciguaria, Mexico City, Mexico, 1996, Hereditary macular degeneration.

University of Iceland, Reykjavik, Iceland, 1998, Genetics of age-related macular degeneration.

ARVO (Association for Research in Vision and Ophthalmology) SIG (special interest group) Ft. Lauderdale, FL, 1995: "Hereditary macular degenerations" 5/95

American Academy of Ophthalmology, Atlanta, GA, ARVO Sponsored Special Symposium on "Advances in Molecular Genetics and Their Clinical Impact on Retinal and Choroidal Diseases" 10/95

Coloquio Manejo Medico Quirurgico del Trauma de Segmento Posterior sponsored by the La Sociedad Mexicana de Oftalmologia y La Asociacion Mexicana de Retina, "Masa inflamatoria retinica subsecuente a trauma" 5/96

International European Union Meeting on "Genetics of Macular Degeneration", Amsterdam, Netherlands, "North Carolina macular dystrophy" 6/97

Macula Society, Florence, Italy, "North Carolina macular dystrophy in France" 6/97

Macula Society, Florence, Italy, Moderator: Inherited retinal and macular degenerations, 6/97

American Academy of Ophthalmology, 1997 Subspecialty Day, San Francisco, CA, "What to tell patients about the genetics of age-related macular degeneration" 10/97

American Academy of Ophthalmology, 1997 Subspecialty Day, San Francisco, CA, "Hereditary macular dystrophies" 10/97

The Retina Society Vancouver, British Columbia, CAN "North Carolina macular dystrophy (MCDR1) family in Texas maps to Chromosome 6q16" 9/97

American Academy of Ophthalmology, Vitreoretinal Update 1997. Invited Speaker "What do we tell our patients about the genetics of age-related macular degeneration?" San Francisco, CA, 10/97

American Academy of Ophthalmology, invited discussant, "Phenotype-genotype correlations of the keratopithelin gene." 10/97

ARVO SIG (special interest group) Ft. Lauderdale, FL, "Hereditary macular degenerations", 5/97

American Academy of Ophthalmology, 1996, New Orleans, LA. Invited Speaker for ARVO symposium, "Inherited macular diseases."

Macula Society, San Diego, CA., Chair: Inherited retinal and macular degenerations, 2/99

Icelandic Ophthalmologic Society, invited speaker, "The genetics of age-related macular degeneration", Reykjavik, Iceland 3/99

Icelandic Ophthalmologic Society, invited speaker, "Inherited macular dystrophies", Reykjavik, Iceland 3/99

American Academy of Ophthalmology and the Pan-American Association of Ophthalmology. Course #602 "Surgical Management of Intraocular Infections" 1999, Orlando, FL

Macula Society, Puerto Rico, "Histopathologic studies of dominant cone degeneration (CORD5)" 2/2000

33<sup>rd</sup> Panhellenic Ophthalmologic Congress, Thessaloniki, Greece, 5/24-27, 2000. "The genetics of age-related macular degeneration"

33<sup>rd</sup> Panhellenic Ophthalmologic Congress, Thessaloniki, Greece, 5/24-27, 2000. "Round table symposium new surgical methods in macular disease"

33<sup>rd</sup> Panhellenic Ophthalmologic Congress, Thessaloniki, Greece, 5/24-27, 2000. "Round table symposium photodynamic therapy in age related macular degeneration"

Retina Society, Coral Gables, FL, Silicon oil loss. 11/2000

Invited Speaker: Braille Institute, Los Angeles, CA 3/2001, "Update of macular degeneration"

American Ophthalmological Society : Small KW, Vu I, Glasgow B, Flannery J. Histopathologic study of North Carolina macular dystrophy. Hot Springs, VA 5/2001 Abstract #24

Volunteer Physician Lectures: Rotary Humanitarian Projects Sponsored Eye Clinic in Denpasar, Indonesia 8/8/2001

2nd Updates in Ophthalmology, Singapore National Eye Institute, "Submacular Surgery for choroidal neovascularization" 8/12/2001

2nd Updates in Ophthalmology, Singapore National Eye Institute, Chaired session on Age-related macular degeneration. 8/12/2001

2nd Updates in Ophthalmology, Singapore National Eye Institute, "Clinical approach to macular dystrophies" 8/12/2001

Naples, FL, Macula Society, 3/2003. GUCY2D Gene Mutations in CORD5 Families and Evidence of Incomplete Penetrance

Queen's University, Belfast Ireland, invited speaker, "Age-Related Macular Degeneration, from Molecules to Magnifiers." 9/03

Anshelm, CA, Subspecialty Day, American Academy of Ophthalmology, invited speaker, "Genetic Testing in the Clinic" 11/03

Boulder, CO, SIRNA Therapeutics, "Therapy of ocular diseases." 1/8/04 SIRNA,

Barcelona, Spain, invited guest lecturer by Prof. Borja Cociostegui MD, "North Carolina macular dystrophy" 6/05

Cape Town, South Africa, The Retina Society "Avastin (bevacizumab) for wet AMD, 6 month data" 10/06

San Diego, CA, The Retina Society, "New mutations in enhanced s-cone / Goldmann-Favre" 10/05

London, UK The Macula Society, "Combination therapy (anti-VEGFs with PDT) for wet AMD" 6/07

Oahu, Hawaii, PCOOS Pacific Coast Ophthalmology and Oto-Laryngology Society. "Combination therapy (anti-VEGFs with PDT) for wet AMD" 7/07

Marbella, Spain, 7th International Age-Related Macular Degeneration (AMD) Congress, "Combination therapy (anti-VEGFs with PDT) for wet AMD" 10/07

Indian Wells, CA, The Macula Society, "Combination therapy (anti-VEGFs with PDT) for wet AMD" 12/07

Hue, Viet Nam, ICEM (2<sup>nd</sup> Imperial City Eye Meeting) 2008. Macular Degeneration, examination techniques 6/08

Hue, Viet Nam, ICEM (Imperial City Eye Meeting) 2008. Macular Degeneration, special testing 6/08

Hue, Viet Nam, ICEM (Imperial City Eye Meeting) 2008. Macular Degeneration, medical treatments 6/08

Hue, Viet Nam, ICEM (Imperial City Eye Meeting) 2008. Macular Degeneration, surgical treatments 6/08

Nuku' Olafa, Tonga: Diabetic Retinopathy update 9/09

Kona, Hawaii, Hawaiian Eye Meeting, 2013 Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

Dana Point, CA, Macula Society, 2013, Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

La Jolla, CA, American Ophthalmological Society, 2013, Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

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Toronto, Canada, American Society of Retinal Specialist, 2013, Presentation of fungal endophthalmitis outbreak following intravitreal injections of triamcinolone contaminated by a compounding pharmacy

Vienna, Austria, American Society of Retina Specialists. Aspirin use in age-related macular degeneration. 7/2015

Philadelphia, PA, Temple University Alumni Day: Invited Grand Rounds Speaker: Dealing with an outbreak of fungal endophthalmitis due to contaminated triamcinolone from a compounding pharmacy.

Philadelphia, PA: Temple University: Invited speaker for resident's day: North Carolina Macular Dystrophy: gene found!

#### MEMBERSHIP IN SCIENTIFIC SOCIETIES:

American Medical Informatics Association 12/03 - present  
 The American Academy of Ophthalmology: 1985 - present.  
 American Medical Association: 1985 - present.  
 The Association for Research in Vision and Ophthalmology (ARVO): 1985 - present.  
 American Society of Human Genetics: 1988 - present.  
 American Association for the Advancement of Science: 1988 - present.  
 Ophthalmic Genetics Study Club: 1990 - present.  
 Christian Ophthalmological Society: 1990 - present.  
 The Macula Society: 1994 - present  
 The Los Angeles County Medical Society: 1994 - present  
 California Ophthalmological Association: 1994 - present  
 California Medical Association, 1995 - present  
 Western Retina Study Club, 1996 - present  
 The Retina Society, 1996 - present  
 Internet Ophthalmology Society, 1995 - present  
 The American Ophthalmological Society Associate (AOS) 1998 - present [www.aosonline.org](http://www.aosonline.org)  
 The American College of Physician Executives 1997 - present  
 Pan-American Association of Ophthalmology 1999 - present  
 National Association for the Visually Handicapped (NAVH) <http://www.navh.org>, member Medical Advisory Board, 2000 - present  
 Member program committee for The American Ophthalmological Society (AOS) 2003-2006

BOARD CERTIFICATION: American Board of Ophthalmology, 1989

#### LICENSURE INFORMATION:

Louisiana Board of Medical Examiners, license #16124 6/18/81 - 10/04  
 North Carolina Board of Medical Examiners License, license #28058 6/12/84 - present  
 South Carolina Board of Medical Examiners license #15175 10/5/90 - present  
 Massachusetts Board of Registration in Medicine license #75230 12/91-12/92  
 Maryland Board of Medical Examiners license #D42715 12/91 - 12/93  
 Florida, Department of Professional Regulation, license # ME 0065156 10/93-present  
 California Board of Medical Examiners license # A053173 issued 6/8/94- 10/31/05  
 Tennessee Board of Medical Examiners license # 30080 issued 4/98-4/99  
 Mississippi State Board of Medical Examiners License # 16564 issued 10/4/99

#### HONORS:

Top Doctors in Southern California: 2009 (LA Times)  
 Outstanding Scientist of the 21<sup>st</sup> Century, elected 11/14/2001  
 Life member of Kingston's National Registry of Who's Who in the 2002 edition:

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American Ophthalmological Society, elected full member 6/2001  
 American Ophthalmological Society, elected associate 6/98  
 Honor Award from the American Academy of Ophthalmology, received 1998  
 National Institutes of Health, National Eye Institute Visual Science C Study Section, temporary member, 10/97  
 National Institutes of Health, National Eye Institute Visual Science B Study Section, Ad Hoc member, 8/97  
 Who's Who in Diabetes Treatment, Education, and Research named 1996  
 Best Doctors in Los Angeles, named by the Los Angeles Magazine, 1996  
 American Men and Women of Science, named 1997  
 Best Doctors in America, named in 1996 - present  
 Best Doctors in America, Pacific Region, named in 1996  
 Attending of the Year, 1992-93, elected by the residents, University of Florida  
 Jacob Javits Fellow 12/88 - 11/90  
 Diplomate, American Board of Ophthalmology: 11/14/1989 - present  
 The First Chief Resident in Ophthalmology at the Duke Eye Center 5/87 - 12/87  
 Cabarrus Surgical Fellowship - 1984 - 1985  
 Alpha Omega Alpha Honor Medical Society initiated 1980 - present  
 American Cancer Association Research Externship 1979  
 Alpha Omega Alpha: Elected 1980 Tulane University Medical School  
 Phi Eta Sigma Honor Society - elected 1976 L.S.U.  
 Phi Kappa Phi Honor Society - elected 1976 L.S.U.  
 Alpha Epsilon Delta Honor Society - elected 1976 L.S.U.  
 Dean's List L.S.U. - all seven semesters, 8/74 - 8/77

**ADDITIONAL INFORMATION:** Include pertinent information concerning your educational and scientific background, and activities that do not fit into the categories previously listed.

Consultations Outside the University (U.F. and UCLA):  
 Retina consultation at Veterans Administration Hospital, Gainesville, FL 1992 - 94  
 Retina consultation at North Florida Eye Center in Lake City, FL, July 1993 - 94  
 Retina consultations at The Valley Eye Center in Van Nuys, CA 4/95-5/97  
 Retina consultations at Wadsworth Veterans Administration Hospital, Los Angeles, CA 6/95 - present  
 Agouron Pharmaceuticals, LaJolla, CA, Scientific Advisory Board - member, 1998-2000  
 DeCode, Reykjavik, Iceland, Scientific Advisor, 1998

Editor of Scholarly Journal, Service on an Editorial Advisory Board, or Reviewer of a Scholarly Journal:  
 Editorial Board: Molecular Vision 2000-present  
 Guest Editor: Investigative Ophthalmology and Visual Sciences 2001  
 Guest Editor: Investigative Ophthalmology and Visual Sciences 1999  
 Editorial Board: Ophthalmic Genetics 1995-2000  
 Archives of Ophthalmology: Reviewer 1989 - present  
 Investigative Ophthalmology: Reviewer 1989 - present  
 Ophthalmology: Reviewer 1991 - present  
 Canadian Research Council: Grant reviewer - 1991 present, reviews one grant per year.  
 Canadian Retinitis Pigmentosa Foundation: Grant reviewer - 1991 - present; reviews one grant per year.  
 Foundation Fighting Blindness, grant reviewer, 1994-present  
 Ophthalmic Genetics: Reviewer - 1992 - present  
 Retina: 1995-present  
 ARVO moderator 1994, Molecular genetics  
 ARVO moderator 1995, Molecular genetics  
 ARVO moderator 1996, Molecular genetics  
 American Journal of Ophthalmology 95-present  
 Genomics, 1996-present  
 Retina, 1997-present  
 Human Molecular Genetics 1998-present

American Journal of Human Genetics 1998-present  
 Molecular Vision, 1998 - present  
 Editorial Board member Molecular Vision 2000-present  
 Journal of the American Association of Pediatric Ophthalmology and Strabismus: reviewer 2000-present  
 Welcome Foundation: grant reviewer 2001 - present  
 Cornea: 2000 - present  
 Health Psychology: 2004

**International Activities:**  
 Activity: moderator at ARVO (Association for research in vision and ophthalmology), June 1994,  
 Service: moderator: retinal genetics section

Activity: moderator at ARVO (Association for research in vision and ophthalmology), June 1995,  
 Service: moderator: retinal genetics section

Activity: moderator at ARVO (Association for research in vision and ophthalmology), June 1996,  
 Service: moderator: retinal genetics section

Activity: Studied families in Belize, Central America with inherited retinal degenerations.  
 Service: Performed eye examinations on indigent population in Belize, Central America.  
 Research: Ascertain families with rare inherited eye diseases and performed molecular genetic studies.

Activity: Participation at the First International Workshop on Human Chromosome 6  
 Research: Develop genetics linkage map of chromosome 6 for HUGO (Human Genome Organization).

Activity: Studied families in northern France with inherited macular degeneration in collaboration with Professor Bernard Puech in Lille, France.  
 Service: Performed eye examinations on families  
 Research: Ascertain families with rare inherited eye diseases and performed molecular genetics studies

Activity: Representative of the Jules Stein Eye Institute and the Paul Kaiser Foundation of the Pan-American Ophthalmological Association to Mexico City, May 13-18, 1996.  
 Service: examined indigent patients with retinal diseases at The Hospital Evitar La Ciegueria in Mexico City  
 Research: Set up collaborations for studying inherited ocular diseases in Mexican families.

Activity: WAVE (Western Association of Vitreo-retinal Education), Maui, Hawaii WAVE (Western Association of Vitreo-retinal Education), Moderator Wide Angle Viewing Session, Maui, Hawaii.  
 7/96

Activity: Established the international consortium to map and clone macular degeneration genes. 1997

Activity For Last 10 Years:	1992-94	1994-1993	1993-1992	1991-1990	1990-1989	1988-1988
	Teaching	5%	10%	10%	5%	5%
Research	10%	10%	10%	75%	95%	10%
Service	85%	80%	80%	20%	0%	0%
Extension	0%	0%	0%	0%	0%	80%
	100%	100%	100%	100%	100%	100%

Teaching, Advising, and/or Instructional Accomplishments (UF):

Dr. Small actively participates in the advising of residents for post-graduate training and practice of ophthalmology and retinal/vitreous diseases. 1990 - Present



Dr. Small has participated in the teaching of a laser photocoagulation course (Skills Transfer Course) at the American Academy of Ophthalmology for the past 3 years as well. 1989 - 1992

1. Lecture to Residents - Monthly One Hour Lectures:
  - 1991
    - Jan: General Genetics 1
    - Feb: Ocular Genetics 1
    - Mar: Ocular Genetics 2
    - April: Electro-retinography 1
    - May: Electro-retinography 2
    - June: Inherited Retinal Degenerations 1
    - July: Inherited Retinal Degenerations 2
    - August: Inherited macular degeneration
    - Sept.: Uveitis 1
    - Oct.: Uveitis 2
  - 1992:
    - Aug.: Retinal Detachment 1
    - Sept.: Retinal Detachment 2
    - Oct.: Diabetic Retinopathy 1
    - Nov.: Diabetic Retinopathy 2
    - Dec.: Diabetic Retinopathy 3
  - 1993:
    - Jan.: Age-Related Macular Degeneration 1
    - Feb.: Age-Related Macular Degeneration 2
    - Mar: Inherited Retinal Degenerations
    - April: Electro-retinopathy
    - May: Uveitis 1
    - June: Uveitis 2
    - Aug.: Retinal Detachment 1
    - Sept.: Retinal Detachment 2
    - Oct.: Diabetic Retinopathy 1
    - Nov.: Diabetic Retinopathy 2
    - Dec.: Diabetic Retinopathy 3
  - 1994:
    - Jan.: Age-Related Macular Degeneration 1
    - Feb.: Age-Related Macular Degeneration 2
    - Mar: Inherited Retinal Degenerations
    - April: Electro-retinopathy
    - May: Uveitis 1
2. Lectures to Medical Students: 1992-present on:
  - Central Retinal Artery Occlusion
  - Hypertensive Retinopathy
  - Diabetic Retinopathy
  - Ocular Sarcoidosis
  - AIDS - Retinopathy
  - Macular Degeneration
  - Workshop on Molecular Genetics
3. Clinical teaching during retina clinic to 1st, 2nd, and 3rd year residents - every Monday, 8:00 am - 7:00 PM.
4. Clinical teaching during VA Retina Clinic to 1st, 2nd, and 3rd year residents - every Wednesday, 2:00 PM - 4:00 PM.
5. Surgical teaching to 2nd year residents 3-4 times per week and once every other week at the VA.
6. Lecture seminar to Center for Mammalian Genetics: "Hereditary Macular Degeneration" - 1992-93.

Teaching Evaluation

RESIDENT'S TEACHING EVALUATIONS (UF)

(18 Residents/Year)  
 Kent Wilson Small  
 1992-93      1993-94  
 Dr. Small Dept. Avg.      Dr. Small/ Dept. Avg.

Didactic Teaching, lectures & conferences

Punctuality & dependability	1.3/1.9	1.8/2.2
Teaching Skills	1.5/1.7	1.3/2.0
Provides useful clinical information	1.4/1.8	1.5/1.9
Gives sufficient lectures	1.5/2.1	1.3/1.9
Encourages questions/comments	1.5/2.1	1.7/2.1

Clinical Duties

Time spent teaching (in clinic)	1.7/2.2	2.1/2.3
Clinic run in orderly manner	2.3/2.3	1.2/1.8
Approachable for questions	1.2/2.0	1.3/2.0
Establishes patient rapport	1.6/1.9	1.3/1.9
Treats clinic staff respectfully	1.4/2.0	1.2/2.2
Avoids outbursts of anger	1.2/2.0	1.2/1.9
Allows resident participation	1.5/2.0	1.6/2.1
Fully available for call	1.3/2.0	1.3/2.0

Administrative/Research

Active in own research	1.2/1.8	1.0/2.2
Departmental duties	1.9/1.9	1.5/2.3
Active in political issues	2.0/1.9	1.6/2.2
Enhances Dept.	1.2/2.1	

Rating Scale: (1) Outstanding; (2) Above Average; (3) Average; (4) Unsatisfactory; (5) Totally Inadequate  
 \*No comparable college mean available\* This was required

Teaching Evaluation

RESIDENT'S TEACHING EVALUATIONS (UCLA)

(21 Residents/Year) score = 1 is poor, 10.0= best; note this is opposite scoring from UF

	Dr. Small's score/dept. average				
	Kent Wilson Small				
	94-95	95-96	97-98	98-99	
Has command of the subject	7.0/6.5	6.3/6.3	7.0/6.6	6.8/6.4	6.5/6.6
presents material in organized, clear manner	7.0/6.3	6.0/6.2	6.7/6.5	6.8/6.3	6.5/6.0
Sensitive to response of students, house-staff	7.0/5.9	6.4/5.9	6.5/6.4	6.7/5.9	6.1/5.8
Available to and friendly towards house-staff	7.0/6.1	6.7/6.0	6.7/6.3	6.8/5.9	6.4/5.9
Enjoys teaching and is enthusiastic about the subject	7.0/6.2	6.5/6.1	6.5/6.5	6.8/6.1	6.4/6.0
deeply interested in patient care; often makes contributions	6.5/6.1	6.4/6.1	7.0/6.6	6.7/6.1	6.5/6.1
Meets appointments; punctual	7.0/6.1	5.3/6.0	7.0/6.3	6.5/6.1	6.0/6.0
How does the instructor compare with other clinical teachers you have had at UCLA	6.5/6.1	6.1/5.9	6.7/6.5	6.8/6.0	6.1/5.8

2nd Year Medical Student Evaluations Teaching Evaluations (Approximately 100 Students) (Evaluations based 3 Lectures)

1993	
Department	1.84
Average	
Dr. Kent Small	1.80

Note: The Department of Ophthalmology concentrates its formal teaching of medical students into two weeks. The evaluation of our faculty occurs only for that lecture series. Their evaluations of Dr. Small's lectures are shown above.

**Teaching, Advising, and/or Instructional Accomplishments (at UCLA):**

Jules Stein Eye Institute, Clinical Teaching Conference, lecture: "vitrectomy for ocular toxocariasis" 1 hour, 11/94  
 Jules Stein Eye Institute, Vision Genetic Meeting, lecture: "molecular genetics of macular degeneration" 1 hour, 11/94  
 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/94  
 Jules Stein Eye Institute, 1 hour discussion of retinal research activity with residents, 12/94  
 Jules Stein Eye Institute, CMV reinitiation study group, presentation: "use of intravitreal cidofovir" 1 hour lecture, 1/95  
 Jules Stein Eye Institute, Vision Genetics Meeting, lecture: molecular genetics of autosomal dominant cone degeneration, maps to chromosome 17p" 1 hour lecture, 1/95  
 Jules Stein Eye Institute, Retinitis Pigmentosa Study Group, presentation "positional cloning of the North Carolina macular dystrophy gene" 1 hour lecture, 4/95  
 Jules Stein Eye Institute, Clinical Seminars Series, Moderator of Grand Rounds, 4/95  
 Jules Stein Eye Institute, Basic Science Series Seminar, "selective hybridization cloning of the North Carolina macular dystrophy gene" 1 hour lecture, 6/95  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 6/95  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 9/95  
 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/95 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/96  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/9 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/97  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/97  
 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/98  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/98  
 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/99  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/99  
 Jules Stein Eye Institute, Clinical Science Series Seminar, administered the JSEI weekly quiz, 10/00  
 Jules Stein Eye Institute, Clinical Science Series Seminar, Moderator of Grand Rounds, 11/00  
 Jules Stein Eye Institute, Basic Science Series Seminar, "Ocular Genetics" 10/01  
 Jules Stein Eye Institute, "The genetics of myopia" Myopia for the Year 2000: clinical and research perspectives, 2/96  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 9/94  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 2/95  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 9/95  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 2/96  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 9/96  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 2/97  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 10/97  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 4/98  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 4/99  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 11/2000  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 11/2001  
 Jules Stein Eye Institute, Clinical Fundamentals Course M201 for Medical Students - Instructor 3 hours, 11/2002  
 "Hereditary Macular Disease" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96  
 "Laser to Drusen Trial" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96  
 "Silicon Retina / Retinal Transplants" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96  
 "Macular Hole Surgery" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96  
 "Phototoxicity" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96  
 Co-Moderator of The Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/96  
 "Complications of perfluorocarbon liquids" The New Age of Perfluoron Liquids Course by Infinite, Los Angeles, CA, 5/96

"New Age of Perfluoron Liquids" Course by Infinite, Co-Moderator, 5/96  
 "Hereditary retinal degenerations", Jules Stein Eye Institute Clinical Science Lectures Series: 3 hours, 10/96  
 "Macular degeneration", Jules Stein Eye Institute Clinical Science Lectures Series: 3 hours, 10/96  
 Initiated and maintained the macular degeneration support group at UCLA, 2/97- present  
 UCLA Inter-campus Medical Genetics Training Program, Graduate Course in Genetics: 3 hours lecture; "review of ophthalmic genetics" 4/97  
 "Surgical macular diseases" Jules Stein / UCLA Post Graduate Seminar, Comprehensive Eye Care Update for the Practitioner, Los Angeles, CA, 4/98  
 "The Aging Eye" Biology of Aging course (MCD Biology CM 149/Pathology M262) 4/98 2 hour lecture for senior undergraduates  
 "Hereditary retinal degenerations", Jules Stein Eye Institute Clinical Science Lectures Series: 4/99  
 "What's new in age-related macular degeneration" Jules Stein Eye Institute Clinical Science Lectures Series: 1/2001  
 Moderator: Jules Stein Eye Institute Clinical Science Lectures Series: 1/2001  
 "Update on macular degeneration" Jules Stein Eye Institute Clinical Science Lectures Series: 1/2001  
 "Age-related macular degeneration: update" 10/2002 invited Speaker Braille Institute, Los Angeles, CA 3/2001  
 "Update on macular degeneration" Jules Stein Eye Institute Clinical Science Lectures Series: 10/2002  
 Moderator: Jules Stein Eye Institute Clinical Science Lectures Series: 10/2002  
 "Finding the genes of AMD" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 4/2003  
 "Cone-Rod Dystrophies" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 3/2003  
 "AREDS, new findings" Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 4/2003  
 Moderator: Jules Stein / UCLA Post Graduate Seminar, Los Angeles, CA, 4/2003

**Teaching Accomplishments in Laboratory Molecular Genetics**

name	year	status
Kay Kelley, Ph.D.	1992-1994	Biological Scientist UF
Anthony Sanchez, M.S.	1992-1994	Biological Scientist UF
Svetlana Yelichil, Ph.D.	1992-1997	Biological Scientist UF and UCLA
Lynne Muller, BS	1992-1997	Biological Scientist UF and UCLA
Loretta Fisher, BS	1992-1994	Medical Student UF
Mike Stalvey, BS	1992-1994	Graduate Student UF
Nitin Udgar, Ph.D.	1996-1997	UCLA post-doc
James Fink, BS	1995	UCLA medical student
Shiang Do	1995-96	UCLA undergraduate
Christine Chin	1996	UCLA undergraduate
Famela Golchet	1996-97	UCLA undergraduate
Sven Mellor, BS	1996	Hanneman University medical student
Sara Gislason	1998	UCLA undergraduates
Glaizman Medical Student Geriatric Scholars Program: took one student each year in the lab 1995-1999		
Nancy Fadille	1998-2000	UCLA undergraduate
Jessica Falipe	1998-2000	UCLA undergraduate
Tara Anderson	1998-2001	UCLA undergraduate
Allen Shirvanian	1998-2000	UCLA undergraduate
Lizael Morales	1998-present	UCLA undergraduate
Pranav Vyas	1998-2000	UCLA post-doc
Vivek Yellore	2000-present	UCLA post-doc

Graduate Faculty Status:  
 Department of Biochemistry and Molecular Biochemistry and Molecular Biology, University of Florida - Affiliate member: 1992-94.  
 Faculty of School of Graduate Studies, Medical University of South Carolina, Charleston: 1991.

Graduate Committee Activities:  
Member, School of Graduate Education, Medical University of South Carolina, Charleston, SC 1991  
Assistant Director of M.D./Ph.D. program, University of Florida: 1993 - 94

**Courses Attended** (attended without giving a presentation)

Course in Mammalian Genetics, Bar Harbor, Maine, 1989  
Genetic Linkage Analysis, Basic Course, Columbia University, by Jurg Ott, Ph.D. 1994  
Leadership and Decision Making in Organizations, Anderson School of Business, UCLA, Los Angeles CA, 11/12-15/96

CPT Coding Course sponsored by The California Association of Ophthalmology 1995

CPT Coding Course sponsored by The California Association of Ophthalmology 1996

CPT Coding Course sponsored by The California Association of Ophthalmology 1997

UCLA Teaching Physician Regulations for Medicare and Medicaid compliance, 1997

UCLA CPT coding course, 1997

UCLA Anderson School of Business and Management 295A: New Venture Initiation, audited and completed, 1997

UCLA Anderson School of Business and Management 298D15: Business Plan Development and Writing, audited and completed 1997

The AUFO (Association of University Professors of Ophthalmology) Management Program, 1997

Advanced Genetic Linkage Analysis Course of Complex Traits, Rockefeller University, by Jurg Ott, Ph.D. 1997

UCLA Anderson School of Business and Management: Executive business course in finances 6/98

S.A.G.E. Course in genetic segregation analysis and non-parametric genetic studies. Given by Robert Elston. Costa Mesa, CA 1999

Summit Technology, Photorefractive Keratectomy and Toric PRK. 11/14/99

Summit Technology, Microkeratome Training. 11/14/99

Summit Technology, Photorefractive Keratectomy and Toric PRK. 11/14/99

Summit Technology, Photorefractive Keratectomy. 11/14/99

Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/2000

Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/1998

Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/1997

Ophthalmology Coding, sponsored by the California Ophthalmology Association, 6/1996

Ophthalmology Coding, sponsored by the California Ophthalmology Association, 1/2003

**Publicity / Public Interviews**

**1992**  
**Associated Press release** of mapping macular degeneration gene, published in many newspapers across the country. **Science News**, **The Weekly Newsmagazine of Science**, (International publication) July 18, 1992, vol. 142, pg. 37. Featured section on Dr. Small's mapping of the macular degeneration gene.

**1993**  
**Gainesville Sun** announcing Dr. Small's Jules and Doris Stein Research to Prevent Blindness Professorship.

**WTVD TV channel 11 "Health Spot"** interviewed Dr. Small on genetics of macular degeneration.

**Friday Evening Post** (University of Florida paper) announcing the Jules and Doris Stein RFB Award.

**The Tulane Medicine**, New Orleans, LA announcing Dr. Small's mapping of the macular degeneration gene

**Duke University Eye Center Alumni 1992 Report**; one page feature of Dr. Small's mapping of the macular degeneration gene

**The University of Florida Health Science Center Annual Report 1992-93**. Featured article on Dr. Small and The Center for Mammalian Genetics.

**Visions, News and Views from the College of Medicine** featured section on Dr. Small and his research. May 1993

**The Research to Prevent Blindness Progress Report 1993**, section on Dr. Small and his research.

**The Research to Prevent Blindness Annual Report 1992**, Featured section on Dr. Small and his research. pg. 10.

**The Florida Physician** Fall 1993, pg. 15, section on Dr. Small and his research

**Associated Press release**; Nov. 22, 1993, TV appearance describing research of locating the macular degeneration gene- this release was aired by many local and national news stations across the country (judging by the phone calls received) **CNBC** national cable news network "Medical Beat", **WTVD 11 Gainesville** and **Ocala** are some examples.

**The Friday Evening Post** Nov. 19, 1993, announcing the NIH grant

(RO-1)-\$1,000,000 which Dr. Small is PI on

**The Gainesville Sun**, Nov. 16, 1993, full page featured article on Dr. Small and his research

**1994**  
**KCAL radio station**, San Francisco, CA, interview, Macular Degeneration

**1995**  
**Ophthalmology World News**, The Independent Newspaper of the American Academy of Ophthalmology, volume 1, number 12, 1995, pg. 14-16 "The Genetics Key to AMD"

**1996**  
**KCAL-9 TV station 1/19/96**, Macular Degeneration interview

"Researchers find an easy switch from manual to automated genetics analysis" **Biosystems Reporter** volume 1, 1996.

**Asheville Citizen Times**, Aug. 1996. "Researcher works with family with rare eye disorder".

**Los Angeles Magazine**, Kent Small, M.D. named as one of LA's best doctors Nov. 1996

**1997**  
**Senior World Newsmagazine** vol. 11, May 1997, page 30. "Preventive care critical for aging eyes"

**1999**  
"Genetic Eye Disease: Will you be prepared for the future?" in **Ophthalmology Management** April, 1999, pp105-108.

**MDForum: Internet Macular degeneration forum 10/1999** <http://members.aol.com/danrob/MDDiscussion.html>

**DNA.com internet chat interview** "The genetics and treatment of degenerative retinal diseases" 8/17/200

[www.dna.com/evem/Transcript](http://www.dna.com/evem/Transcript)

**KNBC**: television interview about Stargardt disease and the American Olympic runner Marla Runyon. 9/29/2000

**OCT (Orange County Times TV)** Age-related macular degeneration and genetics of macular diseases 3/2001

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3. Damiano RJ Jr, Smith PK, Tripp HF Jr, Asano T, Small KW, Lowe JE, Ideker RE, Cox JL: The effect of chemical ablation of the endocardium on ventricular fibrillation threshold. *Circulation* 74:1986,645-652.
4. Damiano RJ Jr, Tripp HF, Asano T, Small KW, Jones RH, Lowe JE: Left ventricular dysfunction and dilation resulting from chronic supraventricular tachycardia. *Journals Thoracic and Cardiovascular Surgery* 94:1987,135-143.
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9. Small KW, Pollock SC, Scheinman J: Optic atrophy in primary oxalosis. *American Journal of Ophthalmology* 106:1988,86-97.
10. Small KW, Buckley EG: Recurrent ptosis secondary to a pituitary tumor. *American Journal of Ophthalmology* 106:1988,760-761.
11. Small KW, Buckley EG: Can cardiac surgery provoke vitreous hemorrhage in proliferative diabetic retinopathy? *Surgical Forum* 39:1988,517-519.
12. Alexander III E, Rossitch Jr E, Small K, Abson P: Merkel cell tumor metastatic to brain and choroid: Evidence for hematogenous spread and the implications for therapy. *Clinical Neurology and Neurosurgery* 91:1989,307-310.
13. Vance JM, Pericak-Vance MA, Yamaoka LH, Soper MC, Rosenwasser GOD, Small KW, Gaskel FC, Hung WY, Alberts MJ, Hayes CS, Gilbert JR, Aylsworth A, Roses AD: Genetics linkage mapping of chromosome 17 markers and peripheral neurofibromatosis (NF1). *American Journal of Human Genetics* 44:1989,25-29.
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19. Small KW, Letson R, Scheinman J: Ocular findings in primary hyperoxaluria. *Archives of Ophthalmology* 108:1990,89-93.
20. Vance JM, Small KW, Jones MA, Stajich JM, Yamaoka LH, Roses AD, Hung W, Pericak-Vance MA: Confirmation of linkage in Von Hippel-Lindau Disease. *Genomics* 6:1990,565-567.
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100. Abraamyan A; Zanke B; Ramamoorthy P; Small KW. Genetic Testing for Age-Related Macular Degeneration in an Armenian Population ARVO 2013, Abstract 6196, Seattle, WA
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104. Small K, La Fontaine, M, Shaya F. Corioplamin's effects on electroretinography. *American Society of Retina Specialists, 2014 San Diego, CA*
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MISC INFO.

Current Medicaid #: GR0058400 / California  
 UPIN # C86490  
 Current Malpractice Insurance: Medical Protective \$2,000,000 / 4,000,000  
 Medicare California #: 18491A  
 DEA # BS2498548

Other Activities:

Blackhall Memorial Presbyterian Church, Durham, NC: member 7/82-10/95  
 Bel Air Presbyterian Church, Los Angeles, CA: member 10/95-present  
 Steering Committee member of Christian Family Fellowship Sunday School Class at Bel Air Presbyterian Church: 10/95-present

Deacon: Bel Air Presbyterian Church, Los Angeles, CA: 1/09- 12/12

UCLA Master's Swim Team: member May 1996-present  
 Medaled in 200 m butterfly in Nationals Meet 2009 Fresno, CA

Extra in feature film "Naturally Native", a film about modern Native American issues  
Volunteer: Los Angeles Mission soup kitchen, 1998-present  
Volunteer Physician: Rotary Humanitarian Projects Sponsored Eye Clinic in Denpasar, Indonesia  
8/2-8/2001  
Steering Committee member of Youth Ministry at Bel Air Presbyterian Church: 10/01-present  
Medical Mission trip to Port Vila, Vanuatu through S.E.E. International to study families with inherited eye disease  
and perform surgery 8/2002  
Medical Mission trip: Volunteer Ophthalmologist / Retinal Surgeon, Nuku' Olafa, Tonga and Va'Vau, Tonga, 9 09,  
with Surgical Eye Expedition and The Hawaiian Eye Foundation  
Medical Service: Volunteer Ophthalmologist, Resceni Family Rescue Mission, San Fernando Valley, CA 2009-2012

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